

# Joint Capability Technology Demonstration (JCTD)

JCTD Manager's Conference

JCTD Funding Process

September 2011

**USD (AT&L)**

**ASD (R&E)**

**Rapid Fielding  
Office**



*Dan Kowalski*

*JCTD Resource Manager*

*Rapid Fielding Directorate*

**Program Resources & Integration**

[www.acq.osd.mil/jctd](http://www.acq.osd.mil/jctd)





# Agenda



- **Mission**
- **Program Funding Overview**
- **FY12/13 JCTD Funding Execution Strategy**
- **JCTD Funding Flow/Funding Request**
- **Execution Reporting**



# Program Resources & Integration (PR&I) Mission



***... Provide the Director, Rapid Fielding Directorate, the expert fiscal guidance, ethical program budget oversight and resource management to facilitate rapid fielding of innovative technologies and advanced concepts for critical warfighter capabilities...  
....executed through effective PPBE Integration.***

- **Budget/Resource Oversight**: Provides RFD integrated fiscal oversight of several program elements (PEs), ensuring appropriate program optimization and internal controls are maintained.
- **PPBE Integration**: PR&I interfaces with ASD (R&E)/P&P, AT&L/ARA, WHS, OSD(C), Military Services and Defense Agencies during each phase of Planning, Programming, Budgeting, & Execution.

- **Planning**: QDR, GDF, GEF inputs, RFD coordinated strategy developed.
- **Programming**: POM input/reviews: Internal & External integration and review of over 200 projects.

- **Budgeting**: PDM/PCP, PBD/BCP Budget Submission & Review (10 budgets). Congressional response.
- **Execution**: **Over 400 separate funding documents executed annually.** Midyear Reviews conducted and obligation oversight maintained.



# FY 2011/12 JCTD Funding Execution Strategy



- **Problem: Low Obligation and Expenditure rates put funds at risk**
  - ASD (R&E) FY09 Funding Reduced \$53M for low expenditures
  - **ASD (R&E) expects funds to Obligate in 45 days**
- **Traditional Commitment pattern (*lame excuses*) driven by:**
  - Business Rules for ID's and MP's (no longer a factor)
  - Partnerships – multiple sources of funds
  - Contract Awards
  - Technical Difficulties (CRAs)
- **Plan: Meet OSD Comptroller benchmarks for obligations & expenditures by:**
  - Accelerating Commitments
  - Requiring more Field FM information (Reports, Acceptances, Mods, Awards)



