



THE UNDER SECRETARY OF DEFENSE

WASHINGTON, DC 20301

ACQUISITION

20 JUN 1991

MEMORANDUM FOR SECRETARIES OF THE MILITARY DEPARTMENTS
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UNDER SECRETARY OF DEFENSE (POLICY)
DIRECTOR, DEFENSE RESEARCH AND ENGINEERING
ASSISTANT SECRETARIES OF DEFENSE
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DIRECTOR, DEFENSE INTELLIGENCE AGENCY
DIRECTOR, DEFENSE LOGISTICS AGENCY
DIRECTOR, DEFENSE MAPPING AGENCY
DIRECTOR, DEFENSE NUCLEAR AGENCY
DIRECTOR, NATIONAL SECURITY AGENCY
DIRECTOR, ON-SITE INSPECTION AGENCY

SUBJECT: Guidance for DoD Compliance with the Vienna Document
1990

This memorandum, with attachment, provides guidance for DoD compliance with the Vienna Document 1990. Actions necessary for operational and communications security of U.S. facilities subject to evaluations or visits are authorized.

The Vienna Document 1990 was agreed to in Vienna and endorsed by the 34 Conference on Security and Cooperation in Europe (CSCE) Heads of State at the Paris Summit in November 1990. By its endorsement, the US Government made a political commitment to comply with the provisions of this document, which entered into force on 1 January 1991.

In accordance with the 28 April 1990 Secretary of Defense memorandum "Planning for US Compliance with a CFE Treaty", I have responsibility for oversight of DoD compliance with conventional arms control agreements. This responsibility also applies to the politically binding Vienna Document 1990. The Under Secretary of Defense for Policy is responsible for overall coordination of policy for DoD's involvement with the CSCE process and interaction with NATO allies. All addressees are responsible for ensuring compliance within their organizations.


Don Yockey
Acting Under Secretary

Attachment

GUIDANCE FOR DoD COMPLIANCE/IMPLEMENTATION OF
THE VIENNA DOCUMENT 1990

A. Reporting Requirements:

The USG will comply with the provisions of this politically binding document. The Chairman of the Joint Chiefs of Staff (CJCS), in accordance with guidance provided herein, is responsible for preparing a comprehensive plan to ensure DoD compliance/implementation with provisions of the Vienna Document 1990. All Defense Agencies and responsible CINCs with forces located in or entering the area of application and subject to provisions of the Vienna Document 1990, will provide the CJCS with a point of contact and will ensure that their plans conform with the CJCS plan.

B. Resources:

Affected DoD components will commit FY91 funding to cover any costs of near-term efforts required to assure compliance with the Vienna Document 1990. Vienna Document 1990/Confidence and Security Building Measures (CSBM) related resource requirements for FY92 and beyond should be included in the appropriate arms control program elements and defended in the POM/Budget process.

C. Compliance Review Process

Consistent with the provisions of DoD Directives 5100.70 and 5530.3 and DoD Instruction S-5100.72, questions or issues on DoD compliance with the Vienna Document will be identified to USD(A) and resolved by the Conventional Arms Control (CAC) Compliance Review Group (CRG). DDDRE/TWP, on behalf of USD(A), chairs this group, which has representation from C3I, Policy, Joint Staff, General Counsel (GC) and other DoD components as required.

D. Notification/Data Management:

OUSD(A), in coordination with the Joint Staff, will define data management system requirements. So far as possible CSBM requirements will be incorporated into the Conventional Armed Forces in Europe (CFE) Treaty data management and notification system (DM/NS). OUSD(A) is responsible for development of this system. Reporting of U.S. CSBM data will be accomplished using this system. Notifications of passive inspections, observations and visits will continue to use existing procedures.

E. Inspections and Evaluations:

Pending publication of further Presidential or National Security Council guidance, the On-Site Inspection Agency (OSIA), with policy guidance from the CJCS and OSD, will be responsible for inspections and evaluations. The United States European Command (USEUCOM), United States Atlantic Command (USLANTCOM), and OSIA (to include OSIA-Europe) will establish a memorandum of understanding (MOU) defining functions, responsibilities, duties and organizational relationships for conducting liaison and support with respect to the OSIA inspections, evaluations and escorts associated with implementation of the Vienna Document 1990.

