

# DFARS Procedures, Guidance, and Information

## PGI 201—Federal Acquisition Regulations System

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*(Revised December 8, 2017)*

### PGI 201.1—PURPOSE, AUTHORITY, ISSUANCE

#### **201.106 OMB approval under the Paperwork Reduction Act.**

The information collection and recordkeeping requirements contained in the Defense Federal Acquisition Regulations Supplement (DFARS) and Procedures, Guidance, and Information (PGI) have been approved by the Office of Management and Budget. The following OMB control numbers apply:

DFARS Segment	OMB Control No.
215.403-5	0704-0497
217.7004(a)	0704-0214
217.7404-3(b)	0704-0214
217.7505(d)	0704-0214
231.205-18	0704-0483
232.10	0704-0359
239.7408	0704-0341
242.1106	0704-0250
245.302(1)(i)	0704-0246
245.604-3(b) and 3(d)	0704-0246
252.204-7000	0704-0225
252.204-7008	0704-0478
252.204-7010	0704-0454
252.204-7012	0704-0478
252.205-7000	0704-0286
252.208-7000	0704-0187
252.209-7001	0704-0187
252.209-7002	0704-0187
252.209-7004	0704-0187
252.209-7008	0704-0477
252.211-7004	0704-0398
252.211-7005	0704-0398
252.211-7006	0704-0434
252.211-7007	0704-0398
252.215-7002	0704-0232
252.215-7005	0704-0446
252.216-7000	0704-0259
252.216-7001	0704-0259

# DFARS Procedures, Guidance, and Information

## PGI 201—Federal Acquisition Regulations System

---

DFARS Segment	OMB Control No.
252.216-7003	0704-0259
252.217-7012	0704-0214
252.217-7026	0704-0214
252.217-7028	0704-0214
252.219-7003	0704-0386
252.223-7001	0704-0272
252.223-7002	0704-0272
252.223-7003	0704-0272
252.223-7004	0704-0272
252.223-7007	0704-0272
252.225-7000	0704-0229
252.225-7003	0704-0229
252.225-7004	0704-0229
252.225-7005	0704-0229
252.225-7010	0704-0229
252.225-7013	0704-0229
252.225-7018	0704-0229
252.225-7020	0704-0229
252.225-7021	0704-0229
252.225-7023	0704-0229
252.225-7025	0704-0229
252.225-7032	0704-0229
252.225-7033	0704-0229
252.225-7035	0704-0229
252.225-7039	0704-0549
252.225-7040	0704-0460
252.225-7046	0704-0229
252.225-7049	0704-0525
252.225-7050	0704-0187
252.227-7013	0704-0369
252.227-7014	0704-0369
252.227-7017	0704-0369
252.227-7018	0704-0369
252.227-7019	0704-0369
252.227-7025	0704-0369
252.227-7028	0704-0369

## DFARS Procedures, Guidance, and Information

### PGI 201—Federal Acquisition Regulations System

---

DFARS Segment	OMB Control No.
252.227-7037	0704-0369
252.228-7000	0704-0216
252.228-7005	0704-0216
252.228-7006	0704-0216
252.229-7010	0704-0390
252.232-7002	0704-0321
252.232-7007	0704-0359
252.234-7002	0704-0479
252.235-7000	0704-0187
252.235-7001	0704-0187
252.235-7003	0704-0187
252.236-7000	0704-0255
252.236-7002	0704-0255
252.236-7003	0704-0255
252.236-7004	0704-0255
252.236-7010	0704-0255
252.236-7012	0704-0255
252.237-7000	0704-0231
252.237-7011	0704-0231
252.237-7023	0704-0231
252.237-7024	0704-0231
252.239-7000	0704-0341
252.239-7006	0704-0341
252.239-7009	0704-0478
252.239-7010	0704-0478
252.242-7004	0704-0250
252.243-7002	0704-0397
252.244-7001	0704-0253
252.245-7003	0704-0246
252.246-7003	0704-0441
252.246-7005	0704-0481
252.246-7006	0704-0481
252.246-7009	0704-0541
252.247-7000	0704-0245
252.247-7001	0704-0245
252.247-7002	0704-0245

# DFARS Procedures, Guidance, and Information

## PGI 201—Federal Acquisition Regulations System

---

DFARS Segment	OMB Control No.
252.247-7007	0704-0245
252.247-7022	0704-0245
252.247-7023	0704-0245
252.247-7024	0704-0245
252.247-7026	0704-0245
252.247-7028	0704-0245
252.249-7002	0704-0533
252.251-7000	0704-0252
Appendix F	0704-0248
Appendix I	0704-0332
DD Form 1348-1A	0704-0246
DD Form 1639	0704-0246
DD Form 1659	0704-0245
DD Form 2063	0704-0231
DD Form 2139	0704-0229
DD Form 250	0704-0248
DD Form 250-1	0704-0248

### **PGI 201.109 Statutory acquisition-related dollar thresholds – adjustment for inflation.**

Statutory acquisition-related dollar thresholds are reviewed every 5 years to calculate adjustment for inflation, as required by Section 807 of the National Defense Authorization Act for Fiscal Year 2005 (Public Law 108-375). The matrix showing the most recent escalation adjustments of statutory acquisition-related dollar thresholds in the DFARS is available [here](#).

### **PGI 201.170 Peer Reviews.**

The tenets of the DoD-wide architecture for the acquisition of services along with the associated review criteria are available [here](#). These matrices are to be used when conducting preaward and postaward peer reviews on acquisitions for services. See [PGI 237.102-76](#), Review criteria for the acquisition of services.

#### **PGI 201.170-1 Objective of Peer Reviews.**

The objectives of Peer Reviews are to—

- (a) Ensure that DoD contracting officers are implementing policy and regulations in a consistent and appropriate manner;
- (b) Continue to improve the quality of contracting processes throughout DoD; and
- (c) Facilitate cross-sharing of best practices and lessons learned throughout DoD

# DFARS Procedures, Guidance, and Information

## PGI 201—Federal Acquisition Regulations System

---

Defense Procurement and Acquisition Policy maintains a database of Peer Review recommendations, lessons learned, and best practices that is available at: [http://www.acq.osd.mil/dpap/cpic/cp/peer\\_reviews.html](http://www.acq.osd.mil/dpap/cpic/cp/peer_reviews.html).

### **PGI 201.170-2 Pre-award Peer Reviews.**

(a) Pre-award Peer Reviews for competitive acquisitions shall be conducted prior to each of the following three phases of the acquisition:

- (1) Issuance of the solicitation.
- (2) Request for final proposal revisions (if applicable).
- (3) Contract award.

(b) Pre-award Peer Reviews for non-competitive acquisitions shall be conducted prior to each of the following two phases of the acquisition:

- (1) Negotiation.
- (2) Contract award.

### **PGI 201.170-3 Post-award Peer Reviews of service contracts.**

(a) If the base period of performance is greater than one year, the first post-award Peer Review should take place at the mid-point of the base period of performance. If the base period of performance is one year or less, the post-award Peer Review should occur prior to exercise of the first option year. Post-award Peer Reviews should occur prior to every option period thereafter.

(b) Post-award Peer Reviews shall be focused on—

- (1) The adequacy of competition;
- (2) An assessment of actual contract performance; and
- (3) The adequacy of Government surveillance of contract performance.

### **PGI 201.170-4 Administration of Peer Reviews.**

(a) The results and recommendations that are products of Peer Reviews are intended to be advisory in nature; however, in the event the Peer Review report includes a recommendation that is identified as “significant” and the contracting officer does not intend to follow that recommendation, the senior procurement official of the contracting activity for

# DFARS Procedures, Guidance, and Information

## PGI 201—Federal Acquisition Regulations System

---

the reviewed organization must be made aware of this fact before action is taken (or inaction, as applicable) that is contrary to the recommendation. Reviews will be conducted in a manner that preserves the authority, judgment, and discretion of the contracting officer and the senior officials of the acquiring activity.

(b) Peer Review teams will be comprised of senior contracting officials and attorneys from throughout DoD. A senior official designated by the OSD Office of Small Business Programs will participate as a team member on Peer Reviews of services acquisitions. Teams will include civilian employees or military personnel external to the department, agency, or component that is the subject of the Peer Review.

(c) Generally, each review will be conducted at the location of the executing contracting organization.

(d) A list of the documents that must be made available to the review team, along with the specific elements the team will examine, is provided at the end of this PGI section.

(e) The review team observations and recommendations will be communicated to the contracting officer and the senior procurement official immediately upon completion of a review.

(f) The contracting officer shall document the disposition of all Peer Review recommendations (i.e., state whether the recommendation will be followed and, if not, why not) as a signed memorandum for the record in the applicable contract file. This memorandum must be executed prior to the next phase Peer Review or prior to contract award for Phase 3 reviews. For post-award Peer Reviews of services acquisitions, the memorandum must be executed prior to the next option exercise. The contracting officer shall provide a copy of the memorandum to: Deputy Director, Defense Procurement and Acquisition Policy (Contract Policy and International Contracting), 3060 Defense Pentagon, Washington, DC 20301-3060.

<p style="text-align: center;"><b>Pre-award Peer Reviews Required Documents and Elements</b></p>
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**Required Documents:** At a minimum, Peer Review teams shall have access to the following documents (as applicable):

1. The requirements document, to include the Acquisition Decision Memorandum;
2. The acquisition strategy, or acquisition plan;
3. The source selection plan;
4. The initial Request for Proposals (RFP) and all amendments to include what, if any, RFP requirements (technical and contractual) were changed and why;

# DFARS Procedures, Guidance, and Information

## PGI 201—Federal Acquisition Regulations System

---

5. The Source Selection Evaluation Board (SSEB) analysis and findings to ensure the evaluation of offers was consistent with the Source Selection Plan and RFP criteria;
6. Any meeting minutes memorializing discussions between the Government and offerors;
7. All evaluation notices generated as a result of deficiencies in the offerors' proposals as well as the offerors' responses to those evaluation notices;
8. All minutes memorializing the conduct of Source Selection Advisory Council (SSAC) deliberations held to date;
9. The offerors' responses to the request for Final Proposal Revision;
10. The final SSAC deliberations;
11. The final SSA determination and source selection decision;
12. Award/incentive fee arrangements, documentation of any required HCA D&Fs regarding non-availability of objective criteria;
13. Justification and Approval for use of non-competitive procedures; and
14. Documentation of pre-negotiation objectives, cost/price negotiation and the assessment of contractor risk in determining profit or fee.

### **Elements to be addressed:**

1. The process was well understood by both Government and Industry;
2. Source Selection was carried out in accordance with the Source Selection Plan and RFP;
3. The SSEB evaluation was clearly documented;
4. The SSAC advisory panel recommendation was clearly documented;
5. The SSA decision was clearly derived from the conduct of the source selection process;
6. All source selection documentation is consistent with the Section M evaluation criteria; and
7. The business arrangement.

<b>Post-award Peer Reviews Required Documents and Elements</b>
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**Required Documents:** At a minimum, Peer Review teams shall have access to the following documents (as applicable):

1. The requirements document;
2. The business arrangement, including business case analysis;
3. Market research documentation;
4. The business clearance, including documentation of cost/price negotiation and the assessment of contractor risk in determining profit or fee.
5. Contractor surveillance documentation to include metrics, quality assurance surveillance plans; and

# DFARS Procedures, Guidance, and Information

## PGI 201—Federal Acquisition Regulations System

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6. The contract and modifications thereof.

### **Elements to be addressed, at a minimum, in every post-award review:**

1. Contract performance in terms of cost, schedule, and requirements;
2. Use of contracting mechanisms, including the use of competition, the contract structure and type, the definition of contract requirements, cost or pricing methods, the award and negotiation of task orders, and management and oversight mechanisms;
3. Contractor's use, management, and oversight of subcontractors;
4. Staffing of contract management and oversight functions; and
5. Extent of any pass-throughs, and excessive pass-through charges by the contractor (as defined in section 852 of the National Defense Authorization Act for Fiscal Year 2007, Public Law 109-364).
6. Steps taken to mitigate the risk that, as implemented and administered, non-personal services contracts may become de facto personal services contracts.

### **Elements to be addressed in post-award reviews of contracts under which one contractor provides oversight for services performed by other contractors:**

1. Extent of the DoD component's reliance on the contractor to perform acquisition functions closely associated with inherently governmental functions as defined in 10 U.S.C. 2383(b)(3); and
2. The financial interest of any prime contractor performing acquisition functions described in paragraph (1) in any contract or subcontract with regard to which the contractor provided advice or recommendations to the agency.

# DFARS Procedures, Guidance, and Information

## PGI 202—DEFINITIONS OF WORDS AND TERMS

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*(Revised December 8, 2017)*

### PGI 202.1—DEFINITIONS

#### PGI 202.101 Definitions.

DoD contracting activities are—

(1) Department of Defense.

Department of Defense Education Activity  
Joint Improvised Explosive Device Defeat Organization  
Washington Headquarters Services, Acquisition Directorate  
Inspector General of the Department of Defense (limited contracting authority  
for use of the Governmentwide commercial purchase card)

(2) Department of the Air Force.

Office of the Assistant Secretary of the Air Force (Acquisition)  
Office of the Deputy Assistant Secretary (Contracting)  
Air Force Materiel Command  
Air Force Space Command  
Air Combat Command  
Air Mobility Command  
Air Education and Training Command  
Pacific Air Forces  
United States Air Forces in Europe  
Air Force Special Operations Command  
Air Force Reserve Command  
Air Force Global Strike Command  
Air Force Life Cycle Management Center  
Air Force District of Washington  
United States Air Force Academy  
Air Force Operational Test and Evaluation Center  
Space and Missile Systems Center  
Air Force Intelligence, Surveillance and Reconnaissance Agency

(3) Department of the Army.

Deputy Assistant Secretary of the Army (Procurement)  
Headquarters, U.S. Army Materiel Command  
Headquarters, U.S. Army Medical Command  
National Guard Bureau  
U.S. Army Corps of Engineers

# DFARS Procedures, Guidance, and Information

## PGI 202—DEFINITIONS OF WORDS AND TERMS

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- (4) Department of the Navy.
  - Deputy Assistant Secretary of the Navy (Acquisition and Procurement)
  - Marine Corps Systems Command
  - Military Sealift Command
  - Installations and Logistics, Headquarters, U.S. Marine Corps
  - Naval Air Systems Command
  - Naval Facilities Engineering Command
  - Naval Sea Systems Command
  - Naval Supply Systems Command
  - Office of Naval Research
  - Space and Naval Warfare Systems Command
  - Strategic Systems Programs
  
- (5) Defense Advanced Research Projects Agency.
  - Office of the Deputy Director, Management
  
- (6) Defense Commissary Agency.
  - Directorate of Contracting
  
- (7) Defense Contract Management Agency.
  - Office of the Executive Director, Contracts, Defense Contract Management Agency
  
- (8) Defense Finance and Accounting Service.
  - External Services, Defense Finance and Accounting Service
  
- (9) Defense Health Agency.
  - Directorate of Procurement
  
- (10) Defense Information Systems Agency.
  - Defense Information Technology Contracting Organization
  
- (11) Defense Intelligence Agency.
  - Office of Procurement
  
- (12) Defense Logistics Agency.
  - DLA Acquisition (J-7)
  - DLA Aviation
  - DLA Energy
  - DLA Land and Maritime
  - DLA Troop Support
  
- (13) Defense Security Cooperation Agency.
  - Contracting Division

# DFARS Procedures, Guidance, and Information

## PGI 202—DEFINITIONS OF WORDS AND TERMS

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- (14) Defense Security Service.  
Office of Acquisitions
- (15) Defense Threat Reduction Agency.  
Acquisition Management Office
- (16) Missile Defense Agency.  
Headquarters, Missile Defense Agency
- (17) National Geospatial-Intelligence Agency.  
Procurement and Contracting Office
- (18) National Security Agency.  
Headquarters, National Security Agency
- (19) United States Special Operations Command.  
Headquarters, United States Special Operations Command
- (20) United States Transportation Command.  
Directorate of Acquisition

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

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(Revised December 8, 2017)

### PGI 204.6—CONTRACT REPORTING

As used in this subpart, the unique entity identifier is currently the Data Universal Numbering System (DUNS) number.

