



THE UNDER SECRETARY OF DEFENSE

3010 DEFENSE PENTAGON  
WASHINGTON, DC 20301-3010

ACQUISITION,  
TECHNOLOGY  
AND LOGISTICS

The Honorable Carl Levin  
Chairman  
Committee on Armed Services  
United States Senate  
Washington, DC 20510

MAY 18 2012

Dear Mr. Chairman:

Pursuant to Section 8028(b) of the Department of Defense (DoD) Appropriations Act, 2011 (Public Law 112-10), enclosed is the required report for DoD purchases from foreign entities in FY 2011. The report separately identifies the dollar value of items for which the Buy American Act was waived pursuant to any memorandum of understanding, the Trade Agreements Act of 1979, or any other international agreement to which the United States is a party.

A similar letter has been sent to the President of the Senate, Speaker of the House, and the other congressional defense committees.

Sincerely,

  
Frank Kendall  
Acting

Enclosure:  
As stated

cc:  
The Honorable John McCain  
Ranking Member



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ACQUISITION,  
TECHNOLOGY  
AND LOGISTICS

The Honorable Howard P. "Buck" McKeon  
Chairman  
Committee on Armed Services  
U.S. House of Representatives  
Washington, DC 20515

MAY 18 2012

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cc:  
The Honorable Adam Smith  
Ranking Member



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ACQUISITION,  
TECHNOLOGY  
AND LOGISTICS

The Honorable Daniel K. Inouye  
Chairman  
Committee on Appropriations  
United States Senate  
Washington, DC 20510

MAY 18 2012

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The Honorable Thad Cochran  
Vice Chairman



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ACQUISITION,  
TECHNOLOGY  
AND LOGISTICS

The Honorable Harold Rogers  
Chairman  
Committee on Appropriations  
U.S. House of Representatives  
Washington, DC 20515

MAY 18 2012

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Acting

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The Honorable Norman D. Dicks  
Ranking Member



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AND LOGISTICS

The Honorable Daniel K. Inouye  
Chairman,  
Subcommittee on Defense  
Committee on Appropriations  
United States Senate  
Washington, DC 20510

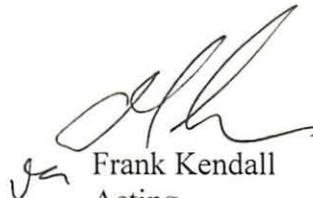
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Vice Chairman



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ACQUISITION,  
TECHNOLOGY  
AND LOGISTICS

The Honorable C.W. Bill Young  
Chairman  
Subcommittee on Defense  
Committee on Appropriations  
U.S. House of Representatives  
Washington, DC 20515

MAY 18 2012

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AND LOGISTICS

The Honorable John A. Boehner  
Speaker of the House  
U.S. House of Representatives  
Washington, DC 20515

MAY 18 2012

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ACQUISITION,  
TECHNOLOGY  
AND LOGISTICS

The Honorable Joseph R. Biden Jr.  
President of the Senate  
United States Senate  
Washington, DC 20510

MAY 18 2012

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Acting

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As stated

REPORT TO CONGRESS ON  
DEPARTMENT OF DEFENSE  
FISCAL YEAR 2011 PURCHASES  
FROM FOREIGN ENTITIES



Under Secretary of Defense for  
Acquisition, Technology and Logistics

May 2012

Preparation of this report/study cost the Department of Defense  
a total of approximately \$28,000 in Fiscal Year 2012.\*  
Generated on March 29, 2012 Reference: C-DB16345

\* \$28,000 is the combined cost estimate of this report, as well as the report satisfying section 827 of the National Defense Authorization Act for Fiscal Year 1997 (P.L. 104-201)

## **Background**

Pursuant to section 8028(b) of the Department of Defense Appropriations Act, 2011 (P.L. 112-10), enclosed is the required report on Department of Defense (DoD) purchases from foreign entities in FY 2011. The report is to separately indicate the dollar value of items for which the Buy American Act (BAA) was waived pursuant to any reciprocal defense procurement memorandum of understanding (MOU), the Trade Agreements Act (TAA), or any international agreement to which the United States is a party.