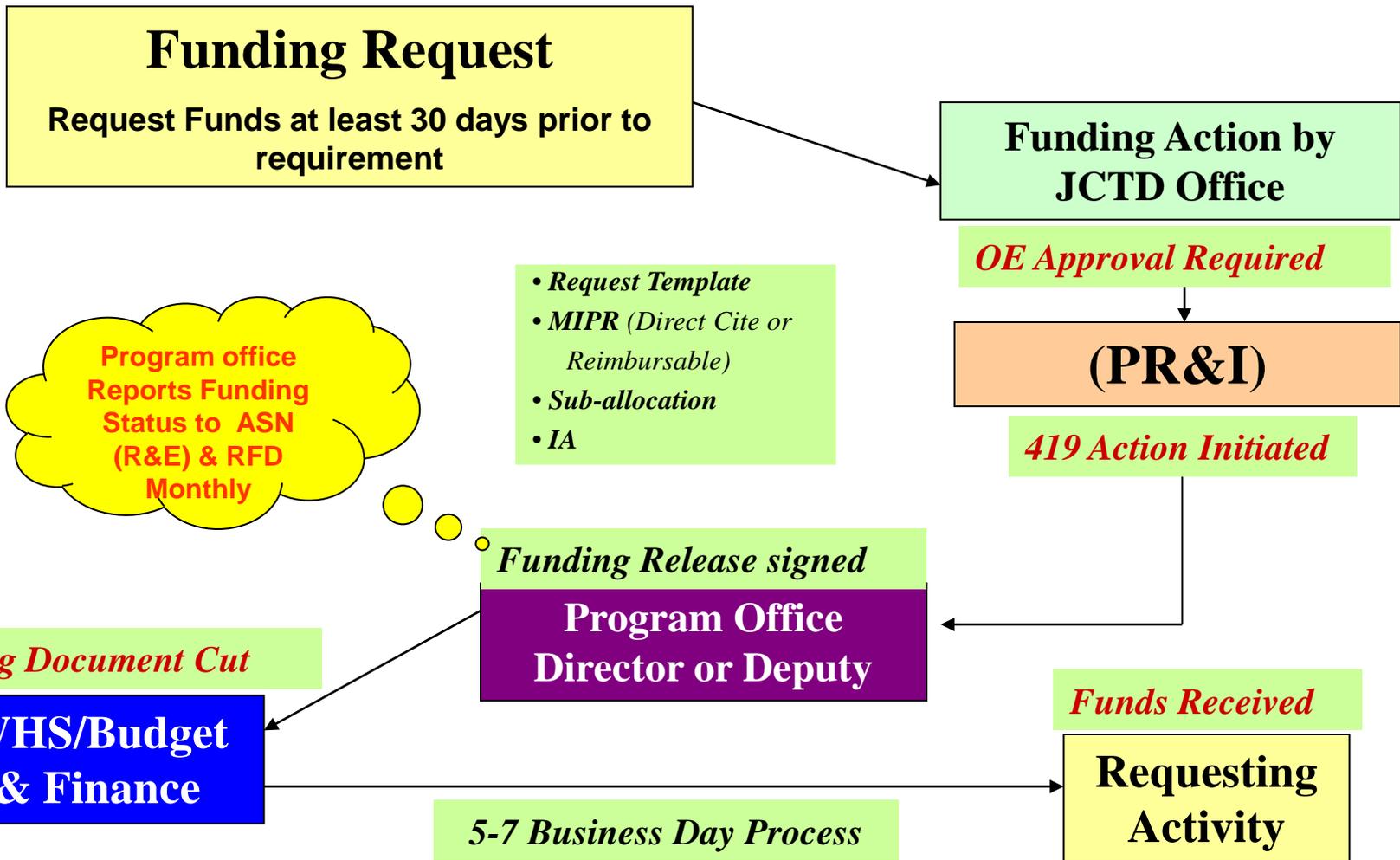
# FY2011 OSD Comptroller Obligation/ Expenditure Benchmarks (Unaffected By CRAs)



		Obligations	Expenditures
<b>Funds Released</b>	October	7%	4%
	November	15%	8%
	December	22%	11%
Expect Obligations to be recorded	January	30%	15%
	February	37%	19%
	March	45%	23%
<b>Mid-Year Review Period</b>	April	52%	26%
	May	60%	30%
	June	67%	34%
	July	75%	38%
	August	82%	41%
<b>EOY Review Period</b>	September	89%	47%



# Notional JCTD Funds Flow Process (419 system)





# Funding Guidelines



- **How much funding will RFD Provide?**
  - The more partner funding the more attractive the JCTD candidate – traditionally RFD has funded ~30%, but there are exceptions
  - JCTD funding portion may be higher in the first year to allow for service/agency partners to POM
  - The more closely you are tied to an existing Program of Record, the more we want to see their funding contribution
- **You should not expect more than \$5.0M per year from RFD**



# FY10 JCTD Funding Request



## Four Funding Methods

1. Reimbursable – Military Interdepartmental Purchase Request (R-MIPR) – **(CAT I)**: The assisting agency obligates its own funds to fill the order or perform the service. The DFAS reimburses the assisting/servicing agency using the requiring activity's fund cites. The MIPR number is the source document number throughout the life of the acquisition.
  - Complete Funding Request – (fillable PDF) and SOW template
2. Direct Cite MIPR (D-MIPR) – **(CATII)**: The assisting agency directly cites the PM's fund cite on the resulting contract. The DFAS pays the performing contractors directly from the requiring activity's fund cite. The contract number is the source document number throughout the life of the acquisition.
  - Complete Funding Request – (fill-able PDF) and SOW template.
3. PBAS Sub-Allocation or FAD: Complete Funding Request – attachment sample letter.
4. Inter-Agency Agreement: Primary method used to send funds outside DoD.