#### PGI 204.602 General.

(1) *Helpful documents.* The Federal Procurement Data System (FPDS) website at <https://www.fpds.gov> provides useful documents and on-line training to assist with FPDS data entry. Key manuals can be found at the top of the website homepage under the “Training” and “Worksite” drop-down links to include:

(i) *FPDS Data Element Dictionary.* The data dictionary outlines relevant information for each data field. The Data Dictionary identifies whether a data field is “Required,” “Optional,” “Propagates from the base action,” “Not Applicable,” or “System Generated,” for each type of data entry screen (Awards, Indefinite-Delivery Vehicles, and Transactions/Modifications). It also identifies the source of data entry (e.g., Contracting Officer, System for Award Management (SAM), FPDS); the format of the field; and whether the field input is derived from entries in other fields. At the back of the Data Dictionary is a useful summary.

(ii) *FPDS Data Validations.* This document identifies all the validation rules that are applied to data entry. The majority of the rules apply Governmentwide. DoD specific validation rules appear at “5.5.1 DoD Specific Validations.”

(iii) *FPDS Users Manual.* This manual provides guidance on the various types of data entry screens and addresses whether a particular field is: “[R]” – requires contracting officer/buyer entry; “[A]” – pre-populated by FPDS or a contract writing system, if using machine-to-machine process; or “[C]” – calculated by FPDS for each type of data entry screen. However, the nature of the field is determined based on Governmentwide requirements. To determine DoD-specific requirements, refer to J3 “DoD Use Case Summary” in the FPDS Data Element Dictionary. The FPDS User Manual is not a policy document; it is intended only for general guidance. Refer to this PGI section for specific FPDS reporting entries.

(2) *Reporting technical or policy issues.*

(i) *Technical issues.* To report an FPDS technical issue—

(A)(1) Users of the Standard Procurement System (SPS) should contact their local SPS Help Desk (authorized SPS caller);

(2) Users of other contract writing systems should contact the local contract writing system administrator to determine the appropriate procedures; and

(3) Web users should contact their local system administrator, who will then contact the FPDS Help Desk; or

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

(B) If the issue is an obvious FPDS technical issue that needs to be documented and corrected by the system, the user should contact the Federal Service Desk (FSD), by telephone at 866-606-8220 (U.S. or DSN), or 334-206-7828 (International), or submit a comment or request at [www.fsd.gov](http://www.fsd.gov). When e-mailing FSD, also send a copy to the applicable agency representative identified in paragraph (2)(iii) of this section.

(ii) *Policy issues.* Report policy issues to the applicable agency representative identified in paragraph (2)(iii) of this section.

(iii) *Agency representatives.* Department and component FPDS representatives and their contact information can be found on the DPAP website at [http://www.acq.osd.mil/dpap/pdi/eb/federal\\_procurement\\_data\\_system\\_-\\_next\\_generation\\_fpds-ng.html](http://www.acq.osd.mil/dpap/pdi/eb/federal_procurement_data_system_-_next_generation_fpds-ng.html) under “Additional Resources.”

### PGI 204.604 Responsibilities.

(1) The OSD Procurement Data Improvement Plan, posted at <http://www.acq.osd.mil/dpap/pdi/eb/dataimp.html#>, applies to each of the military services and agencies with procurement authority, and identifies the data validation requirements and responsibilities that support the annual Department of Defense certification identified at FAR 4.604(c). These review requirements encompass contract action reports submitted to FPDS, terminations for default and other documents submitted to the Federal Awardee Performance and Integrity Information System (FAPIIS), and other reporting and posting requirements.

(2) Contract action reports (CARs) must be completed in compliance with the timelines established in FAR 4.604(b)(2) and (3). CARs or their data are not available for public view or for non-DoD use until 90 days after the “Date Signed” data element in order to minimize risk to military operations.

### PGI 204.606 Reporting data.

Do not enter a generic DUNS number used for reporting to the Federal Procurement Data System (FPDS) (see FAR subpart 4.6), nor a generic CAGE code that corresponds to a generic DUNS number, on any contractual document. These generic codes shall only be used for reporting to FPDS. Using the generic codes on actual contract actions masks the true identity of the vendor and immediately makes any accurate electronic processing of invoices, receiving reports, and payments impossible; and can, in fact, result in misdirected payments. As a reminder FAR subpart 4.18 requires each contractor be identified by its actual CAGE code on contract actions; there is no exemption to the requirement for an actual CAGE code.

(1) *Methods of reporting to FPDS.*

(i) *Individual contract action report (CAR) (one CAR per contract action).*  
The normal method of reporting to FPDS is through the use of individual CARs.

(A) An individual CAR is required to be reported for each of the following types of awards regardless of the estimated value of the award:

(1) Indefinite-delivery contract.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

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- (2) Blanket purchase agreement (prescribed by FAR parts 8 or 13).
  - (3) Basic ordering agreement.
  - (4) Basic agreement (only if the agreement has a value and potential obligations greater than \$0).
  - (5) Task and delivery orders and calls issued under any agreement or indefinite-delivery contract (including Federal Supply Schedules, Governmentwide acquisition contracts, or multi-agency contracts).
  - (6) Modification to any contract, agreement, order, or call where a CAR is required for the base award regardless of the amount being obligated or deobligated on the modification.
- (B)(1) An individual CAR is required to be reported for each of the following types of awards when the award process was conducted using other than micro-purchase procedures and the value is greater than the micro-purchase threshold (MPT):
- (i) Purchase order.
  - (ii) Definitive contract.
- (2) Although a contract action report is not required for these awards when micro-purchase procedures were followed and the value is less than the MPT, it is encouraged as a best practice if the award was not accomplished using the Governmentwide commercial purchase card or a Standard Form 44. Additionally, when the purchase order or definitive contract being awarded is in response to a contingency, an individual report is required when the value of the award is greater than \$25,000, not the MPT of \$30,000 referenced in FAR part 2.
- (C) See paragraphs (1)(ii) and (iii) of this section for exceptions to individual reporting.
- (ii) *Multiple CARs (more than one CAR per contract action).*
- (A) Prepare multiple CARs if the contract or order award is anticipated to include both foreign funding and U.S. funding.
- (B) The determination of whether multiple CARs are needed for the situations described in paragraph (1)(ii)(A) of this section is made when the contract or order is awarded. Contracting officers are not required to delete and re-enter CARs in FPDS as multiple CARs if, during the life of the contract or order, subsequent unanticipated modifications make the award eligible for multiple CARs.
- (C) The following multiple CAR transaction identification numbers have been established for reporting multiple CARs and shall be used by all DoD contracting offices. Do not use transaction numbers other than "0" if the requirements for multiple CARs in paragraphs (1)(ii)(A) and (B) of this section do not apply at the time of contract or order award. When reporting modifications, include the transaction number that was reported on

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

the initial base award in order to properly identify the referenced contract action report. If the situation described in paragraph (1)(ii)(A) of this section exists, transaction numbers should be assigned based on foreign military sales (FMS) and non-FMS rather than by type of contract pricing arrangement. Do not use multiple CARs with transaction numbers other than “0” if the entire award is expected to be funded by foreign funding.

Transaction Type	Transaction Number
No multiple CARs	0
FMS	14
Non-FMS	16

(iii) *Express reporting (consolidated reporting of multiple contract actions, to be submitted at least monthly).*

(A) Express reporting may be used for—

(1) Multiple contract actions against a single contract or agreement, when monthly volume of actions is such that individual contract action reporting is overly burdensome (e.g., orders placed by the Defense Commissary Agency; installation housing maintenance; and recurring blanket purchase agreement actions);

(2) Multiple contract actions accomplished away from the contracting office, such as ships away from home port; contingency, humanitarian, or peacekeeping operations; or other remote deployments;

(3) Multiple delivery orders that use the Governmentwide commercial purchase card as both the method of purchase and payment under Federal Supply Schedules, Governmentwide acquisition contracts (GWACs), blanket purchase agreements (BPAs), basic ordering agreements (BOAs), and other indefinite-delivery type contracts;

(4) Multiple contract actions for energy-related supplies and associated services accomplished by Defense Logistics Agency (DLA) Energy; and

(5) Orders under communications service agreements for local dial tone services, in accordance with agency procedures.

(B) When express reports reflect more than one contractor for overseas actions or consolidated delivery orders made using the Governmentwide commercial purchase card where identification of the contract or agreement is not possible, use the appropriate generic DUNS number.

(C) When express reports are used, sum all of the actions and enter in the “Number of Actions” data field. Also sum all of the obligations and enter in the “Action Obligation,” “Base and Exercised Options Value,” and “Base and All Options Value” data fields. Express reports shall be submitted no less frequently than monthly.

(D) When express reports are used, the contracting officer must maintain a log of individual actions being summarized on the express reports and ensure it is available for audit purposes. Logs must include the following, at a minimum, for each action: procurement instrument identifier (PIID) used for the express report under which action is summarized, referenced Indefinite-Delivery Vehicles (IDV) PIID (if the express report is

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

summarizing task/delivery/call orders), date of award, obligation amount, vendor name, and DUNS number (if known).

(2) *Actions not reported.* In addition, to the types of actions listed in FAR 4.606(c), do not report the following types of actions to FPDS:

(i) Orders placed by ordering officers against IDVs awarded by—

(A) The United States Transportation Command (USTRANSCOM) or its components for decentralized transportation-related services. USTRANSCOM will report these orders. Contracting officers shall submit consolidated reports of orders (bookings/bills of lading) at least annually to USTRANSCOM; or

(B) DLA Energy for energy-related supplies and associated services using defensewide working capital funds. DLA Energy will report these orders. It is the responsibility of the contracting office to ensure that orders placed against these vehicles using other than defensewide working capital funds are reported to FPDS.

(ii) Contracts, agreements, or orders that are themselves classified.

(3) *Specific instructions for entering data in FPDS.*

(i) Contracting officers shall choose the correct FPDS format (e.g., purchase order, basic ordering agreement (BOA), blanket purchase agreement (BPA)) to report the award of a new contract, agreement, or order. Note that prior to fiscal year (FY)10, DoD offices reported BOAs and BPAs as IDCs in FPDS; BPA calls issued under those pre-FY10 reported BPAs are reported using the task/delivery order format in FPDS. BPA calls issued under DoD issued BPAs reported FY10 or later or any civilian agency issued BPA should be reported using the BPA call format.

(ii) The remaining instructions in this section cover the different sections of an FPDS contract action report, as presented to the user in the system. Not every data element is addressed here, as many are self-explanatory. Users should also consult the FPDS User Manual referenced in [PGI 204.602\(1\)\(iii\)](#) for more complete descriptions and examples. Also, the instructions in this section use data field names based on what is shown to the user while entering data in FPDS; for more specific information, review the FPDS Data Element Dictionary referenced in [PGI 204.602\(1\)\(i\)](#).

(iii) *FPDS Entry – Document Information Section.*

(A) Enter the new contract, agreement, or order number in the “Procurement Instrument Identifier” data field. Note that new awards will be reflected as Modification 0 in FPDS.

(B) If the action is a BPA awarded against a Federal Supply Schedule, enter the Federal Supply Schedule contract number in the “Referenced IDV ID” data field.

(C) If the action is a delivery order awarded against a Federal Supply Schedule, Governmentwide acquisition contract (GWAC), BOA, or other IDC; enter that contract or BOA number in the “Referenced IDV ID” data field.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

(D) If the action is a BPA call awarded against a BPA, enter the BPA number in the “Referenced IDV ID” data field.

(E) If the action is a modification, enter the contract, agreement, or order number in the “Procurement Instrument Identifier” data field and the modification number in the “Modification Number” data field.

(F) If multiple reports are required by paragraph (1)(ii) of this section, then enter the appropriate transaction number in the “Transaction Number” data field.

(G) If the award is associated with a solicitation, enter the solicitation number in the “Solicitation ID” data field.

(H) If the award is associated with an initiative identified in FPDS (e.g., American Recovery and Reinvestment Act), choose the appropriate value in the “Treasury Account Symbol Initiative” data field.

(iv) *FPDS Entry –Treasury Account Symbol (TAS) data fields.*

(A) The TAS is used in FPDS to identify the type of funding obligated on a contract action. Per guidance from the Office of Management and Budget, the TAS is used as the “program source” data element required by the Federal Funding Accountability and Transparency Act. TAS data fields are now optional; however, if entering the data follow the instructions in this section.

(B) The TAS should be provided by the requiring organization with the purchase request, and is often part of the line of accounting. The list of valid TAS is maintained by the Department of Treasury in the FASTBook; an on-line version of the FASTBook is available at <http://www.fms.treas.gov/fastbook/index.html>. Each TAS reported to FPDS includes a character agency identifier and a four character main account code (example: 97 0100). Some TAS also require a three character subaccount code. Note that the Department of Treasury FASTBook indicates a transition from a two character agency identifier to a three character agency identifier. However at this time, DoD contracting officers are advised to drop the leading zero (0) from a three character agency identifier, and enter the next two characters in FPDS (e.g., 097 becomes 97).

(C) Report the TAS on CARs for each contract action with an obligation amount other than \$0. The TAS that is reported on a CAR should represent the predominant type of funding in terms of absolute dollars obligated and deobligated on the specific contract action being reported.

(D) For contract actions awarded by working capital funds offices, and the original type of funds received from the customer are not tied to specific procurements or otherwise identifiable, use the TAS that represents the working capital funds provided.

(E) For contract actions funded by foreign governments, and those funds are not considered under the Foreign Military Financing Program identified by the Department of Treasury in its FASTBook, in order to report the action to FPDS using the code that most closely approximates the use of the funds, then enter—

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

(1) 97 0100 (Operation and Maintenance, Defense-Wide, Defense) as the TAS for requirements that can be categorized as operations and maintenance in nature;

(2) 97 0300 (Procurement, Defense-Wide) as the TAS for requirements that can be categorized as procurement in nature; or

(3) 97 0400 (Research, Development, Test, and Evaluation, Defense-Wide) as the TAS for requirements that can be categorized as research and development in nature.

(F) USTRANSCOM should use 97 0100 (Operation and Maintenance, Defense-Wide, Defense) as the TAS when reporting the consolidated orders of from their decentralized transportation-related services contracts.

(G) Additional information regarding the TAS is available in Frequently Asked Questions on the DPAP website at <http://www.acq.osd.mil/dpap/> in the eBusiness / FPDS section.

(v) *FPDS Entry – Dates Section.*

(A) The “Date Signed” data field represents the date the contracting officer signed or otherwise awarded the contract action.