## **Discussion**

The information presented in this report addresses FY 2011 and is based on data from the Federal Procurement Data System – Next Generation (FPDS-NG), data compiled and distributed by the DoD Defense Manpower Data Center, Statistical Analysis Information Division, and data published by the Defense Security Cooperation Agency (DSCA). The FPDS-NG data addresses contracting procedures, competition, financing, statutory requirements, socioeconomic programs, and other information relating to DoD acquisitions. The data was then certified by the applicable Military Departments and Defense Agencies.

### **Section 1 – All procurements from foreign entities**

DoD procurement actions recorded in FPDS-NG during FY 2011 totaled approximately \$374 billion. Of that amount, approximately \$24 billion, or 6.4 percent, was expended on purchases from foreign entities. A detailed breakout of the dollars by country is contained in Table 1.

The \$24 billion covers military hardware, subsistence, fuel, construction, services, and other miscellaneous items that are for use outside the United States. Defense equipment constitutes approximately 18 percent of the purchases from foreign entities. Fuel, services, construction, and subsistence account for approximately 27 percent, 15 percent, 12 percent, and 13 percent respectively, or approximately 67 percent of the total purchases from foreign entities. Table 2 provides a breakout of the \$24 billion by category and percentage of the total.

### **Section 2 – Dollar value of items for which the BAA was waived pursuant to any MOUs, the TAA, or other international agreements**

FPDS data reflect that 2,645 waivers during FY 2011 were granted to Qualifying Countries for a total dollar value of \$1.1 billion dollars, representing 9.1 percent of the total \$12.4 billion in DoD purchases from foreign entities that were not subject to the BAA. DoD purchases from foreign entities during FY 2011 also included 1,161 transactions for \$256 million dollars were pursuant to the Trade Agreement Act of 1979 or other international agreements. This authorized exception to the BAA represents 2.1 percent of all DoD purchases from foreign entities.

Other authorized exceptions to the BAA represent 4,691 purchases for approximately \$874 million dollars or 7.1 percent of all purchases from foreign entities during FY 2011. These exceptions provided in the law include: (1) manufactured outside the United States – Resale; (2) manufactured outside the United States – Commercial IT; (3) manufactured outside the United States – Public Interest Determinations; (4) manufactured outside the United States – Unreasonable Cost of Domestic End Product.

Finally, 39,055 of DoD purchases from foreign entities, or approximately \$10.1 billion of the \$12.4 billion dollars (82 percent), represent items manufactured and used outside the United States to which the BAA does not apply. Table 3 provides a detailed breakout of the actions and dollars by category and an explanation of the authority for each of the categories.

Table 1

**DEPARTMENT OF DEFENSE PURCHASES  
FROM FOREIGN ENTITIES - FY 2011**

**The following list includes ALL DoD purchases with foreign entities for supplies, services,  
fuel and construction**

<b>Country Name</b>	<b>Actions</b>		<b>Dollars</b>
AFGHANISTAN	29,662	\$	6,127,938,652.99
ALBANIA	10	\$	1,169,225.50
AMERICAN SAMOA [UNITED STATES]	3	\$	13,869,879.78
ANGUILLA [UNITED KINGDOM]	6	\$	761,910.91
ANTIGUA AND BARBUDA	34	\$	9,514,390.34
ARGENTINA	7	\$	1,686,632.85
ARMENIA	3	\$	43,046.00
ARUBA [NETHERLANDS]	3	\$	(7,928.00)
ASHMORE AND CARTIER ISLANDS	1	\$	56,175.00
AUSTRALIA	226	\$	13,435,628.70
AUSTRIA	38	\$	3,045,672.92
AZERBAIJAN	2	\$	756,944.18
BAHAMAS,THE	690	\$	11,238,788.13
BAHRAIN	3,022	\$	417,865,867.76
BANGLADESH	115	\$	9,960,903.65
BARBADOS	13	\$	258,879.76
BASSAS DA INDIA [FRANCE]	69	\$	451,040.58
BELGIUM	3,048	\$	73,109,725.64
BELIZE	16	\$	5,467,410.29
BENIN	3	\$	19,744.00
BERMUDA [UNITED KINGDOM]	7	\$	964,491.89
BHUTAN	2	\$	30,000.00
BOLIVIA	1	\$	1,650.00
BOSNIA AND HERZEGOVINA	81	\$	6,933,290.01
BOTSWANA	2	\$	10,900.00
BRAZIL	59	\$	2,400,285.51
BRITISH INDIAN OCEAN TERRITORY	25	\$	279,999.69
BRUNEI	2	\$	159,654.34
BULGARIA	49	\$	1,570,132.90
BURKINA FASO	3	\$	501,764.98
BURUNDI	2	\$	477,000.00
CAMBODIA	271	\$	10,301,267.14
CAMEROON	6	\$	11,520.75
CANADA	12,164	\$	1,649,534,384.81
CAPE VERDE	1	\$	124,482.00
CHAD	15	\$	187,593.04
CHILE	21	\$	531,146.24
<b>Subtotal</b>	<b>49,682</b>	<b>\$</b>	<b>8,364,662,154</b>