# Statements of Work (SOW)

## MIPRs



1. Title of JCTD:
2. Objective: (Describe)
3. Vendor/Company Information: ***(Required for all Direct Cite MIPRs)***
  - Technical POC Name and Phone Number
  - Company Name/Address
4. Contract Number: ***(Required for all Direct Cite MIPRs)***
5. Period of Performance:
6. Major Contract Performers:
7. Performance Metrics: (List any metrics that measures and justifies the expenditure of funds)
8. Technical and Financial POCs: Name, Phone Number, E-mail
9. Deliverables: (Describe the deliverables that justify the funding)
10. Cost Estimate:
  - Required by WHS for MIPR actions; not PBAS Suballocation actions.
  - For direct cite MIPRs: the estimated costs against each identified deliverable.
  - For reimbursable MIPRs include estimated labor, travel, and misc expenses.



# JCTD Obligation & Expenditure Monthly Reporting



JCTD Title	RFD Allocated	Uncommitted	Uncommitted %	Cumulative Obligated	Obs %	Cumulative Disbursed	Dis %	Contract # MIPR #	Comments
Prior Year (FY-08)	\$625,000	\$5,958	1%	\$619,042	99%	\$608,271	97%	GS-23F-0096	
Prior Year (FY-09)	\$625,000	\$0	0%	\$625,000	100%	\$576,225	92%	GS-23F-0096	
Current Year (FY-10)	\$2,500,000	\$98,257	4%	\$2,401,743	96%	\$1,865,706	75%	DWAM68259	
Current Year (FY-11)	\$2,000,000	\$1,523,477	76%	\$476,523	24%	\$47,961	2%	DWAM54726	

- **A monthly report is required from each organization that receives a funding document from RFD. This report must be a rollup of all Obligations and Disbursements against the RFD funding document. If the organization receives more than one funding document, then the monthly report must have at least one line item for each funding document.**
- **Report actual obligations and disbursements by the 25th of each month for each JCTD your organization manages.**
- **Prior Year Execution reported in the Yellow rows from the starting year of the JCTD.**
- **Current Year (FY11) and Current Year minus 1 (FY10) are years where RTDE appropriated funds can still be obligated. These rows are highlighted in green.**
- **Provide the MIPR numbers or for sub allocations provide the contract number.**
- **Provide the entity where the funds were issued and if a MIPR acceptance document has been signed and returned.**
- **RFD needs to know about large deviations (greater than 20%) from Integrated Baseline.**
- **Monthly Report Naming Convention: *CABLE\_Monthly\_Report\_Aug2010.xls***



# Sub Allocation Disposition Report



MIPR Reissue Number	Activity Distributed To	Financial POC	Contract Number (R-MIPR)	Contract Number (D-MIPR)

***When redistributing funds received via PBAS Sub allocation (FAD) to other organizations you must report how the funds are further sub allocated.***

***Thus provide the Activity, Contract Numbers, Reimbursable MIPR numbers & Financial POC information of redistributed funding.***



# Requester Financial Role in JCTD Funding Requests



- Request JCTD Program funds in timely manner
  - First quarter of Fiscal Year
- Review use of funding with local FM (person who signs the 448-2) when filling in the funding request form. Ensure they can accept the funds as requested (e-mail address is correct).
- Review funding request with Oversight Executive
- Notify PRI early when there is a problem
- Reporting Requirements:
  - Monthly reporting of funds execution status (25<sup>th</sup> of each month) of Obligations and Expenditures. (PUT JCTD TITLE ON REPORT and In Subject Line) to [PR&I@osd.mil](mailto:PR&I@osd.mil)
  - Return of DD 448-2 (copy to PR&I) and Contract Mods as proof of Obligations
  - Sub Allocation Disposition Report



# PR&I Points of Contact



Dan Kowalski: (703) 601-3663  
Email: [danny.kowalski@osd.mil](mailto:danny.kowalski@osd.mil)

**Steve Munt: (703) 602-0750**  
**Email: [stephen.munt.ctr@osd.mil](mailto:stephen.munt.ctr@osd.mil)**