(B) The “Effective Date” data field represents the date the period of performance begins. For actions where an authorization to proceed was given prior to the signed contract action, use the date of the authorization in this data element.

(C) The date entered in the “Completion Date” data field shall be the latest period of performance / delivery date of all of the exercised line items on the contract or order. This data field shall be updated on the contract action report used to report the modification whenever line items are added or exercised by modification that extend the period of performance / delivery date beyond what was previously entered, including the exercise of any option years.

(D) The date entered in the “Estimated Ultimate Completion Date” data field shall be the latest period of performance / delivery date of all line items on the contract or order, including unexercised line items and option years. This data field shall be updated on the contract action report used to report the modification whenever line items are added or changed by modification that extend the period of performance/delivery date beyond what was previously entered.

(E) The date entered in the “Last Date to Order” data field on IDCs, BOAs, and BPAs shall be the last date allowed by the contract for the contractor to accept orders. This data field shall be updated whenever this date is changed by modification from what was previously entered.

(vi) *FPDS Entry – Amounts Section.*

(A) When entering a net deobligation on a contract action, include the minus (-) sign.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

(B) The amount entered in the “Base and Exercised Options Value” for new awards shall be the total value (represented in U.S. dollars and cents) of all the exercised line items on the contract or order regardless of whether they are partially or fully funded. This data field shall be updated on the contract action report used to report the modification whenever the current value of the contract or order is changed by modification, including when options are exercised. When reporting such a modification, report the net value of the change itself in “Current” field; FPDS will calculate the new total Base and Exercised Options Value. When an Administrative Contracting Officer (ACO) executes a modification and the previous value reported in FPDS is incorrect, the ACO shall notify the procuring contract office of the discrepancy and enter the appropriate value in the “Current” field of the “Base and Exercised Options Value” to ensure a correct total is represented. The ACO shall document the correction of the discrepancy in the contract file.

(C) The amount entered in the “Base and All Options Value” for new awards shall be the total potential value of the award (represented in U.S. dollars and cents) (e.g., total price, total not-to-exceed amount, maximum award amount, etc.), including the value of all unexercised line items and options. For blanket purchase agreements and basic ordering agreements, enter the total expected amount for orders that will be issued. Note: on IDV formats in FPDS, this data element is named “Base and All Options Value (Total Contract Value).”

(1) For each IDC resulting from a solicitation where multiple awards were contemplated, this is the maximum for that resulting specific contract. Note: this amount is not always the same as the ceiling for the program under which multiple contracts were awarded. Each contract shall have a specific ceiling identified for that specific period (see FAR 16.504(a)(4)(ii)).

(2) This data field shall be updated on the contract action report used to report the modification whenever the total potential value is changed by modification, including changes made as a result of overruns or claims. When reporting such a modification, report the net value of the change itself in “Current” field; FPDS will calculate the new total Base and All Options Value. When an ACO executes a modification and the previous value reported in FPDS is incorrect, the ACO shall notify the procuring contract office of the discrepancy and enter the appropriate value in the “Current” field of the “Base and All Options Value” to ensure a correct total is represented. The ACO shall document the discrepancy correction in the contract file.

(D) The amount entered in the “Action Obligation” for new awards shall be the total value of all the obligated funds on the contract or order, represented in U.S. dollars and cents. When reporting a modification, report the net value of the change in funding accomplished by the modification in the “Current” field; FPDS will calculate the new total action obligation value. When an ACO executes a modification and the previous value reported in FPDS is incorrect, the ACO shall notify the procuring contract office of the discrepancy and enter the appropriate value in the “Current” field of the “Action Obligation Value” field to ensure a correct total is represented. The ACO shall document the discrepancy correction in the contract file.

(E) The amount entered in the “Total Estimated Order Value” for new IDC awards shall be the total estimated value of all anticipated orders to be placed under the contract. For DoD, this value should match the “Base and All Options” value, as DoD does not obligate funds on indefinite-delivery contracts themselves.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

### (vii) *FPDS Entry – Purchaser Information Section.*

(A) Enter the contracting office's DoD Activity Address Code (DoDAAC) in as the "Contracting Office ID" data field.

(B) If the requiring organization is a DoD organization, enter the DoDAAC for the requiring office in the "Funding Office ID" data field. This is normally the DoDAAC that is included on the purchase request in the purchase request number. If the contract action is supporting working capital funded efforts and the specific requiring office is unknown, enter the DoDAAC for the working capital funded office. Do not enter the DoDAAC from the contracting office in the "Funding Office ID" field unless the contracting office is also the requiring organization.

(C) If the requiring organization is not a DoD organization, enter the Funding Office ID provided on the interagency agreement in the "Funding Office ID" data field.

(D) Choose the appropriate value in the "Foreign Funding" data field as to whether or not the action includes foreign funding that is identified as FMS. If the action does not include foreign funds, choose "Not Applicable."

### (viii) *FPDS Entry – Contractor Information Section.*

(A) Enter the DUNS number for the vendor in the "DUNS Number" data field. This DUNS number will be used to pull the associated current record from the System for Award Management (SAM) database.

(B) If a SAM exception applies to the procurement, ensure the correct exception is chosen from the "SAM Exception" data field. In this case the DUNS number entered in the "DUNS Number" data field will be used to pull the contractor's name and location information from Dun & Bradstreet's database.

### (ix) *FPDS Entry – Contract Data Section.*

(A) On the contract action report used to report the base award, choose the type of contract pricing in the "Type of Contract" data field that is applicable to the predominant amount of the action, based on the value of the line items. This value will automatically populate any subsequent contract action reports for modifications.

(B) If the procurement is for services, enter the appropriate Inherently Governmental Functions indicator:

(1) "Closely Associated" means functions that are closely associated with inherently governmental functions; those contractor duties that could expand to become inherently governmental functions without sufficient management controls or oversight on the part of the Government. Office of Federal Procurement Policy (OFPP) Policy Letter 11-01, Performance of Inherently Governmental and Critical Functions, provides examples of work that is inherently governmental and therefore must be performed by Federal employees and work that is closely associated with inherently governmental functions that may be performed by either Federal employees or contractors.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

(2) “Critical Functions” means functions that are necessary to the agency being able to effectively perform and maintain control of its mission and operations. Typically, critical functions are recurring and long-term in duration.

(3) “Other Functions” means neither “Closely Associated Functions” nor “Critical Functions.”

(4) For services that include performing both “Closely Associated” and “Critical Functions,” select “Closely Associated, Critical Functions.”

(5) If services include performing “Other Functions” and either “Closely Associated” or “Critical Functions,” select only the “Closely Associated” or “Critical Functions” value.

(C) Enter “Yes” in the “Multiyear Contract” field if the procurement is a multiyear contract in accordance with FAR 17.1; otherwise enter “No.”

(D) Enter the full name of the program, not an acronym or abbreviation, in the “Major Program” field if there is an associated program name. Contracts and agreements with ordering provisions established as multi-agency contracts or for wide use within an agency shall always include a program name in order to ensure they are correctly represented in the Interagency Contract Directory (ICD) (see FAR 7.105(b)(1) for website).

(E) If the procurement is as a result of a requirement responding specifically to a National Interest Action that is listed in this field (for example, “Hurricane Sandy” or “Operation Enduring Freedom (OEF),”) then select the appropriate value. Otherwise, enter “None.”

(F) For indefinite-delivery contracts, enter the appropriate type of IDC in the “Type of IDC” field: Indefinite Quantity, Requirements, or Definite Quantity.

(G) For IDVs in the “Multiple or Single Award IDV” field:

(1) Select “Multiple Award” when the contract action is—

(i) One of several indefinite-delivery indefinite-quantity (IDIQ) contracts awarded under a single solicitation in accordance with FAR 16.504(c);

(ii) One of several blanket purchase agreements (BPAs) awarded against a Federal Supply Schedule in accordance with FAR 8.405-3;

(iii) Any other IDIQ contract that an agency enters into with two or more sources under the same solicitation that requires contracting officers to compare or compete their requirements among several vendors; or

(iv) A part 13 BPA or Basic Ordering Agreement (BOA) with multiple awards.

(2) Select “Single Award” when the contract does not satisfy any of the above criteria for a multiple award.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

(H) When reporting the initial award of IDCs and agreements that allow orders to be placed by other contracting offices, enter the acronym or short abbreviation of the program name for the program supported by the contract or agreement with ordering provisions in the “Program Acronym” field. Contracts and agreements with ordering provisions established as multi-agency contracts or for wide use within an agency shall always include an acronym or abbreviated program name, and the first five characters of this field shall be:

(1) “FSSI-” for a federal strategic sourcing initiative (FSSI) vehicle.

(2) “MMAC-” for a multiple-award multi-agency contract.

(3) “SMAC-” for a single-award multi-agency contract.

(4) “MBPA-” for a blanket purchase agreement available for use outside of the Department of Defense.

(5) “AGYV-” for an agency-wide acquisition vehicle. For the purpose of this section, an agency-wide acquisition vehicle is an IDC, BPA, or basic ordering agreement intended for the sole use of the Department of Defense. These may be for DoD-wide use or limited to one or more specific Military Services or Defense Agencies.

(I) In the “Cost or Pricing Data” field, enter “Yes” if certified cost and pricing data were obtained. Enter “Not Obtained – Waived” if the requirement for certified cost and pricing data was waived. Enter “No” if certified cost or pricing data were not obtained and no waiver was required. See FAR 15.403 for the requirements for certified cost and pricing data.

(J) Enter “Yes” in the “Purchase Card as Payment Method” field if the Governmentwide commercial purchase card was used as the method of payment or as both the method of purchase and payment for the contract action. Enter “No” if neither was the case.

(K) In the “Un definitized Action” field, enter “Letter Contract” if the procurement is a letter contract that meets the description in FAR 16.603-1. Enter “Other Un definitized Action” if the procurement is for any other unpriced action that shall be subsequently definitized. Enter “No” if neither of these situations is applicable.

(L) Enter “Yes” in the “Performance Based Service Acquisition” field if the procurement is for services and performance based acquisition procedures were used. Enter “No” if the procurement is for services and performance based acquisition procedures were not used. Enter “Not Applicable” if the procurement is not for services.

(M) In the “Contingency Humanitarian Peacekeeping Operation” field, enter “Contingency” if the procurement is in response to a contingency operation as defined in 10 U.S.C. 101(a)(13) or “Humanitarian” if the procurement is in response to a humanitarian or peacekeeping operation as defined in 10 U.S.C. 2302(8). Otherwise, enter “Not Applicable.”

(N) In the “Cost Accounting Standards Clause” field, enter “Yes” if the procurement was subject to cost accounting standards (CAS) and the associated clauses were included in the contract. Enter “No – CAS Waiver Approved” if the procurement is

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

subject to CAS but a waiver was approved. Enter “Not Applicable” if neither situation applies.

(O) *Consolidated Contract.*

(1) Enter “Consolidated Requirements” when the requirements meet the definition of “Consolidation or consolidated requirement” at FAR 2.101 but a written determination was not made because the estimated value of the requirements were at or below \$2 million, or the requirements are bundled and a written determination for bundling is required.

(2) Enter “Consolidated Requirements with Written Determination” when the requirements meet the definition of “Consolidation or consolidated requirement” at FAR 2.101 and a written determination is made in accordance with FAR 7.107-2.

(3) Enter “Consolidated Requirements Under FAR 7.107-1(b) Exceptions” when the requirements meet the definition of “Consolidation or consolidated requirement” at FAR 2.101 but do not require a written determination in accordance with FAR 7.107-1(b).

(4) Enter “Not Consolidated” when the requirements do not meet the definition of “Consolidation or consolidated requirement” at FAR 2.101.

(P) Enter “1” in the “Number of Actions” data field unless using Express Reporting procedures described in paragraph (1)(iii) of this section.

(x) *FPDS Entry – Legislative Mandates Section.*

(A) For the “Clinger-Cohen Act,” “Labor Standards,” “Materials, Supplies, Articles, and Equipment,” and “Construction Wage Rate Requirements” data elements, answer “Yes” if the acts apply to any of the line items on the award. Choose “Not Applicable” if the act itself is not applicable based on implementation requirements in the FAR for each act (see FAR subparts 22.10, 22.6, and 22.4, respectively), or choose “No” if the act is applicable, but the associated clauses were not included in the award. For the “Clinger-Cohen Act”, choose “No” if either the Act is not applicable or the planning requirements from the act were not accomplished.

(B) Indicate in the “Interagency Contracting Authority” data field if the action is subject to the Economy Act, a different statutory authority, or if interagency authorities are not applicable. If the contracting officer selects “Other Statutory Authority” in the “Interagency Contracting Authority”, they shall enter the name of the other authority in the associated text box.

(C) In the “Additional Reporting” data field, select each value that represents reporting to be accomplished by the contractor that is required by the contract. Multiple values may be selected. If none of the reporting requirements apply, select “None of the Above.” At this time, only one contractor reporting requirement is listed: select “Service Contract Inventory” if FAR clause 52.204-14, Service Contract Reporting Requirements, is present in the contract.

(xi) *FPDS Entry – Principal Place of Performance Section.*

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

(A) For supplies, the data entered in this section shall reflect the predominant place where manufacturing occurred or where procured finished products were taken out of inventory. Do not enter the Government delivery location. When the manufacturing or inventory location is unknown, and the contractor has not provided a separate address for the place of performance in FAR provision 52.214-14 or 52.215-6 with its offer, enter the contractor's physical address that corresponds with its registration in the System for Award Management (SAM) that is identified by its DUNS number and CAGE code.

(B) For services, identify the location that represents the predominant place the services are performed. For services that start performance in one location and complete performance in a different location, such as transportation and cargo shipment services, the completion or destination location shall be entered. If the contract or order has multiple destination locations, enter the location where the predominant amount is being delivered.

(C) For place of performance based in the United States, ensure the zip code + 4 data element is entered. This will populate the city and state fields accordingly. Zip codes and their +4 extensions can be identified at the United States Postal Service website ([www.usps.com](http://www.usps.com)). When a "+4" extension cannot be determined for a zip code (for example, in a highly rural area or at a location with a vanity address), choose the "+4" extension that represents the area nearest to the place of performance.

(xii) *FPDS Entry – Contract Marketing Data Section*. This section applies to IDVs (i.e., BOAs, BPAs, and IDCs) only.

(A) Enter the website in the "Website URL" data field where a new user would find the best information about ordering under the vehicle. This is an optional field, but each multi-agency contract being reported should include one.

(B) In the "Who Can Use" data field, choose the value that best represents which agencies are allowed to have their contracting officers place orders under the vehicle. If only the office that awarded the IDV is allowed to place orders under the vehicle, choose "Only My Agency". Do not list codes or text under the "Codes" or "Other" options unless the vehicle only allows very specific parts of agencies to place orders.

(C) Include in the "Email Contact" data element the specific email of the contracting officer responsible for the IDV who is able to answer questions concerning ordering. A group email address may only be used in this field if it is continuously monitored.

(D) Enter the maximum dollar value of each order that may be issued under the vehicle in the "Individual Order / Call Limit" field.

(E) Enter the fee charged to the ordering agency for allowing the ordering agency to place an order under the specific vehicle. The fee may be identified as a fixed percentage, an upper and lower amount if based on a varying factor, or as "no fee." This is not the fee paid to a contracting office for placing an order on behalf of a requiring office.