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<b>Country Name</b>	<b>Actions</b>		<b>Dollars</b>
COLOMBIA	716	\$	58,572,719.33
COMOROS	31	\$	13,867,841.76
CONGO,DEMOCRATIC REPUBLIC OF THE	6	\$	758,338.15
COSTA RICA	10	\$	1,455,672.22
COTE DIVOIRE	12	\$	-
CROATIA	74	\$	19,184,805.46
CUBA	7	\$	1,895,376.76
CYPRUS	1	\$	(504,688.69)
CZECH REPUBLIC	7	\$	165,800.00
DENMARK	11,945	\$	34,711,833.27
DJIBOUTI	247	\$	56,225,873.31
DOMINICA	12	\$	48,522.42
DOMINICAN REPUBLIC	79	\$	3,048,899.80
EAST TIMOR (TIMOR-LESTE)	68	\$	932,469.80
ECUADOR	10	\$	746,252.58
EGYPT	96	\$	9,023,836.61
EL SALVADOR	147	\$	10,585,040.64
ETHIOPIA	93	\$	6,357,142.29
FIJI	2	\$	8,632.35
FINLAND	17	\$	2,444,451.09
FRANCE	1,740	\$	304,364,282.77
GABON	7	\$	59,273.90
GAMBIA,THE	3	\$	15,000.00
GEORGIA	19	\$	6,182,340.17
GERMANY	53,210	\$	1,835,474,659.85
GHANA	13	\$	702,740.77
GIBRALTAR [UNITED KINGDOM]	1	\$	168,880.00
GREECE	384	\$	426,154,357.72
GREENLAND [DENMARK]	69	\$	258,114,987.69
GRENADA	1	\$	940,107.67
GUAM [UNITED STATES]	9	\$	133,359.13
GUATEMALA	44	\$	4,531,300.43
GUINEA-BISSAU	1	\$	-
GUYANA	5	\$	1,020,905.00
HAITI	85	\$	13,284,813.07
HONDURAS	290	\$	14,096,769.01
<b>Subtotal</b>	<b>69,461</b>	<b>\$</b>	<b>3,084,772,596</b>

