

## The Use of the Supplier Performance Risk System (SPRS) in Implementing DFARS Case 2019-D041, Assessing Contractor Implementation of Cybersecurity Requirements

### Background

- The Supplier Performance Risk System (SPRS) is a web-enabled enterprise application that gathers, processes, and displays data about supplier performance. It is the DoD's single, authorized application to retrieve supplier performance information.
- From its inception, SPRS presented vendor on-time quality and delivery scores ranked by Federal Supply Class (FSC). In 2013, the Director, Defense Pricing and Contracting (DPC) tasked the SPRS program office to develop a procurement risk analysis tool for the areas of Price, Item and Supplier risk. The Price Risk tool compares industry prices to the average price paid by the government. The Item Risk tool flags items identified as high risk (based on critical safety/application or risk of counterfeiting). The Supplier Risk tool scores contractors on DoD-wide contract performance.
- SPRS was modified to host National Security System (NSS) Class Determinations made by the Director, DPC or Military Services to support Section 2239a legislation of the 2011 National Defense Authorization Act (NDAA), to support vendor threat mitigation assessment and capture enhanced vendor profile information.
- In 2020, SPRS was updated to document, store, and retrieve summary results from NIST SP 800-171 DoD Assessments for authorized representatives of the contractor, the Defense Contract Management Agency and DoD Components.
- Access to SPRS is granted to authorized acquisition Government personnel through a single sign-on capability in the Procurement Integrated Enterprise Environment (PIEE). It is also granted to Government Contractors to view their **own** company contract information.

### Implementing DFARS Case 2019-D041

- DFARS 204.73, Safeguarding Covered Defense Information and Cyber Incident Reporting, directs contracting officers to verify in SPRS that an offeror has a NIST SP 800-171 DoD Assessment on record if the offeror is required to implement NIST SP 800-171.
- DFARS 252.204-7019, Notice of NIST SP 800-171 DoD Assessment Requirements, requires offerors to ensure results of their applicable current NIST SP 800-171 DoD Assessments are posted in SPRS.
  - The offeror/contractor must submit Basic (self) Assessment scores for each system supporting the performance of the contract. The NIST SP 800-171 DoD Assessment Methodology directs the contractor to document the scope of the Basic Assessment that is conducted, to include identifying each system security plan supporting the performance of the contract. Commercial and Government Entity (CAGE) codes must also be mapped to the appropriate system security plan(s).
  - The rule states that the offeror/contractor may submit scores via encrypted email to [webpmsmh@navy.mil](mailto:webpmsmh@navy.mil) for posting to SPRS. SPRS now has increased functionality for offerors/contractors to enter scores directly into SPRS. (See <https://www.sprs.csd.disa.mil/pdf/NISTSP800-171QuickEntryGuide.pdf>)
- DFARS clause 252.204-7020, NIST SP 800-171 DoD Assessment Requirements, requires contractors to ensure applicable subcontractors have the results of a current Assessment posted in SPRS prior to awarding subcontract/other contractual instruments.