(F) Enter a brief description of ordering instructions in the "Ordering Procedure" data field. If the "Website URL" field is entered, this field is not required to be completed; however, ensure that the website provided gives the user enough information to be able to place an order.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

(xiii) *FPDS Entry – Product or Service Information Section.*

(A) For the “Product or service code (PSC)” data field choose the code that best represents the predominant amount of supplies or services being procured on the award. The list of active PSCs for use in FPDS reporting is available on the FPDS website under the “Worksite” section under “Reference.”

(B) For the “Principal NAICS code” data field, enter the NAICS code that best represents the type of industry related to the predominant amount of supplies or services being procured on the award. If the award was a result of a solicitation that included any of the following provisions, use the NAICS code that was included in the provision: 52.204-8, Annual Representations and Certifications; 52.212-3, Offeror Representations and Certifications – Commercial Items; or 52.219-1, Small Business Program Representations. The list of active NAICS codes for use in FPDS reporting is available on the FPDS website under the “Worksite” section under “Reference”.

(C) *Contract bundling.*

(1) Enter “Bundled Requirements” when the requirements meet the definition of “Bundling” at FAR 2.101.

(2) Enter “Substantially Bundled Requirements” when the requirements meet the definition of “Bundling” at FAR 2.101 but has an estimated value outlined at FAR 7.107-4, Substantial bundling.

(3) Enter “Bundled Requirements Under a FAR 7.107-1(b) Exception” when the requirements meet the definition of “Bundling” at FAR 2.101 but do not require a written determination in accordance with FAR 7.107-1(b).

(4) Enter “Not Bundled” when the requirements do not meet the definition of “Bundling” at FAR 2.101.

(D) Enter in the “DoD Acquisition Program” data field—

(1) The Major Defense Acquisition Program (MDAP) or Major Automated Information System (MAIS) program number (PNO) if a new award is in support of an Acquisition Category (ACAT) I MDAP or MAIS. If needed, use the code look-up table provided for the data element in the CAR to identify the code for an ACAT I MDAP/MAIS.

(2) The appropriate following code if a new award is associated with one of the following programs or activities:

(i) ZBL – for Performance-Based Logistics (PBL) support.

(ii) ZRS – for Randolph-Sheppard Act dining facilities.

(iii) ZBC – for Base Realignment and Closure (BRAC) environmental activities.

(iv) ZDE – for Defense environmental and restoration programs.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

- activities.
- (v) ZOP – for other environmental programs.
  - (vi) ZSE – for Environmental Protection Agency (EPA) Superfund
  - (vii) ZSF – prescribed under a Status of Forces Agreement (SOFA).
- (3) “000” if neither (1) or (2) in this section apply.

(E) Enter the country code where products were manufactured or performance of services occurred in the “Country of Product or Service Origin” data field. If needed, use the code look-up table provided for the data element in the CAR to identify the code for a country.

(F) *Place of Manufacture.*

(1) Choose “Not a Manufactured End Product” when the procurement is for services or for unmanufactured end products (e.g., ores, food, animals).

(2) Choose “Manufactured Outside the U.S. – Use Outside the U.S.” when the procurement is for supplies acquired for use outside the United States.

(3) If the procurement is for supplies to be used inside the United States, choose one of the following:

(i) “Manufactured in the U.S.” when the supplies that are considered domestic end products (see FAR 25.101).

(ii) “Manufactured Outside the U.S. – Trade Agreements” when the supplies are acquired subject to a Trade Agreement (see FAR 25.4) where the Buy American Act requirements have been waived.

(iii) The exception to the Buy American Act that was used in the procurement when the supplies are considered foreign end products (see FAR 25.103):

(A) “Manufactured Outside the U.S. – Public Interest.”

(B) “Manufactured Outside the U.S. – Domestic Nonavailability.”

(C) “Manufactured Outside the U.S. – Unreasonable Cost.”

(D) “Manufactured Outside the U.S. – Resale.”

(E) “Manufactured Outside the U.S. – Commercial Information Technology.”

(F) “Manufactured Outside the U.S. – Qualifying Country.” Only choose “Manufactured Outside the United States – Qualifying Country” if the country of product or service origin is one of the current qualifying countries at DFARS [225.003](#).

(G) In the “Domestic or Foreign Entity” data field, choose the most applicable

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

answer regarding the ownership of the contractor.

(H) Indicate whether Government Furnished Property (GFP), (see FAR 45.101), is included on the award in the “GFE/GFP Provided Under This Action” data field.

(1) When reporting modifications to previously reported award, the CAR should carry the same value for this data element as was on the CAR for the initial award unless the modification itself is specifically adding GFP where the originally was none. There is no need to create a CAR solely to report the return of GFP at the end of performance.

(2) When reporting task, delivery, or call orders under indefinite-delivery contracts or agreements, this data element reflects whether GFP is or is not included specifically as a part of the order itself. The value for the underlying contract or agreement is collected separately.

(I) For the “Description of requirement” data field, enter a short description of what is being procured by the action. This should be entered in plain English with no acronyms or military jargon such that the public can understand what is being acquired by the Department. Do not use national stock numbers, part numbers, or other identifiers without also including associated plain English descriptions. When reporting modifications, do not use this field to explain what type of procurement process is accomplished (e.g., exercise option year, incremental funding); continue to address what is being procured.

(J) For the “Recovered materials/sustainability” data field, choose the value from the list below that reflects the requirements of sustainability incorporated into the contract or order. If there is a combination of the attributes on the contract that does not exist in the list below, choose the one from the list that most closely reflects the situation on the contract.

(1) *FAR 52.223-4 included.* Use when the contract includes the requirement for recovered materials in accordance with FAR subpart 23.4 and provision 52.223-4, Recovered Material Certification, was included in the solicitation.

(2) *FAR 52.223-4 and 52.223-9 included.* Use when the contract includes the requirement for recovered materials in accordance with FAR subpart 23.4, provision 52.223-4 was included in the solicitation, and clause 52.223-9 is included in the contract.

(3) *No clauses included and no sustainability included.* Use when the contract includes neither requirements nor provisions/clauses for recovered materials or energy efficient, biobased, or environmentally preferable products or services.

(4) *Energy efficient.* Use when the contract includes the requirement for energy efficient products or services in accordance with FAR subpart 23.2.

(5) *Biobased.* Use when the contract includes the requirement for biobased products or services in accordance with FAR subpart 23.4.

(6) *Environmentally preferable.* Use when the contract includes the requirement for environmentally preferable products or services in accordance with FAR subpart 23.7.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

(7) *FAR 52.223-4 and energy efficient.* Use when the contract includes the requirement for—

(i) Recovered materials in accordance with FAR subpart 23.4 and provision 52.223-4 was included in the solicitation; and

(ii) Energy efficient products or services in accordance with FAR subpart 23.2.

(8) *FAR 52.223-4 and biobased.* Use when the contract includes the requirement for—

(i) Recovered materials in accordance with FAR subpart 23.4 and provision 52.223-4 was included in the solicitation; and

(ii) Biobased products or services in accordance with FAR subpart 23.4.

(9) *FAR 52.223-4 and environmentally preferable.* Use when the contract includes the requirement for—

(i) Recovered materials in accordance with FAR subpart 23.4 and provision 52.223-4 was included in the solicitation; and

(ii) Environmentally preferable products or services in accordance with FAR subpart 23.7.

(10) *FAR 52.223-4, biobased and energy efficient.* Use when the contract includes the requirement for—

(i) Recovered materials in accordance with FAR subpart 23.4 and provision 52.223-4 was included in the solicitation;

(ii) Energy efficient products or services in accordance with FAR subpart 23.2; and

(iii) Biobased products or services in accordance with FAR subpart 23.4.

(11) *FAR 52.223-4, biobased and environmentally preferable.* Use when the contract includes the requirement for—

(i) Recovered materials in accordance with FAR subpart 23.4 and provision 52.223-4 was included in the solicitation;

(ii) Biobased products or services in accordance with FAR subpart 23.4; and

(iii) Environmentally preferable products or services in accordance with FAR subpart 23.7.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

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(12) *FAR 52.223-4, biobased, energy efficient and environmentally preferable.* Use when the contract includes the requirement for—

(i) Recovered materials in accordance with FAR subpart 23.4 and provision 52.223-4 was included in the solicitation;

(ii) Energy efficient products or services in accordance with FAR subpart 23.2;

(iii) Biobased products or services in accordance with FAR subpart 23.4; and

(iv) Environmentally preferable products or services in accordance with FAR subpart 23.7.

(xiv) *FPDS Entry – Competition Information Section.*

(A) *Solicitation procedures.* Select the appropriate entry from the following list:

(1) *Simplified Acquisition.* Report this code for competitive and noncompetitive contract actions that used simplified acquisition procedures in accordance with FAR part 13, to include acquisitions using the Commercial Items Test Program. However, if the action is noncompetitive and the reason for other than full and open competition is other than “Authorized by Statute,” “Authorized Resale,” or “SAP Noncompetition,” then enter “Only One Source Solicited” as the solicitation procedure. (Note that most times when in conflict, the reason for other than full and open competition takes precedence over the type of solicitation procedure used.)

(2) *Only One Source Solicited.* Use this code if no solicitation procedure was used or only one source is solicited for the action.

(3) *Negotiated Proposal/Quote.* Use this code for competitive contract actions that use negotiated procedures (FAR parts 12, 13, or 15).

(4) *Sealed Bid.* Use this code for contract actions using sealed bid procedures (FAR part 14).

(5) *Two Step.* Use this code for contract actions that use a combination of sealed bids and negotiated procedures (FAR 6.102).

(6) *Architect-Engineer FAR 6.102.* Use this code if the action resulted from selection of sources for architect-engineer contracts pursuant to FAR 6.102(d)(1).

(7) *Basic Research.* Use this code if the action resulted from a competitive selection of basic research proposals pursuant to FAR 6.102(d)(2).

(8) *Alternative Sources.* Use this code if the action resulted from use of procedures that provided for full and open competition after exclusion of sources to establish or maintain alternative sources pursuant to FAR 6.202.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

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(9) *Subject to Multiple Award Fair Opportunity.* FPDS will automatically populate this entry for orders placed against multiple award contracts (to include Federal Supply Schedules) and FAR part 8 BPAs (and orders issued under such BPAs that are subject to fair opportunity pursuant to FAR 16.505(b)(1)).

(B) *Extent Competed.* Select the appropriate entry from the following list. The extent competed for any modification or order against a task or delivery order contract pulls from the basic contract and is shown in the “Extent competed for referenced IDV” data field.

(1) *Competed under SAP.* Report this for competitive contract actions that were awarded using FAR part 13 Simplified Acquisition Procedures (i.e., solicitation procedures were “Simplified Acquisition”), to include for the Commercial Item Test Program.

(2) *Full and Open Competition (F&OC).* Report this if the contract action resulted from an award pursuant to FAR 6.102(a), Sealed bids; FAR 6.102(b), Competitive proposals; FAR 6.102(c), Combination; or any other competitive method that did not exclude sources of any type.

(3) *F&OC after Exclusion of Sources.* Report this when sources are excluded before competition. (Note: This terminology is broader than FAR subpart 6.2, which includes set-aside actions and actions to establish or maintain alternate sources, in that it also includes actions justified by a justification and approval that provided for competition).

(4) *Not Available for Competition.* Report this if the contract action is not available for competition (i.e., contract actions where the solicitation procedure was “Only One Source” and the reason not competed is “Authorized by Statute,” “International Agreement,” “Utilities,” or “Authorized Resale.”) Note that sole source awards for 8a firms, HUBZone firms, and service-related disabled veteran-owned concerns should always be identified as “Authorized by Statute” as the reason for other than full and open competition.

(5) *Not Competed under SAP.* Report this for non-competitive contract actions that were awarded using FAR part 13, Simplified Acquisition Procedures (i.e., solicitation procedures were “Simplified Acquisition”).

(6) *Not Competed.* Report this when the contract action is not competed and the solicitation procedures are “Only One Source.”

(C) *Type of Set-Aside.*

(1) If the contract action is a result of a set-aside or sole source authorized under part 19 of the FAR, choose the applicable value. In order to indicate a FAR part 19 set-aside or sole source on an order under a multiple-award contract, see paragraph (F) of this section.

(2) Note that the type of set-aside is collected on the original award. In the case of a task or delivery order being reported, the user will see the type of set-aside from the original contract in the “Type Set Aside” data element. The “Type of Set-Aside Source” data element is system generated to indicate whether the data in the “Type Set Aside” data element was entered on the specific CAR being viewed or if it was pre-populated from the original contract award.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

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(D) *SBIR/STTR*. Ensure the “SBIR/STTR” data field is completed if the contract action is a result of a Small Business Innovative Research (SBIR) or Small Technology Transfer Research (STTR) Program. SBIR and STTR Phase III awards require that a previous SBIR or STTR award exists.

(E) *Other than Full and Open Competition*.

(1) *Simplified Acquisition Procedures (SAP)*. Select only “SAP Non-Competition,” “Authorized by Statute” if a sole source set-aside shall also be noted, or “Authorized for Resale” when the award is noncompetitive and simplified acquisition procedures were used, including those awards under the commercial items test program. Do not choose other values from the list.

(2) *Other than Simplified Acquisition Procedures*. Select from available values the one that matches the FAR part 6 authority referenced in the Justification & Authorization document for using other than competitive procedures. Do not choose “SAP Non-competition.”

(3) *Acquiring Products or Services from Afghanistan*. When DFARS [225.7703-1](#)(a)(2) or (3) procedures are used to limit competition to products or services from Afghanistan, or to award a contract to a particular source or sources from Afghanistan, select “Authorized by Statute” in the “Other than Full and Open Competition” data field.

(F) *Fair Opportunity/Limited Sources*. This field is the basis for determining whether competition is provided for on orders placed against multiple-award contracts (to include DoD contracts, Governmentwide Acquisition Contracts, Federal Supply Schedules, and BPAs issued under the Federal Supply Schedules). If a Federal Supply Schedule contract or a Governmentwide multiple-award contract is not coded as a multiple-award vehicle, thereby preventing completion of this field, the FPDS user should advise the agency FPDS so that the contracting office for the multiple-award contract can be notified and pursue correction.

(1) *Urgency*. Report this if the action was justified pursuant to FAR 8.405-6(a)(1)(i)(A) or 16.505(b)(2)(i)(A).

(2) *Only One Source*. Other – Report if the action was justified pursuant to FAR 8.405-6(a)(1)(i)(B) or 16.505(b)(2)(i)(B).

(3) *Follow-On Delivery Order Following Competitive Initial Order*. Report this if the action was justified pursuant to FAR 8.405-6(a)(1)(i)(C) or 16.505(b)(2)(i)(C).

(4) *Minimum Guarantee*. Report this if it was necessary to place an order to satisfy a minimum amount guaranteed to the contractor. See FAR 16.505(b)(2)(i)(D).

(5) *Other Statutory Authority*. Report this if a statute expressly authorizes or requires that the purchase be made from a specified source. See FAR 16.505(b)(2)(i)(E).

(6) *Fair Opportunity Given*. Report this if fair opportunity was given pursuant to FAR 16.505(b)(1).

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

---

(7) *Competitive Set-Aside*. Report this if the order was set aside pursuant to FAR 19.502-4(c) and a sub-set of multiple-award contract holders meeting the set-aside criteria were provided fair opportunity to submit an offer. If this value is selected, also choose the appropriate set-aside in the “Type of Set-Aside” field. Do not select this value if the original multiple award contract itself was set-aside or partially set-aside.

