Naval Acquisition Governance
Gate Review Process

21 Oct 2009

By Paulette Whitaker
ASN(RDA) DASN ALM
Acquisition Governance Team
Paulette.Whitaker@navy.mil
DoN Acquisition Governance

- The Secretary of the Navy led a comprehensive review of acquisition processes and the challenges in program execution.

- The result is the implementation of an innovative Acquisition Governance.
  - Inject early senior leadership engagement and transparency
  - Increase discipline at each phase of program maturity

- Accomplished through unprecedented collaboration, coordination and systems engineering review by senior leadership.

“Two Pass, Six Gate”
Acquisition Governance

- More effectively integrates requirements and acquisition decision processes
- Improves governance and insight into the development, establishment and execution of acquisition programs
- Formalizes framework to engage senior Naval leadership throughout the review process
First and Second Pass

The First Pass
- Led by the Chief of Naval Operations and/or Commandant of the Marine Corps
- Encompasses the three “requirements” Gates:
  - Approval of the Initial Capabilities Document
  - Approval of the Analysis of Alternatives
  - Approval of the Capabilities Development Document and the Concept of Operations
- Sets the Stage for the System Design Specification

The Second Pass
- Led by the Component Acquisition Executive
- Covers the three “acquisition” Gates
  - Approval of the System Design Specification;
  - Approval of the System Development and Demonstration Request for Proposal
  - Sufficiency Reviews for the life of the program.
2 Pass-6 Gate Process

- **First Pass - Requirements Establishment**
- **Second Pass - Acquisition Execution**
- **System Design Specification**
  - Capability and Performance Expectations
- **Gates - Reviews to Assess Readiness to Proceed**
  - Program Health Assessment at each Gate
Acquisition Governance Board Tiger Team Draft Gate Alignment 2.0
as of 08/03/09 DODI 5000.02 (Dec 2008)

Program Initiation at Milestone A

Legend:

- Annual Reviews Held
- Technical Reviews
- Logistics Reviews
- MDA Issued
- DoDI 5000.02
Draft 2.0 Gate Alignment
Initiation at MS B

Acquisition Governance Board Tiger Team Draft Gate Alignment 2.0
as of 08/03/09 DODI 5000.02 (Dec 2008)
Program Initiation at Milestone B

Legend

- Annual Reviews Held
- Technical Reviews
- Logistics Reviews
- Gate Chair
  CNO/CNO
- Gate Chair
  BDA
- MDA Issued
- DoD 5000.02
The Details: Pass 1

- Starts prior to Concept Decision, continues through Concept Refinement, and ends after Gate Three

- It includes:
  - DoN, OSD, and Joint Processes for approval of:
    - Initial Capabilities Document (ICD)
    - Analysis of Alternatives (AoA) Guidance
    - Capabilities Development Document (endorsement/approval)
    - Concept of Operations (CONOPS)
    - System Design Specification (SDS) Development Plan
The Details: Pass 2

- Starts after Gate 3 and ends after Milestone C (through the Engineering & Manufacturing Development (EMD) Phase to Operations & Support)
- Follow on Gate 6 reviews occur during the EMD and Production and Deployment Phases.
- All Pass 2 reviews will review program health for satisfactory cost, risks, and budget adequacy.