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<b>Country Name</b>	<b>Actions</b>		<b>Dollars</b>
HONG KONG	106	\$	346,730.53
HUNGARY	27	\$	282,368.49
ICELAND	9	\$	2,662,217.19
INDIA	28	\$	164,985.31
INDONESIA	145	\$	1,532,138.51
IRAQ	4,745	\$	950,498,357.54
IRELAND	3	\$	50,006.32
ISRAEL	220	\$	65,682,665.73
ITALY	9,120	\$	319,841,980.15
JAMAICA	4	\$	9,450.00
JAPAN	13,751	\$	1,034,092,084.07
JORDAN	115	\$	30,104,483.69
KAZAKHSTAN	37	\$	25,257,168.38
KENYA	131	\$	6,938,288.85
KIRIBATI	44	\$	5,489,305.26
KOSOVO	100	\$	984,909.28
KUWAIT	2,903	\$	1,299,592,998.94
KYRGYZSTAN	1,083	\$	729,704,049.70
LAOS	87	\$	7,557,182.86
LATVIA	19	\$	2,540,676.12
LEBANON	5	\$	535,463.91
LIBERIA	23	\$	92,583.00
LITHUANIA	1	\$	(11,211.06)
LUXEMBOURG	20	\$	14,971,364.93
MACEDONIA	36	\$	748,156.92
MADAGASCAR	4	\$	1,272,207.36
MALAWI	9	\$	324,061.25
MALAYSIA	72	\$	2,371,395.77
MALDIVES	2	\$	(39,611,067.00)
MALI	19	\$	1,812,148.53
MALTA	2	\$	173,897.67
MARSHALL ISLANDS	1	\$	447,694.14
MAURITIUS	7	\$	508,321.99
MEXICO	3	\$	1,957,304.56
MICRONESIA,FEDERATED STATES OF	32	\$	737,424.66
MOLDOVA	7	\$	581,239.28
MONGOLIA	3	\$	566,868.60
<b>Subtotal</b>	<b>32,923</b>	<b>\$</b>	<b>4,470,809,901</b>

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MONTENEGRO	6	\$	307,282.26
MOROCCO	29	\$	2,194,265.46
MOZAMBIQUE	2	\$	(32,045.71)
NEPAL	1	\$	125,338.00
NETHERLANDS	5,745	\$	17,425,318.56
NETHERLANDS ANTILLES	10	\$	3,603,023.79
NEW ZEALAND	21	\$	2,393,807.01
NICARAGUA	17	\$	2,260,800.28
NIGER	5	\$	90,317.00
NIGERIA	5	\$	15,349,847.33
NORWAY	125	\$	267,680,387.86
OMAN	286	\$	43,212,984.20
PAKISTAN	16	\$	20,102,381.90
PALAU	4	\$	269,708.26
PANAMA	51	\$	17,279,485.39
PAPUA NEW GUINEA	34	\$	2,508,465.28
PARAGUAY	8	\$	2,331,938.52
PERU	98	\$	7,779,153.09
PHILIPPINES	1,222	\$	19,353,343.76
POLAND	34	\$	1,460,646.06
PORTUGAL	441	\$	10,764,463.15
QATAR	1,837	\$	149,731,777.71
ROMANIA	87	\$	6,390,372.73
RUSSIA	20	\$	380,546,128.43
RWANDA	1	\$	-
SAINT KITTS AND NEVIS	1	\$	-
SAINT PIERRE AND MIQUELON [FRANCE]	1	\$	(1,230.39)
SAMOA	8	\$	24,814.52
SAO TOME AND PRINCIPE	3	\$	-
SAUDI ARABIA	743	\$	244,193,765.19
SENEGAL	82	\$	12,986,756.73
SERBIA	8	\$	1,634,787.65
SEYCHELLES	24	\$	247,689.00
SIERRA LEONE	6	\$	725,204.48
SINGAPORE	1,241	\$	729,124,182.22
SLOVAKIA	1	\$	593,455.35
SOLOMON ISLANDS	3	\$	26,241.20
<b>Subtotal</b>	<b>12,226</b>	<b>\$</b>	<b>1,962,684,856</b>