(8) *Sole Source*. Report this if the order was issued pursuant to FAR 19.502-4(c) and awarded to a single contract holder meeting the socio-economic criteria without providing fair opportunity to other multiple-award contract holders. If this value is selected, also choose the appropriate value in the “Type of Set-Aside” field.

(G) If an award did not provide for full and open competition; or in the case of simplified acquisition, did not provide the maximum extent of competition practicable; select the value in the “Other Than Full and Open Competition” field that represents the justification used.

(H) In the “Commercial Item Acquisition Procedures” data field, indicate whether commercial procedures were (1) used for commercial items, (2) used for supplies or services pursuant to FAR 12.102(f), (3) used for services pursuant to FAR 12.102(g), or (4) not used.

(I) In the “Simplified Procedures for Certain Commercial Items” data field, indicate if the contract action utilized procedures under FAR 13.5.

(J) In the “A-76 Action” data field, indicate if the contract action resulted from an A-76 / FAIR Act competitive sourcing process. Note, however, that DoD is currently under a moratorium from procuring services using these procedures. See [PGI 207.302](#) for more information.

(K) In the “Local Area Set Aside” data field, indicate if the contract action resulted from a local area set-aside in accordance with FAR 26.202.

(L) In the “FedBizOpps” data field, enter “Yes” if the award was greater than \$25,000 in value and subject to FAR 5.2 synopsis requirements. Enter “No” if the award was greater than \$25,000 in value, but an exception to synopsis requirements applied. Enter “Not Applicable” if the award was less than or equal to \$25,000 in value.

(M) *Number of Offers*.

(1) Enter the specific number of offers received in response to the solicitation. In the case of contracts awarded as a result of a Broad Agency Announcement, enter the number of proposals received under the specific announcement. In the case of orders under a multiple-award contract (including Federal Supply Schedules and GWACs), BOAs, and BPAs, enter the number of offers received for the specific order.

(2) Note that the “Number of Offers Received” is collected on the original award. In the case of a task or delivery order being reported, the user will see the number of offers from the original contract in the “IDV Number of Offers” data element. The “Number of Offers Source” data element is system generated to indicate whether the data in the “Number of Offers Received” data element was entered on the specific CAR being viewed or if it was pre-populated from the original contract award.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

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(xv) *FPDS Entry – Preference Programs / Other Data Section.*

(A) *Contracting Officer's Business Size Selection—*

(1) When entering a new contract, purchase order, or agreement award in FPDS, contracting officers shall ensure they appropriately choose “Small Business” or “Other than Small Business” in the “Contracting Officer's Determination of Business Size” data field according to the NAICS code applied to the award, its associated size standard, and the contractor's response to provision 52.212-3 or 52.219-1. The contracting officer shall enter “Other than Small Business” for awards where the contractor has not certified to its status in one of these provisions.

(2) If the “Contracting Officer's Determination of Business Size” data field is completed with “Small Business”, the contractor's other socio-economic information that it has entered or the Small Business Administration (SBA) has provided to the System for Award Management (SAM) database will be included in the contract action report. This includes designations such as SBA-Certified 8(a), Women-owned Small Business, Service Disabled Veteran Owned.

(3) Contracting officers will not be allowed to identify types of set-asides in FPDS unless the “Contracting Officer's Determination of Business Size” field is completed with “Small Business,” and other required socio-economic designations are present in the Contractor's SAM record (e.g., contractor shall have the SBA-Certified 8(a) designation in order to identify an 8(a) type set-aside in FPDS).

(4) All subsequent modifications and delivery orders under the initial award will be automatically populated with the same designations.

(B) *Subcontracting Plan.* Select whether a subcontracting plan is required for the contract action, and, if so, which type applies from the following values:

- (1) Plan Not Required;
- (2) Plan Not Included, No Subcontracting Possibilities;
- (3) Individual Subcontracting Plan;
- (4) Commercial Subcontracting Plan; or
- (5) DoD Comprehensive Subcontracting Plan.

(4) *Reporting modifications to FPDS.*

(i) Modifications against previously reported contracts, agreements, and orders are required to be reported to FPDS if they include any obligation or deobligation amount. They are also required to be reported to FPDS if there is no obligation or deobligation amount and involve a change to the data reported in any data field in the contract action report (e.g., vendor name, completion date, place of performance).

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

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(ii) Contracting officers should choose the “Reason for Modification” value that best represents the purpose of the modification action. If more than one reason for modification applies, choose the more specific value. When a name, address, DUNS number, or CAGE code change occurs as the result of the modification, choose “Novation,” “Vendor DUNS Change,” or “Vendor Address Change,” per the instructions at paragraphs (4)(v), (vi) and (vii), rather than other values that may also apply to the action (e.g., Exercise Option).

(iii) Do not use “Close Out” as the reason for modification in FPDS unless the modification being reported actually accomplishes the close out of the award.

(iv) DoD offices shall not use the “Transfer Action” value in the “Reason for Modification” field unless transferring the contract to a non-DoD contracting office (e.g., Department of Interior).

(v) Modifications for novations (see FAR subpart 42.12 and DFARS subpart [242.12](#)) shall use “Novation Agreement” in the “Reason for Modification” field.

(A) When this value is used, the contracting officer shall enter the appropriate DUNS number for the contractor in the modification contract action report. FPDS will then bring over the current vendor name and address from the contractor’s SAM record for that DUNS number into the modification contract action report. Subsequent contract action reports will show the updated DUNS number and vendor name.

(B) When this value is used, FPDS also allows the contracting officer to update the “Contracting Officer’s Determination of Business Size” data field. The contracting officer shall ensure that the contractor’s current size status is appropriately recorded on the modification contract action report. Subsequent contract action reports will reflect the size entered on this modification contract action report (see FAR subparts 19.301-2 and 19.301-3).

(vi) Modifications for contractor name changes that do not require a novation (see FAR subpart 42.12 and DFARS subpart [242.12](#)) shall use “Vendor DUNS Change” in the “Reason for Modification” field. When this value is used, the contracting officer shall enter the appropriate DUNS number for the contractor in the modification contract action report. FPDS will then bring over the current vendor name and address from the contractor’s SAM record for that DUNS number into the modification contract action report. Subsequent contract action reports will show the updated DUNS number and vendor name.

(vii) Modifications for contractor address changes that do not require a novation shall use “Vendor Address Change” in the “Reason for Modification” field. When this value is used, FPDS will bring over the current address from the contractor’s SAM record into the modification contract action report. Subsequent contract action reports will show the updated address.

(viii) Modifications for re-representation actions (see FAR 19.301-2 and 19.301-3) shall use either “Re-representation” or “Re-representation of Non-Novated Merger/Acquisition”, as appropriate, in the “Reason for Modification” field. When this value is used, FPDS allows the contracting officer to update the “Contracting Officer’s Determination of Business Size” data field. The contracting officer shall ensure that the

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

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contractor's current size status is appropriately recorded on the modification contract action report. Subsequent contract action reports will reflect the size entered on this modification contract action report.

(ix) When a modification is reported with "Termination for Default" or "Termination for Cause" in the "Reason for Modification" data field, the user must also report the termination to the Federal Awardee Performance and Integrity Information System (FAPIIS) in accordance with FAR 42.1503(h) requirements.

(5) *Reporting task and delivery orders and calls where the Governmentwide commercial purchase card is both the method of purchase and payment.*

(i) Do not report open-market purchases (i.e., not under a Federal Supply schedule, agreement, or contract) made with the Governmentwide commercial purchase card valued less than the micropurchase threshold to FPDS.

(ii) Purchases made using the Governmentwide commercial purchase card as the method of both purchase and payment under federal schedules, agreements, or contracts are required to be reported to FPDS regardless of value. Contracting offices shall ensure all such purchases made by their authorized cardholders are reported to FPDS no less frequently than monthly. Any individual purchase valued greater than \$25,000 shall be reported individually to FPDS. For individual purchases valued less than \$25,000, there are three acceptable methods for reporting to FPDS. They are, in preferred order of use—

(A) Report each order individually to FPDS;

(B) Report a consolidated express report to FPDS using the delivery order or BPA call format that references the individual contract or BPA, respectively; or

(C) Report a consolidated express report to FPDS using the purchase order format that uses the generic DUNS 136721250 for "GPC Consolidated Reporting" or 136721292 for "GPC Foreign Contractor Consolidated Reporting", as appropriate, as the identifier. Note that when a generic DUNS number is used to report these actions, only "Other than Small Business" is allowed as the "Contracting Officer's Determination of Business Size" selection.

(6) *Using generic DUNS numbers.*

(i) Generic DUNS numbers may only be used for reporting to FPDS in accordance with FAR 4.605(c) or paragraph 204.606(5)(ii)(C) of this section. Note that if a generic DUNS number is used on the report to FPDS, systems that prepopulate data based on the DUNS number reported to FPDS may not be able to use the DUNS number for further reporting on that contract action because the contractor identification information is not accurately reflected. For example, assessing officials cannot report past performance reports to the Contractor Performance Assessment Reporting System (CPARS) (see FAR subpart 42.15) if a generic DUNS number was used to report the action to FPDS. Additionally, some reporting requirements placed on the contractor, such as subcontract reporting required by FAR subparts 4.14 and 19.7, are not able to be completed. Therefore, it is important that the use of generic DUNS numbers in reporting to FPDS be rare and only when necessary.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

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(ii) The following generic DUNS numbers are available for use in contract reporting only if the conditions in FAR 4.605(c) or paragraph 204.606(5)(ii)(C) of this section apply:

(A) DUNS 167445928 – Student Workers in Laboratories. Used to report actions awarded to student workers providing goods/services in government laboratories (or other government facilities) when obtaining a DUNS number would place a financial hardship on the student. Corresponding CAGE code in the System for Award Management (SAM): 35HL9.

(B) DUNS 123456787 – Miscellaneous Foreign Awardees. Used to report actions awarded to vendors located outside the United States providing goods/services when a specific DUNS number is not available. Corresponding CAGE code in SAM: 35KC0.

(C) DUNS 136666505 – Spouses of Service Personnel. Used to report actions awarded to service personnel dependents located and providing goods/services outside the United States when obtaining a DUNS number would place a financial hardship on the dependent. Corresponding CAGE code in SAM: 3JDV7.

(D) DUNS 167446249 – Navy Vessel Purchases In Foreign Ports. Used to report actions awarded to vendors located outside the United States providing goods/services in support of vessels located in foreign ports when a specific DUNS number is not available. Corresponding CAGE code in SAM: 35KD3.

(E) DUNS 153906193 – Foreign Utility Consolidated Reporting. Used to report procurement actions awarded to vendors located outside the United States providing utilities goods/services when a specific DUNS number is not available. Corresponding CAGE code in SAM: 3JDX5.

(F) DUNS 790238638 – Domestic Awardees (Undisclosed). Used to report actions awarded to vendors located in the United States where identifying the vendor could cause harm to the mission or the vendor (for example, domestic shelters). Corresponding CAGE code in SAM: 3JEH0.

(G) DUNS 790238851 – Foreign Awardees (Undisclosed). Used to report actions awarded to vendors located outside the United States when identifying the vendor could cause harm to the mission or the vendor. Corresponding CAGE code in SAM: 3JEV3.

(H) DUNS 136721250 – GPC Consolidated Reporting. Used to report orders and calls issued via the Governmentwide commercial purchase card (GPC) under indefinite-delivery type contracts and agreements to businesses located in the United States, and the identity of the DUNS number for the vendor is not available to the office reporting the action. Corresponding CAGE code in SAM: 3JDW4.

(I) DUNS 136721292 – GPC Foreign Contractor Consolidated Reporting. Used to report orders and calls issued via the GPC under indefinite delivery type contracts and agreements to businesses located outside the United States, and the identity of the DUNS number for the vendor is not available to the office reporting the action. Corresponding CAGE code in SAM: 3JDV9.

(7) *Contract Action Report Status.*

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

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(i) The “Status” data element on contract action reports indicates whether an award is still open or officially “closed” and applies to the family of actions comprising the contract or order (i.e., includes all modifications to that contract or order). Values in this field will either be null (blank), indicating that the contract is still open; or “Closed,” indicating the contract or order has completed closeout activities in accordance with FAR 4.804, as supplemented. Awards without the “Closed” status are assumed to still be open and either still within the established delivery dates or period of performance, or in a post-performance period preparing for closeout.

(ii) The “Status” is changed to “Closed” in one of three ways:

(A) User reports a modification using “Closeout” in the “Reason for Modification” field. This should be rare and only occur when a modification being issued actually closes the award in that modification (not just prepares for it). If a contract action report is finalized with “Closeout” as the value, it will no longer be able to be corrected by the user. Users will need to contact the FPDS help desk to perform any corrections.

(B) User with “Closeout” privileges in FPDS marks the award as closed. This will be rare in DoD; only the DoD and Service lead system administrators will be given these privileges.

(C) An agency system sends a “Close” notice via web services to FPDS.

(iii) When the “Status” is changed to “Closed” that status is applied to the contract or order and all of its subsequent modifications. Closed notices received for task, delivery, and call orders placed under IDCs or agreements do not cause the IDC or agreement to be closed. Closed notices received for IDCs or agreements do not cause task, delivery, and call orders placed under them to be closed.

(iv) Once a contract or order, and its modifications, has been marked as “Closed” the user or agency cannot change the status and will need to contact the FPDS help desk to remove the status if it was marked in error.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

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(Revised December 8, 2017)

### PGI 204.16—UNIFORM PROCUREMENT INSTRUMENT IDENTIFIERS

#### PGI 204.1601 Policy.

(b) *Transition of PIID numbering.* Components are encouraged to transition to the Procurement Instrument Identifier (PIID) numbering schema as soon as possible, but no later than the date specified at DFARS [204.1601\(b\)](#).

(c)(i) A continued contract is issued solely for administrative reasons. When issuing a continued contract, the contracting officer shall perform the following tasks:

(A) Obtain approval at a level above the contracting officer before issuance of the continued contract.

(B) Assign a PIID to the continued contract that is different from the PIID assigned to the predecessor contract, using the uniform PIID numbering system prescribed in FAR 4.1603 and DFARS [204.1603](#). The predecessor contract will retain the PIID originally assigned to it.

(C) Find a clear breaking point (e.g., between issuance of orders, exercise of options, or establishment of a new line of accounting) to issue the continued contract.

(D) Clearly segregate contractual requirements for purposes of Government inspection, acceptance, payment, and closeout. Supplies already delivered and services already performed under the predecessor contract will remain under the predecessor contract. This will allow the predecessor contract to be closed out when all inspection, acceptance, payment, and other closeout issues associated with supplies delivered and services performed under the predecessor contract are complete.

(E) Include in the continued contract all terms and conditions of the predecessor contract that pertain to the supplies and services yet to be delivered or performed. At the time it is issued, the continued contract may not in any way alter the prices or terms and conditions established in the predecessor contract.

(F) Provide advance notice to the contractor before issuance of the continued contract, to include the PIID and the effective date of the continued contract.

(G) Modify the predecessor contract to—

(1) Reflect any necessary administrative changes such as transfer of Government property, and make the Government property accountable under the continued contract;

(2) Clearly state that future performance (e.g., issuance of orders or exercise of options) will be accomplished under the continued contract; and

(3) Specify the administrative reason for issuing the continued contract.

# DFARS Procedures, Guidance, and Information

## PGI 204—Administrative Matters

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(H) Reference the predecessor contract PIID on the face page of the continued contract to ensure traceability.