OSIA will be responsible for conducting active inspections on the territory of any other participating state and for conducting active evaluations of the forces of any other participating state. The Defense Attache Office in the host country will provide support/liasion for the inspection team as required.

OSIA will be responsible for performing U.S. escort/liasion functions in the reception of inspections and evaluations, bearing upon U.S. forces, conducted by other participating states.

OSIA will develop plans and prepare, in close coordination with the services and responsible CINCs, to assist the receiving host state in the escort of inspectors from other participating states as they conduct inspections and evaluations. OSIA will train and lead the escort/liasion teams for passive inspections and evaluations. OSIA representatives will also control U.S. escorts, perform liaison functions with receiving host state representatives, and serve as the senior USG representative during passive inspections and evaluations. Commanders of inspected and evaluated formations and units will retain overall responsibility for compliance by those formations and units with the requirements of the Vienna Document 1990. USEUCOM will coordinate planning with OSIA and ensure compliance with the Vienna Document 1990. On-site, during passive inspections and evaluations, OSIA will provide official USG interpretation for Vienna Document 1990 issues and will resolve all document related issues between site personnel, inspection/evaluation teams, and receiving host state representatives. As directed by USEUCOM, commanders of inspected and evaluated formations and units are responsible for indicating sensitive points, facilities, and equipment during evaluations and for indicating areas or sensitive points to which access is normally denied or restricted during inspections to the OSIA escort team chief. The OSIA escort team chief will declare any of these sensitive points to the inspection team as necessary.

USEUCOM and USLANTCOM, as appropriate, will provide OSIA with liaison officer(s) from the appropriate exercise directing headquarters for exercises occurring within an inspection specified area. These officers will be knowledgeable of and be prepared to brief inspectors concerning out of garrison activities. USEUCOM and USLANTCOM, as appropriate, will assist OSIA by providing installation escorts at U.S. installations undergoing evaluations.

F. Observations and Air Base Visits

USEUCOM, with policy guidance from the CJCS and OSD, will be responsible for the planning and execution of observations and air base visits (active) of any other participating state and, in coordination with the host state, for receiving observations and air base visits (passive) from any other participating state. Possessing only forces stationed on the soil of other participating states, the USG will never be the host state for an observable activity or air base visit in the zone of application. The CJCS will develop plans and prepare to assist in delegated host responsibilities.

For active observations and air base visits, each participating state's team may be comprised of up to two observers/visitors. These teams will normally be composed of one representative designated by the CJCS and one representative from the Defense Attache's Office (DAO) in the host state.

G. Information Exchange, Notifications, and Annual Calendars

USEUCOM, with policy guidance from the CJCS and OSD, will develop plans and prepare for; (1) the Annual Exchange of Information on Military Forces and Information on Plans for the Deployment of Major Weapons and Equipment; (2) Notification of Certain Military Activities and; (3) submission of Annual Calendars.

H. Unusual Military Activities, Hazardous Incidents, and Annual Implementation Assessment Meetings.

OSD and the CJCS will support the State Department in the planning and execution of the Annual Implementation Assessment Meeting, compliance and implementation of the mechanism for consultation and co-operation as regards unusual military activities and co-operation as regards hazardous incidents of a military nature.

I. Military Budgets

The Office of the Secretary of Defense for Policy, in coordination with ASD/PA&E and the DoD Comptroller, is

responsible for planning and preparing for the annual exchange of military budgets agreed to in the Vienna Document 1990.

J. Military Contacts and Military Doctrine Seminar

The CJCS will be responsible for the planning, coordination, and execution of the exchange of military contacts with all other participating states within the zone of application for CSBMs. OSD and the CJCS will coordinate on planning and executing of the Military Doctrine Seminar as authorized by the Vienna Document 1990.

K. Linguist Support.

ASD/C3I is responsible for planning and overseeing efforts to ensure that there will be a sufficient number of trained personnel to fulfill DoD linguist requirements generated by the Vienna Document 1990.