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<b>Country Name</b>	<b>Actions</b>		<b>Dollars</b>
SOMALIA	4	\$	361,475.00
SOUTH AFRICA	28	\$	25,207,287.29
SOUTH KOREA	16,973	\$	1,115,665,180.43
SPAIN	3,571	\$	310,341,228.08
SRI LANKA	31	\$	4,923,028.47
SUDAN	1	\$	90,600.00
SURINAME	5	\$	(9,023.00)
SVALBARD [NORWAY]	8	\$	83,147.73
SWEDEN	36	\$	52,353,419.80
SWITZERLAND	29,319	\$	2,094,691,837.83
TAIWAN, PROVINCE OF CHINA	1	\$	49,000.00
TANZANIA	21	\$	668,904.90
THAILAND	531	\$	35,473,618.75
TOGO	2	\$	1,155.00
TONGA	31	\$	428,337.95
TRINIDAD AND TOBAGO	6	\$	106,582.31
TURKEY	1,175	\$	79,215,530.62
TURKMENISTAN	1	\$	-
UGANDA	32	\$	1,250,168.60
UKRAINE	54	\$	5,207,716.15
UNITED ARAB EMIRATES	14,588	\$	921,207,732.28
UNITED KINGDOM	6,571	\$	1,186,286,040.60
UNITED STATES	5,524	\$	236,137,491.42
URUGUAY	2	\$	990,014.00
UZBEKISTAN	2	\$	(2,385.09)
VANUATU	33	\$	1,021,156.73
VIETNAM	82	\$	7,061,139.86
WESTERN SAHARA [UNDETERMINED]	3	\$	44,117.93
ZAMBIA	5	\$	4,377,982.09
<b>Subtotal</b>	<b>78,640</b>	<b>\$</b>	<b>6,083,232,486</b>
<b>GRAND TOTAL</b>	<b>242,932</b>	<b>\$</b>	<b>23,966,161,994</b>

Table 2

**DoD PURCHASES FROM FOREIGN ENTITIES BY CLAIMANT CODE - FY 2011**

<b>DoD Claimant Program</b>	<b>Dollars</b>	<b>Percent</b>
Airframes and Spares	\$544,099,421.51	2.270%
Aircraft Engines and Spares	\$110,617,091.73	0.462%
Other Aircraft Equipment	\$205,734,124.44	0.858%
Missile and Space Systems	\$78,285,331.89	0.327%
Ships	\$203,301,045.53	0.848%
Combat Vehicles	\$1,231,890,763.57	5.140%
Non-Combat Vehicles	\$167,767,522.21	0.700%
Weapons	\$406,869,673.28	1.698%
Ammunition	\$154,599,196.36	0.645%
Electronics and Communication Equipment	\$200,064,373.89	0.835%
Petroleum	\$6,586,969,691.88	27.484%
Other Fuels and Lubricants	\$3,459,138.82	0.014%
Containers and Handling Equipment	\$173,329.66	0.001%
Textiles, Clothing and Equipage	\$10,839,698.60	0.045%
Building Supplies	\$3,900,263.46	0.016%
Subsistence	\$3,048,911,272.39	12.722%
Transportation Equipment (Railway)	\$33,375.63	0.000%
Production Equipment	\$83,364,184.07	0.348%
Construction	\$2,876,539,422.53	12.003%
Construction Equipment	\$743,046.09	0.003%
Medical and Dental Supplies and Equipment	\$43,876,940.37	0.183%
Photographic Equipment and Supplies	\$1,355,018.56	0.006%
Materials and Handling Equipment	\$14,430,814.58	0.060%
All Other Supplies/Equipment	\$4,382,686,957.12	18.287%
Services	\$3,606,146,658.24	15.047%
Not Coded	-\$496,362.37	-0.002%
<b>Overall Total</b>	<b>\$23,966,161,994</b>	<b>100%</b>

Table 3

**APPLICATION OF THE BUY AMERICAN ACT FOR DoD PURCHASES OF MANUFACTURED  
ARTICLES - FY 2011**

<b>Reason</b>	<b>Number</b>	<b>Dollars</b>	<b>% of Total</b>
Use outside the U.S *	39,055	\$ 10,134,045,658.11	81.8%
Resale Exception**	1,171	\$ 142,693,631.87	1.2%
WTO GPA and Free Trade Agreements**	1,161	\$ 255,791,493.24	2.1%
Commercial IT Exception**	1,441	\$ 51,728,435.44	0.4%
Public Interest Exception**	61	\$ 7,333,444.62	0.1%
Domestic Non-availability Exception**	1,874	\$ 630,064,791.53	5.1%
Unreasonable Cost **	144	\$ 42,124,946.52	0.3%
Qualifying Countries***	2,645	\$ 1,131,513,206.07	9.1%
<b>Total</b>	<b>47,552</b>	<b>\$ 12,395,295,607</b>	<b>100%</b>

\* The Buy American Act does not apply

\*\* Authorized exceptions in the Buy American Act

\*\*\* Waiver