(ii) Sample language for the administrative modification to the predecessor contract follows:

This modification is issued for administrative purposes to facilitate continued contract performance due to [state the reason for assigning an additional PIID]. This modification is authorized in accordance with FAR 4.1601 and DFARS [204.1601](#).

Supplies and services already acquired under this contract number shall remain solely under this contract number for purposes of Government inspection, acceptance, payment, and closeout. All future [delivery orders] [task orders] [options exercised] will be accomplished under continued contract [insert contract number].

### PGI 204.1603 Procedures.

(a)(3)(A)(3) Legacy contract writing systems authorized to use the letter T in position 9 of the PIID for automated requests for quotation include the following:

(i) Department of Navy's Item Management and Procurement (ITIMP) system.

(ii) Defense Logistics Agency's Enterprise Business System (EBS).

(b) Elements of a supplementary PIID.

(1) Examples of proper numbering for positions 2-6 (the first position will be either A or P) are as follows:

Normal modification	Provisioned items order (reserved for exclusive use by the Air Force only)	Shipping Instructions
00001 — 99999	K0001 — K9999	S0001 — S9999
then	KA001 — KZ999	SA001 — SZ999
A0001 — A9999	L0001 — L9999	T0001 — T9999
B0001 — B9999	LA001 — LZ999	TA001 — TZ999
and so on to	M0001 — M9999	U0001 — U9999
H0001 — H9999	MA001 — MZ999	UA001 — UZ999
then	N0001 — N9999	V0001 — V9999
J0001 — J9999	NA001 — NZ999	VA001 — VZ999
then	P0001 — P9999	W0001 — W9999

## DFARS Procedures, Guidance, and Information

### PGI 204—Administrative Matters

R0001 — R9999	PA001 — PZ999	WA001 — WZ999
then	Q0001 — Q9999	X0001 — X9999
AA001 — HZ999	QA001 — QZ999	XA001 — XZ999
then		
JA001 — JZ999		Y0001 — Y9999
RA001 — RZ999		YA001 — YZ999

(2) If the contract administration office is changing the contract administration or disbursement office for the first time and is using computer generated modifications to notify many offices, it uses the six position supplementary number ARZ999. If either office has to be changed again during the life of the contract, the supplementary number will be ARZ998, and on down as needed.

#### **PGI 204.1670 Cross reference to Federal Procurement Data System.**

The following matrices should be used as a cross reference between the terms used in the FAR, DFARS, and the Federal Procurement Data System (FPDS).

<b>STRUCTURE OF REQUIRED IDENTIFIERS</b>				
<b>Key and Description</b>	<b>Format</b>			
A - DoD Procurement Instrument Identifier (PIID)	Consists of the concatenation of the following four fields:			
	Enterprise Identifier - DODAAC of contracting office	Fiscal Year in which award is made	Procurement Instrument Type Code	Serialized Identifier
	Six alpha-numeric characters excluding I and O	Two numeric characters	One alpha character excluding I and O	Four alpha-numeric characters excluding I and O. 0000 is not an acceptable value.

## DFARS Procedures, Guidance, and Information

### PGI 204—Administrative Matters

B - DoD Order Number (Supplementary PIID)	<p>Four alpha-numeric characters excluding I and O. A and P are prohibited in the first position. 0000 is not an acceptable value.</p> <p><i>(NOTE: Four character supplementary PIID order numbers are only allowed to be issued through FY16. Subsequently, all orders must be in the format shown above in section A of this table. DoD activities are encouraged to transition as soon as possible in FY16 to this new method for numbering orders under DoD contracts and agreements. Transition must be completed no later than October 1, 2016.)</i></p>
C - DoD Procurement Instrument Modification Identifier (Supplementary PIID)	Six alpha-numeric characters beginning with A or P, excluding I and O. P00000 and A00000 are not acceptable values.
D - DoD Order Modification Identifier (Supplementary PIID)	<p>Two alpha-numeric characters excluding I and O. 00 is not an acceptable value.</p> <p><i>(NOTE: Two character supplementary PIID modification numbers are only allowed to be issued to DoD orders issued through FY16. Modification to DoD orders issued after the transition to the new method of numbering orders under DoD contracts and agreements must be in the form shown in section C of this table.</i></p>
E - Non DoD Procurement Instrument Number	4 to 50 Alpha-numeric characters

#### ELEMENTS NEEDED TO IDENTIFY A DEPARTMENT OF DEFENSE PROCUREMENT ACTION

Procurement Instrument Action Type	Reference Procurement Instrument (Reference Use Only)	Required as shown below to uniquely identify the action.		
		Procurement Instrument Identifier (PIID) (Contract Number)	Order Number	Modification Number
BPA or Order under a Schedule or other non-DoD Instrument		E	A	
Order against a BPA under a Schedule (FY16 and later)	E	A	A	

## DFARS Procedures, Guidance, and Information

### PGI 204—Administrative Matters

Order against a BPA under a Schedule (Pre-FY16)	E	A	B	
DoD Contract, Purchase Order, BOA, BPA not under a Schedule, or other instrument		A		
Order against a DoD Contract, BOA, BPA not under a Schedule, or other instrument (FY16 and later)		A	A	
Order against a DoD Contract, BOA, BPA not under a Schedule or other instrument (Pre-FY16)		A	B	
Modification to a BPA or Order under a Schedule or other non-DoD instrument	E	A		C
Modification to an Order against a BPA under a Schedule (FY16 and later)	E	A	A	C
Modification to an Order against a BPA under a Schedule (Pre-FY16)	E	A	B	D
Modification to a DoD Contract, Purchase Order, BOA, BPA not under a Schedule, or other instrument		A		C

## DFARS Procedures, Guidance, and Information

### PGI 204—Administrative Matters

Modification to an Order against a DoD Contract, BOA, or BPA not under a schedule (FY16 and later)		A	A	C
Modification to an Order against a DoD Contract, BOA, or BPA not under a schedule (Pre-FY16)		A	B	D

FPDS Crosswalk	FPDS FIELD NAME			
	REF_IDV_PIID	REF_IDV_MODIFICATION_NUMBER	PIID	MODIFICATION_NUMBER
BPA or Order under a Schedule or other non-DoD Instrument	E	Use 0	A	Use 0
Order against a BPA under a Schedule (FY16 and later)	A	Use 0	A	Use 0
Order against a BPA under a Schedule (Pre-FY16)	A	Use 0	B*	Use 0
DoD Contract, Purchase Order, BOA, BPA not under a Schedule, or other instrument			A	Use 0
Order against a DoD Contract, BOA, BPA not under a Schedule, or other instrument (FY16 and later)	A	Use 0	A	C
Order against a DoD Contract, BOA, BPA not under a Schedule, or other instrument (Pre-FY16)	A	Use 0	B*	D*

## DFARS Procedures, Guidance, and Information

### PGI 204—Administrative Matters

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Modification to a BPA or Order under a Schedule or other non-DoD Instrument			A	C
Modification to an Order against a BPA under a Schedule (FY16 and later)	A	Use 0	A	C
Modification to an Order against a BPA under a Schedule (Pre-FY16)	A	Use 0	B*	D*
Modification to a DoD Contract, Purchase Order, BOA, BPA not under a Schedule, or other instrument			A	C
Modification to an Order against a DoD Contract, BOA, or BPA not under a Schedule (FY16 and later)	A	Use 0	A	C
Modification to an Order against a DoD Contract, BOA, or BPA not under a Schedule (Pre-FY16)	A	Use 0	B*	D*
* Note that FPDS strips leading zeroes, so that modification 02 to order 0024 is shown as modification 2 to order 24.				

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

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*(Revised December 8, 2017)*

### PGI 208.70—COORDINATED ACQUISITION

#### PGI 208.7002 Assignment authority.

##### PGI 208.7002-1 Acquiring department responsibilities.

The acquiring department generally is responsible under coordinated acquisition for—

(1) Operational aspects of acquisition planning (phasing the submission of requirements to contracting, consolidating or dividing requirements, analyzing the market, and determining patterns for the phased placement of orders to avoid unnecessary production fluctuations and meet the needs of requiring departments at the lowest price);

(2) Purchasing;

(3) Performing or assigning contract administration, including follow-up and expediting of inspection and transportation; and

(4) Obtaining licenses under patents and settling patent infringement claims arising out of the acquisition. (Acquiring departments must obtain approval from the department whose funds are to be charged for obtaining licenses or settling claims.)

##### PGI 208.7002-2 Requiring department responsibilities.

The requiring department is responsible for—

(1) Ensuring compliance with the order of priority in FAR 8.001 for use of Government supply sources before submitting a requirement to the acquiring department for contracting action; and

(2) Providing the acquiring department—

(i) The complete and certified documentation required by FAR 6.303-2(b). A requiring department official, equivalent to the appropriate level in FAR 6.304, must approve the documentation before submission of the military interdepartmental purchase request (MIPR) to the acquiring department;

(ii) Any additional supporting data that the acquiring department contracting officer requests (e.g., the results of any market survey or why none was conducted, and actions the requiring department will take to overcome barriers to competition in the future);

(iii) The executed determination and findings required by FAR 6.302-7(c)(1);

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

(iv) When a requiring department requests an acquiring department to contract for supplies or services using full and open competition after exclusion of sources, all data required by FAR 6.202(b)(2);

(v) When the requiring department specifies a foreign end product, any determinations required by DFARS Part 225 or FAR Part 25;

(vi) A complete definition of the requirements, including a list (or copies) of specifications, drawings, and other data required for the acquisition. The requiring department need not furnish Federal, military, departmental, or other specifications or drawings or data that are available to the acquiring department;

(vii) Justification required by FAR 17.205(a) for any option quantities requested;

(viii) A statement as to whether used or reconditioned material, former Government surplus property, or residual inventory will be acceptable, and if so—

(A) A list of any supplies that need not be new; and

(B) The basis for determining the acceptability of such supplies (see FAR 11.302(b));

(ix) A statement as to whether the acquiring department may exceed the total MIPR estimate and, if so, by what amount; and

(x) Unless otherwise agreed between the departments, an original and six copies of each MIPR and its attachments (except specifications, drawings, and other data).

### **PGI 208.7003 Applicability.**

#### **PGI 208.7003-1 Assignments under integrated materiel management (IMM).**

(b) When an item assigned for IMM is to be acquired by the requiring activity under DFARS 208.7003-1(a)(3), the contracting officer must—

(i) Document the contract file with a statement of the specific advantage of local purchase for an acquisition exceeding the micro-purchase threshold in FAR Part 2; and

(ii) Ensure that a waiver is obtained from the IMM manager before initiating an acquisition exceeding the simplified acquisition threshold in FAR Part 2, if the IMM assignment is to the General Services Administration (GSA), the Defense Logistics Agency (DLA), or the Army Materiel Command (AMC). Submit requests for waiver—

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

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(A) For GSA, to: Commissioner (F)  
Federal Supply Service  
Washington, DC 20406

(B) For DLA, to: DLA Land and Maritime  
ATTN: DSCC-BDL  
P.O. Box 3990  
Columbus, OH 43216-5000

DLA Energy  
ATTN: DESC-CPA  
8725 John J. Kingman Road  
Fort Belvoir, VA 22060-6222

DLA Aviation  
ATTN: DSCR-BA  
8000 Jefferson Davis Highway  
Richmond, VA 23297-5000

DLA Troop Support  
ATTN: DSCP-ILSI (for General and Industrial)  
DSCP-OCS (for Medical, Clothing, and Textiles)  
700 Robbins Avenue, Bldg. 4  
Philadelphia, PA 19111-5096

(iii) In addition, forward a copy of each request to:

Defense Logistics Agency  
Logistics Operations  
ATTN: J-335  
8725 John J. Kingman Road  
Fort Belvoir, VA 22060-6221

For AMC: HQ, Army Materiel Command  
ATTN: AMCLG-SL  
4400 Martin Road  
Redstone Arsenal, AL 35898

**PGI 208.7004 Procedures.**

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

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### **PGI 208.7004-1 Purchase authorization from requiring department.**

(1) Requiring departments send their requirements to acquiring departments on either a DD Form 448, Military Interdepartmental Purchase Request (MIPR), or a DD Form 416, Requisition for Coal, Coke or Briquettes. A MIPR or a DD Form 416 is the acquiring department's authority to acquire the supplies or services on behalf of the requiring department.

(2) The acquiring department is authorized to create obligations against the funds cited in a MIPR without further referral to the requiring department. The acquiring department has no responsibility to determine the validity of a stated requirement in an approved MIPR, but it should bring apparent errors in the requirement to the attention of the requiring department.

(3) Changes that affect the contents of the MIPR must be processed as a MIPR amendment regardless of the status of the MIPR. The requiring department may initially transmit changes electronically or by some other expedited means, but must confirm changes by a MIPR amendment.

(4) The requiring department must submit requirements for additional line items of supplies or services not provided for in the original MIPR as a new MIPR. The requiring department may use a MIPR amendment for increased quantities only if—

- (i) The original MIPR requirements have not been released for solicitation; and
- (ii) The acquiring department agrees.

### **PGI 208.7004-2 Acceptance by acquiring department.**

(1) Acquiring departments formally accept a MIPR by DD Form 448-2, Acceptance of MIPR, as soon as practicable, but no later than 30 days after receipt of the MIPR. If the 30 day time limit cannot be met, the acquiring department must inform the requiring department of the reason for the delay, and the anticipated date the MIPR will be accepted. The acquiring department must accept MIPRs in writing before expiration of the funds.

(2) The acquiring department in accepting a MIPR will determine whether to use Category I (reimbursable funds citation) or Category II (direct funds citation) methods of funding.

(i) Category I method of funding is used under the following circumstances and results in citing the funds of the acquiring department in the contract:

- (A) Delivery is from existing inventories of the acquiring department;
- (B) Delivery is by diversion from existing contracts of the acquiring department;

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

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(C) Production or assembly is through Government work orders in Government-owned plants;

(D) Production quantities are allocated among users from one or more contracts, and the identification of specific quantities of the end item to individual contracts is not feasible at the time of MIPR acceptance;

(E) Acquisition of the end items involves separate acquisition of components to be assembled by the acquiring department;

(F) Payments will be made without reference to deliveries of end items (e.g., cost-reimbursement type contracts and fixed-price contracts with progress payment clauses); or

(G) Category II method of funding is not feasible and economical.

(ii) Category II method of funding is used in circumstances other than those in paragraph (2)(i) of this subsection. Category II funding results in citation of the requiring department's funds and MIPR number in the resultant contract.

(3) When the acquiring departments accepts a MIPR for Category I funding—

(i) The DD Form 448-2, Acceptance of MIPR, is the authority for the requiring department to record the obligation of funds;

(ii) The acquiring department will annotate the DD Form 448-2 if contingencies, price revisions, or variations in quantities are anticipated. The acquiring department will periodically advise the requiring department, prior to submission of billings, of any changes in the acceptance figure so that the requiring department may issue an amendment to the MIPR, and the recorded obligation may be adjusted to reflect the current price;

(iii) If the acquiring department does not qualify the acceptance of a MIPR for anticipated contingencies, the price on the acceptance will be final and will be billed at time of delivery; and

(iv) Upon receipt of the final billing (SF 1080, Voucher for Transferring Funds), the requiring department may adjust the fiscal records accordingly without authorization from or notice to the acquiring department.

(4) When the MIPR is accepted for Category II funding, a conformed copy of the contract (see DFARS 204.802(1)(ii)) is the authority to record the obligation. When all awards have been placed to satisfy the total MIPR requirement, any unused funds remaining on the MIPR become excess to the acquiring department. The acquiring

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

department will immediately notify the requiring department of the excess funds by submitting an Acceptance of MIPR (DD Form 448-2). This amendment is authorization for the requiring department to withdraw the funds. The acquiring department is prohibited from further use of such excess funds.

(5) When the acquiring department requires additional funds to complete the contracting action for the requiring department, the request for additional funds must identify the exact items involved, and the reason why additional funds are required. The requiring department shall act quickly to—

- (i) Provide the funds by an amendment of the MIPR; or
- (ii) Reduce the requirements.

(6) The accepting activity of the acquiring department shall remain responsible for the MIPR even though that activity may split the MIPR into segments for action by other contracting activities.

### **PGI 208.7004-3 Use of advance MIPRs.**

(1) An advance MIPR is an unfunded MIPR provided to the acquiring department in advance of the funded MIPR so that initial steps in planning the contract action can begin at an earlier date.

(2) In order to use an advance MIPR, the acquiring department and the requiring department must agree that its use will be beneficial. The departments may execute a blanket agreement to use advance MIPRs.

(3) The requiring department shall not release an advance MIPR to the acquiring department without obtaining proper internal approval of the requirement.

(4) When advance MIPRs are used, mark “ADVANCE MIPR” prominently on the DD Form 448.

(5) For urgent requirements, the advance MIPR may be transmitted electronically.

(6) On the basis of an advance MIPR, the acquiring department may take the initial steps toward awarding a contract, such as obtaining internal coordination and preparing an acquisition plan. Acquiring departments may determine the extent of these initial actions but shall not award contracts on the basis of advance MIPRs.

### **PGI 208.7004-4 Cutoff dates for submission of Category II MIPRs.**

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

(1) Unless otherwise agreed between the departments, May 31 is the cutoff date for the receipt of MIPRs citing expiring appropriations which must be obligated by September 30 of that fiscal year. If circumstances arise that require the submission of MIPRs citing expiring appropriations after the cutoff date, the requiring department will communicate with the acquiring department before submission to find out whether the acquiring department can execute a contract or otherwise obligate the funds by the end of the fiscal year. Acquiring departments will make every effort to obligate funds for all such MIPRs accepted after the cutoff date. However, acceptance of a late MIPR does not constitute assurance by the acquiring department that all such funds will be obligated.

(2) Nothing in these instructions is intended to restrict the processing of MIPRs when the acquiring department is capable of executing contracts or otherwise obligating funds before the end of the fiscal year.

(3) The May 31 cutoff date does not apply to MIPRs citing continuing appropriations.

### **PGI 208.7004-5 Notification of inability to obligate on Category II MIPRs.**

On August 1, the acquiring department will advise the requiring department of any Category II MIPRs on hand citing expiring appropriations it will be unable to obligate prior to the fund expiration date. If an unforeseen situation develops after August 1 that will prevent execution of a contract, the acquiring department will notify the requiring department as quickly as possible and will return the MIPR. The letter of transmittal returning the MIPR will authorize purchase by the requiring department and state the reason that the acquisition could not be accomplished.

### **PGI 208.7004-6 Cancellation of requirements.**

(1) Category I MIPRs. The requiring department will notify the acquiring department by electronic or other immediate means when cancelling all or part of the supplies or services requested in the MIPR. Within 30 days, the acquiring department will notify the requiring department of the quantity of items available for termination and the amount of funds in excess of the estimated settlement costs. Upon receipt of this information, the requiring department will issue a MIPR amendment to reduce the quantities and funds accordingly.

(2) Category II MIPRs. The requiring department will notify the acquiring department electronically or by other immediate means when cancelling all or any part of the supplies or services requested in the MIPR.

(i) If the acquiring department has not entered into a contract for the supplies or services to be cancelled, the acquiring department will immediately notify the requiring department. Upon receipt of such notification, the requiring department shall initiate a MIPR amendment to revoke the estimated amount shown on the original MIPR for the cancelled items.

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

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(ii) If the items to be cancelled have already been placed under contract—

(A) As soon as practicable, but in no event more than 45 days after receipt of the cancellation notice from the requiring department, the contracting officer shall issue a termination data letter to the requiring department (original and four copies) containing, as a minimum, the information in Table 8-1, Termination Data Letter.

(B) The termination contracting officer (TCO) will review the proceedings at least every 60 days to reassess the Government's probable obligation. If any additional funds are excess to the probable settlement requirements, or if it appears that previous release of excess funds will result in a shortage of the amount that will be required for settlement, the TCO will promptly notify the contracting office which will amend the termination data letter. The requiring department will process a MIPR amendment to reflect the reinstatement of funds within 30 days after receiving the amended termination data letter.

(C) Upon receipt of a copy of the termination settlement agreement, the requiring department will prepare a MIPR amendment, if required, to remove any remaining excess funds.

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

### TABLE 8-1, TERMINATION DATA LETTER

SUBJECT: Termination Data Re:

Contract No.

\_\_\_\_\_ Termination

No. \_\_\_\_\_

Contract

\_\_\_\_\_

(a) As termination action is now in progress on the above contract, the following information is submitted:

(1) Brief Description of items terminated.

(2) You are notified that the sum of \$\_\_\_\_\_ is available for release under the subject contract. This sum represents the difference between \$\_\_\_\_\_, the value of items terminated under the contract, and \$\_\_\_\_\_, estimated to be required for settlement of the terminated contract. The estimated amount available for release is allocated by the appropriations cited on the contract as follows:

MIPR NO. \_\_\_\_\_ ACCOUNTING CLASSIFICATION \_\_\_\_\_ AMOUNT \_\_\_\_\_  
Total available for release at this time \$\_\_\_\_\_

(b) Request you forward an amendment to MIPR \_\_\_\_\_ on DD Form 448-2 to reflect the reduced quantity and amount of funds available for release.

(c) Periodic reviews (not less than 60 days) will be made as termination proceedings progress to redetermine the Government's probable obligation.

\_\_\_\_\_  
Contracting Officer

### **PGI 208.7004-7 Termination for default.**

(1) When the acquiring department terminates a contract for default, it will ask the requiring department if the supplies or services to be terminated are still required so that repurchase action can be started.

(2) The requiring department will not deobligate funds on a contract terminated for default until receipt of a settlement modification or other written evidence from the acquiring department authorizing release of funds.

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

(3) On the repurchase action, the acquiring department will not exceed the unliquidated funds on the defaulted contract without receiving additional funds from the requiring department.

### **PGI 208.7004-8 Transportation funding.**

The requiring department will advise the acquiring department or the transportation officer in the contract administration office of the fund account to be charged for transportation costs. The requiring department may cite the fund account on each MIPR or provide the funding cite to the transportation officer at the beginning of each fiscal year for use on Government bills of lading. When issuing a Government bill of lading, show the requiring department as the department to be billed and cite the appropriate fund account.

### **PGI 208.7004-9 Status reporting.**

(1) The acquiring department will maintain a system of MIPR follow-up to inform the requiring department of the current status of its requests. In addition, the contract administration office will maintain a system of follow-up in order to advise the acquiring department on contract performance.

(2) If requested by the requiring department, the acquiring department will furnish the requiring department a copy of the solicitation when the MIPR is satisfied through Category II funding.

(3) Any reimbursement billings, shipping document, contractual documents, project orders, or related documentation furnished to the requiring department will identify the requiring department's MIPR number, quantities of items, and funding information.

### **PGI 208.7004-10 Administrative costs.**

The acquiring department bears the administrative costs of acquiring supplies for the requiring department. However, when an acquisition responsibility is transferred to another department, funds appropriated or to be appropriated for administrative costs will transfer to the successor acquiring department. The new acquiring department must assume budget cognizance as soon as possible.

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

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### PGI 208.7006 Coordinated acquisition assignments.

#### PART 1--ARMY ASSIGNMENTS

*Federal  
Supply  
Class Code*

*Commodity*

	Electronic Equipment Each department is assigned acquisition responsibility for those items which the department either designed or for which it sponsored development. See FSC 5821 under Navy listings for assignment of certain commercially developed radio sets (i.e., developed without the use of Government funds).
1005 P*	Guns, through 30mm This partial assignment applies to guns, through 30mm, and parts and equipment therefor, as listed in Department of Army Supply Manuals/Catalogs. It does not apply to Navy ordnance type guns; MK 11 and MK 12, 20mm gun; and aircraft gun mounts.
1010 P*	Guns, over 30mm up to 75mm This partial assignment applies to guns, over 30mm and up to 75mm, and parts and equipment therefor, as listed in Department of the Army Supply Manuals/Catalogs. It does not apply to Naval ordnance type guns and aircraft gun mounts.
1015 P*	Guns, 75mm through 125mm This partial assignment applies to guns, 75mm through 125mm, and parts and equipment therefor, as listed in Department of Army Supply Manuals/Catalogs. It does not apply to Naval ordnance type guns.
1020 P*	Guns over 125mm through 150mm
1025 P*	Guns over 150mm through 200mm
1030 P*	Guns over 200mm through 300mm
1035 P*	Guns over 300mm These partial assignments apply to guns, over 125mm, and parts and equipment therefor, as listed in Department of Army Supply Manuals/Catalogs. They do not apply to Naval ordnance type guns.
1040	Chemical Weapons and Equipment
1055 P*	Launchers, Rocket and Pyrotechnic This partial assignment applies to launchers, rocket and pyrotechnic, as listed in Department of Army Supply Manuals/Catalogs does not apply to Naval ordnance type and airborne type, with the exception of 2.75 inch rocket launchers which are included in this partial FSC assignment to the Department of the Army.
1090 P	Assemblies Interchangeable Between Weapons in Two or More Classes This partial assignment applies to the following items: <i>National stock number nomenclature</i>

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

1090-563-7232	Staff Section, Class
1090-699-0633	Staff Section
1090-796-8760	Power Supply
1090-885-8451	Wrench Corrector
1090-986-9707	Reticle Assembly

("P" after the FSC number indicates a partial FSC assignment)

1095 P\* Miscellaneous Weapons

This partial assignment applies to miscellaneous weapons, and parts and equipment therefor, as listed in Department of Army Supply Manuals/Catalogs. It does not apply to Naval ordnance type; line throwing guns (which are under DoD Coordinated Acquisition assignment to the Department of the Navy); and aircraft type miscellaneous weapons.

1210 P\* Fire Control Directors

1220 P\* Fire Control Computing Sights and Devices

1230 P\* Fire Control Systems, Complete

1240 P\* Optical Sighting and Ranging Equipment

1250 P\* Fire Control Stabilizing Mechanisms

1260 P\* Fire Control Designating and Indicating Equipment

1265 P\* Fire Control Transmitting and Receiving Equipment, Except Airborne

1285 P\* Fire Control Radar Equipment, Except Airborne

1290 P\* Miscellaneous Fire Control Equipment

The above nine partial FSC assignments apply to fire control equipment, as listed in Department of the Army Supply Manuals/Catalogs. They do not apply to Naval ordnance type and aircraft type.

1305 P\* Ammunition, through 30mm

This partial assignment applies to ammunition through 30mm as listed in Department of Army Supply Manuals/Catalogs. It does not apply to Naval ordnance type and ammunition for the MK 11 and MK 12, 20mm gun

1310 P\* Ammunition, over 30mm up to 75mm

This partial assignment applies to ammunition, over 30mm up to 75mm, as listed in Department of Army Supply Manuals/Catalogs. It does not apply to Naval ordnance type and to 40mm ammunition (which is under DoD Coordinated Acquisition assignment to the Navy). The Army is responsible for the acquisition of fillers and the loading, assembling, and packing of toxicological, incapacitating riot control, smoke and incendiary munitions.

1315 P\* Ammunition, 75mm through 125mm

This partial assignment applies to ammunition, 75mm through 125mm, as listed in Department of Army Supply Manuals/Catalogs. It does not apply to Naval ordnance type. The Army is responsible for the acquisition of fillers and the loading, assembling, and packing of toxicological, incapacitating riot control, smoke and incendiary munitions.

## DFARS Procedures, Guidance, and Information

### PGI 208—Required Sources of Supplies and Services

---

- 1320 P\*      Ammunition, over 125mm  
This partial assignment applies to ammunition over 125mm, as listed in Department of Army Supply Manuals/Catalogs. It does not apply to Naval ordnance type. The Army is responsible for the acquisition of fillers and the loading, assembling, and packing of toxicological, incapacitating riot control, smoke and incendiary munitions.
- 1325 P      Bombs  
This partial assignment applies to bombs as listed in Department of Army Supply Manuals/Catalogs. It does not apply to Navy assigned bombs as shown in list of assignments to the Navy; however, the Department of the Army is responsible for the acquisition of fillers and the loading, assembling, and packing of toxicological, incapacitating riot control, smoke and incendiary munitions, and for other loading, assembling, and packing in excess of Navy owned capacity.
- 1330      Grenades
- 1340 P      Rockets and Rocket Ammunition  
This partial assignment applies to:  
    66mm Rocket, HEAT, M72  
    2.75" Rocket FFAR, Service and Practice  
    Heads MK5 and Mods (HEAT)  
        HE, M151  
        HE, XM229 (17 lb Warhead)  
        HE, XM157 (Spotting Red)  
        HE, XM158 (Spotting Yellow)  
    MK61 Practice (5 lb Slug)  
    XM230 Practice (10 lb)  
    Motors MK4 and Mods (High Performance Aircraft)  
    MK40 and Mods (Low Performance Aircraft)  
    3.5 inch Rocket Heat, M35  
        Practice, M36  
        Smoke, WP, M30  
    4.5 inch Motor, Drill, M24  
    HE, M32  
    Practice, M33  
Incendiary and toxicological rockets, as listed in Army Supply Bulletins. It does not apply to Navy assigned rockets as shown in the list of assignments to the Navy. However, the Department of the Army is responsible for acquisition of filler and for filling of all smoke and toxicological rockets.
- 1345      Land Mines
- 1365      Military Chemical Agents
- 1370 P      Pyrotechnics  
This partial assignment does not apply to shipboard and aircraft pyrotechnics.

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

- 1375 P Demolition Materials  
This partial assignment applies to Blasting Agents and supplies such as:  
Bangalore torpedo  
Blocks, demolition  
Caps, blasting, electric and nonelectric  
Charge, cratering  
Charge, shaped and demolition  
Chests, demolition platoon and squad  
Cord detonating  
Demolition equipment sets, with ancillary items  
Detonators, all types  
Dynamite  
Firing devices  
Fuze, safety  
Kit, demolition  
Lighter, fuse  
Machine, blasting  
Primer, percussion cap  
It does not apply to Navy underwater demolition requirements.
- 1376 P Bulk Explosives  
This partial assignment applies to solid propellants and explosives such as:  
Ammonium Picrate (Explosive D) JAN-A-166A  
Trinitrotoluene (TNT) MIL-T-248A  
Tetryl JAN-T-339  
Pentaerythrite Tetranitrate (PETN) JAN-P-387  
RDX  
Composition B  
Composition B-3  
Pentolite, 50  
Composition C-3  
Composition A-3  
Composition A-4  
Nitroguanidine (Picrate)  
It does not apply to production capacity for any of the above listed explosives at the U.S. Naval Propellant Plant, Indian Head, Maryland.
- 1377 P Cartridge and Propellant Actuated Devices and Components  
This partial assignment is reserved pending Services agreement as to items to be included in the assignment.
- 1380 Military Biological Agents

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

- 1390 P\* Fuzes and Primers  
This partial assignment applies to Fuzes and Primers for Army assigned ammunition. It does not apply to Naval ordnance type, which is under DoD Coordinated Acquisition assignment to the Department of the Navy; and guided missile fuzes.
- 2210 Locomotives
- 2220 Rail Cars
- 2240 Locomotive and Rail Car Accessories and Components
- 2250 Track Materials, Railroad
- 2310 P Passenger Motor Vehicles
- 2320 P Trucks and Truck Tractors  
These two partial assignments apply to tactical vehicles and the following types of vehicles:  
    Bus, convertible to ambulance  
    Truck, 4 x 4, convertible to ambulance  
    Truck 4 x 4 dump, 9,000 GVW, with cut-down cab  
These assignments do not apply to tracked landing vehicles which are not under DoD Coordinated Acquisition assignment, and airport crash rescue vehicles, which are under DoD Coordinated Acquisition assignment to the Department of the Air Force. With the exception of the types enumerated above, these assignments do not apply to commercial, non-tactical, passenger carrying vehicles and trucks which are assigned for DoD Coordinated Acquisition to the General Services Administration.
- 2330 P Trailers  
This partial assignment does not apply to two wheel lubrication trailers, two wheel steam cleaning trailers, and troop transporter semitrailers which are not under DoD Coordinated Acquisition assignment, and airport crash rescue trailer units which are under DoD Coordinated Acquisition assignment to the Department of the Air Force.
- 2340 P Motorcycles, Motor Scooters, and Bicycles  
This partial assignment does not apply to bicycles and tricycles.
- 2350 Tanks and Self-propelled Weapons
- 2430 Tractors, Track Laying, High-Speed
- 2510 P\*\* Vehicular Cab, Body, and Frame Structural Components
- 2520 P\*\* Vehicular Power Transmission Components
- 2530 P\*\* Vehicular Brake, Steering, Axle, Wheel, and Track Components
- 2540 P\*\* Vehicular Furniture and Accessories
- 2590 P\*\* Miscellaneous Vehicular Components
- 2610 Tires and Tubes, Pneumatic, except Aircraft
- 2630 Tires, solid and cushion
- 2640 Tire Rebuilding and Tire and Tube Repair Materials
- 2805 P\*\* Gasoline Reciprocating Engines, except Aircraft and Components
- 2910 P\*\* Engine Fuel System Components, Nonaircraft

## DFARS Procedures, Guidance, and Information

### PGI 208—Required Sources of Supplies and Services

---

2920 P**	Engine Electrical System Components, Nonaircraft
2930 P**	Engine Cooling System Components, Nonaircraft
2940 P**	Engine Air and Oil Filters, Strainers and Cleaners, Nonaircraft
2990 P**	Miscellaneous Engine Accessories, Nonaircraft
4210 P	Fire Fighting Equipment This partial assignment applies only to equipment developed by or under the sponsorship of the Department of the Army.
4230 P	Decontaminating and Impregnating Equipment This partial assignment applies only to items peculiar to chemical warfare.
4240 P	Safety and Rescue Equipment This partial assignment applies only to military respiratory protective equipment for chemical warfare.
5805 P	Telephone and Telegraph Equipment This partial assignment applies only to military (wire) equipment, field type.
5815 P	Teletype and Facsimile Equipment This partial assignment applies only to military (wire) equipment, field type.
5830 P	Intercommunication and Public Address Systems; except Airborne This partial assignment applies only to military (wire) equipment, field type.
6135 P	Batteries, Primary This partial assignment applies to MIL type, dry cell batteries, only.
6625 P	Electrical and Electronic Properties Measuring and Testing Instruments This partial assignment applies only to instruments for testing military (wire) equipment, field type.
6645 P	Time Measuring Instruments This partial assignment applies to the following watches; aircraft instrument panel clocks; cases and spare parts therefor: Master navigation watches; pocket watches; stop watches; second setting wrist watches; wrist watches; athletic timers; aircraft clocks; aircraft panel clocks; mechanical aircraft clocks; navigation watch cases; pocket watch cases; watch holders; watch case assemblies and watch movements.
6660 P	Meteorological Instruments and Apparatus Each department is assigned acquisition responsibility for those systems, instruments and end items in FSC 6660 which the department either designed or sponsored development. For purposes of this assignment, the developing department is the department which awarded the developmental contract, notwithstanding that other departments may have provided funds for the development.
6665 P	Hazard-Detecting Instruments and Apparatus This partial assignment applies only to items peculiar to chemical warfare.
6695 P	Combination and Miscellaneous Instruments This partial assignment applies to jewel bearings only.
6820 P	Dyes This partial assignment applies only to items peculiar to chemical warfare.

## DFARS Procedures, Guidance, and Information

### PGI 208—Required Sources of Supplies and Services

---

- 6910 P      Training Aids  
This partial assignment applies only to items peculiar to Army assignments under weapons, fire control equipment, ammunition and explosives, and chemical and biological warfare.
- 6920 P      Armament Training Devices  
This partial assignment applies to armament training devices as listed in Department of Army Catalogs SC 6910, ML/IL and SC 6920 ML/IL. It does not apply to clay pigeons in Department of Army Catalogs SC 6910, ML/IL and SC 6920 ML/IL. It does not apply to clay pigeons.
- 6940 P      Communication Training Devices  
This partial assignment applies only to code training sets, code practice equipment, and other telephone and telegraph training devices.
- 8130 P      Reels and Spools  
This partial assignment applies only to reels and spools for military (wire) equipment, field type.
- 8140 P      Ammunition Boxes, Packages, and Special Containers  
This partial assignment applies only to boxes, packages, and containers peculiar to Army assignments under ammunitions, explosives, and chemical and biological warfare as listed in Department of Army Catalog SC 8140 IL and SC 8140 ML.

\*For contracting purposes, Naval ordnance comprises all arms, armor, and armament for the Department of the Navy and includes all offensive and defensive weapons, together with their components, controlling devices and ammunition used in executing the Navy's mission in National Defense (except small arms and those items of aviation ordnance acquired from the Army).

\*\*These partial FSC assignments apply only to repair parts peculiar to combat and tactical vehicles. In addition, the assignment in FSC 2805 applies to military standard engines 1.5 HP through 20 HP and parts peculiar therefor. Balance of these FSCs are assigned to the Defense Logistics Agency (DLA Land and Maritime)

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

### PART 2--NAVY ASSIGNMENTS

*Federal  
Supply  
Class Code*

*Commodity*

#### Electronic Equipment

Each department is assigned acquisition responsibility for those items which the department either designed or sponsored development. See FSC 5821 for assignment of certain commercially developed radio sets to the Department of the Navy (i.e., developed without the use of Government funds).

1095 P

#### Miscellaneous Weapons

This partial assignment applies to line throwing guns only.

1310 P

#### Ammunition, over 30mm up to 75mm

This partial assignment applies only to reels and spools for military.

1325 P

#### Bombs

This partial assignment applies to armor-piercing; depth bombs; externally suspended low drag bombs; and components and practice bombs therefor, as listed in Ord Pamphlets, and the MK 43, Target Detecting Device. The Department of the Army is responsible for the acquisition of fillers and the loading, assembling, and packing of toxicological, incapacitating riot control, smoke and incendiary munitions, and for other loading, assembling, and packing in excess of Navy-owned capacity.

1340 P

#### Rockets and Rocket Ammunition

This partial assignment applies to:

Fuze, Rocket, V.T., MK93-0

2.25 inch Rocket SCAR, Practice

Heads MK3 and Mods

Motors MK15 and Mods

MK16 and Mods

5 inch Rocket HVAR, service and practice

Heads MK2 and Mods (common) MK6 and Mods (GP)

MK4 and Mods (smoke) MK25 and Mods (ATAR)

Motors MK10 and Mods

5 inch Rocket FFAR service and practice

Heads MK24 and Mods (General Purposes)

MK32 and Mods (Shaped Charged)

MK26 and Mods (Illum)

Motor MK16 and Mods

The Department of the Army is responsible for acquisition of filler and for filling of all smoke and toxicological rockets.

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

- 1390 P Fuzes and Primers  
This partial assignment applies to fuzes and primers for Navy assigned ammunition.
- 1550 P Drones  
This partial assignment applies only to Drone, Model BQM34E.
- (“P” after the FSC number indicates a partial FSC assignment).
- 1905 P Combat Ships and Landing Vessels  
This partial assignment applies to landing vessels only.
- 1910 P Transport Vessels, Passenger and Troop  
This partial assignment applies to ferryboats only.
- 1920 Fishing Vessels
- 1925 Special Service Vessels
- 1930 Barges and Lighters, Cargo
- 1935 P Barges and Lighters, Special Purpose  
This partial assignment does not apply to derricks, pile drivers, rock cutters, concrete mixing plants, mechanical bank grader barges, other bank revetment barges, and barge power plants.
- 1940 Small Craft
- 1945 P Pontoons and Floating Docks  
This partial assignment applies only to Naval Facilities Engineering Command type pontoons.
- 1950 Floating Drydocks
- 1990 P Miscellaneous Vessels  
This partial assignment applies to commercial sailing vessels only.
- 2010 Ship and Boat Propulsion Components
- 2020 Rigging and Rigging Gear
- 2030 Deck Machinery
- 2040 Marine Hardware and Hull Items
- 2060 Commercial Fishing Equipment
- 2090 Miscellaneous Ship and Marine Equipment
- 2820 P Steam Engines, Reciprocating and Components  
This partial assignment applies to marine main propulsion steam engines only.
- 2825 P Steam Turbines and Components  
This partial assignment applies to marine steam turbines only.
- 4210 P Fire Fighting Equipment  
This partial assignment applies only to fire fighting equipment developed by or under the sponsorship of the Department of Navy.
- 4410 P Industrial Boilers  
This partial assignment applies only to boilers for use aboard those ships assigned to the Navy for coordinated acquisition.

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

- 4420 P Heat Exchangers and Steam Condensers  
This partial assignment applies only to heat exchangers for use aboard those ships assigned to the Navy for coordinated acquisition.
- 4925 P Ammunition Maintenance and Repair Shop Specialized Equipment  
This partial assignment applies to sets, kits, and outfits of tools and equipment for explosive ordnance as defined in military service regulations and documents.
- 5821 P Radio and Television Communication Equipment, Airborne  
This partial assignment applies only to the following commercially developed radio sets. (The term “commercially developed” means that no Government funds were provided for development purposes.) HF-101, 102, 103, 104, 105, 106, 107, 108, 109, 111, 113, ARC-94, 102, 105, 110, 112, 119, 120; MRC-95, 108; VC-102, 104, 105, 106, 109, 110; and components of the foregoing including the 490T antenna coupler.
- 6125 P Converters, Electrical, Rotating  
This partial assignment applies only to motor-generated sets for use aboard ships assigned to the Navy for coordinated acquisition.
- 6320 P Shipboard Alarm and Signal System  
This partial assignment applies only to alarm systems, fire alarm systems, indicating systems, telegraph systems (signal and signaling) (less electronic type) for use aboard ships assigned to the Navy for coordinated acquisition.
- 6605 P Navigational Instruments  
This partial assignment applies only to lifeboat and raft compasses, aircraft sextants, hand leads (soundings), lead reels, sounding machines and pelorus stands for use aboard ships assigned to the Navy for coordinated acquisition.
- 6645 P Time Measuring Instruments  
This partial assignment applies to the following instruments, cases, and spare parts therefor:  
Chronometers including gimbal, padded, and make break circuit  
Clocks, alarm, boat, deck, direct reading, electrical, floor, interval timer, marine, mechanical, master control, master program, master regulating, mechanical message center, nurses, program, shelf, stop, wall, watchman's  
Counters, time period  
Meters, engine running time, hour recording, and electrical time totalizing  
Timers; bombing, engine hours, sequential, stop, and program  
Program control instrument  
Cases; chronometer, including gimbal and padded, chronometer carrying; makebreak circuit chronometer  
Cans, chronometer shipping and storage  
Clock keys; clock movements, clock motors
- 6650 P Optical Instruments  
This partial assignment applies only to stands, telescope, for use aboard ships assigned to the Navy for coordinated acquisition.

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

- 6660 P      **Meteorological Instruments and Apparatus**  
Each department is assigned acquisition responsibility for those systems, instruments, and end items in FSC 6660 for which the department either designed or sponsored development. For purposes of this assignment, the developing department is the department which awarded the developmental contract, notwithstanding that other departments may have provided funds for the development.
- 6665 P      **Hazard-Detecting Instruments and Apparatus**  
This partial assignment applies only to hazard determining safety devices, for use aboard ships assigned to the Navy for coordinated acquisition.
- 8140 P      **Ammunition Boxes, Packages, and Special Containers**  
This partial assignment applies only to boxes, packages, and containers for 40mm ammunition.

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

### PART 3--AIR FORCE ASSIGNMENTS

*Federal  
Supply  
Class Code*

*Commodity*

	Electronic Equipment Each department is assigned acquisition responsibility for those items which the department either designed or sponsored development. See FSC 5821 under Navy listing for assignment of certain commercially developed radio sets (i.e., developed without the use of Government funds).
1550 P	Drones This partial assignment applies only to the following model drones: Model 147 Model 154 BQM 34A MQM 34D
2320 P	Trucks and Truck Tractors This partial assignment applies only to airport crash rescue vehicles.
2330 P	Trailers This partial assignment applies only to airport crash rescue trailer units.
4210 P	Fire Fighting Equipment This partial assignment applies only to fire fighting equipment developed by or under the sponsorship of the Department of the Air Force.
6660 P	Meteorological Instruments and Apparatus Each department is assigned acquisition responsibility for those systems, instruments, and end items in FSC 6660 for which the department either designed or sponsored development. The developing department is the department which awarded the developmental contract, notwithstanding that other departments may have provided funds for the development.
6710 P*	Cameras, Motion Picture This partial assignment does not apply to submarine periscope and underwater cameras.
6720 P*	Cameras, Still Picture This partial assignment does not apply to submarine periscope and underwater cameras.
6730 P*	Photographic Projection Equipment This partial assignment does not apply to 35mm theater projectors.
6740*	Photographic Developing and Finishing Equipment
6760*	Photographic Equipment and Accessories
6780*	Photographic Sets, Kits, and Outfits

("P" after the FSC number indicates a partial FSC assignment).

## DFARS Procedures, Guidance, and Information

### PGI 208—Required Sources of Supplies and Services

---

8820 P      Live Animals Not Raised for Food  
This partial assignment applies only to the following types of working dogs:  
Scout  
Sentry  
Patrol  
Mine/tunnel  
Tracker  
Detector-narcotic/contraband  
Sledge  
Bloodhound  
Water dog  
Patrol/detector

\*This partial FSC assignment does not apply to photographic equipment controlled by the Congressional Joint Committee on Printing and Micro-Film Equipment and Supplies.

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

### PART 4--DEFENSE LOGISTICS AGENCY ASSIGNMENTS

<i>Federal Supply Class Code</i>	<i>Commodity</i>	<i>DLA Center<sup>6</sup></i>
2230	Right of Way Construction and Maintenance Equipment, Railroad	DSCP
2410	Tractor, Full Track, Low-Speed	DSCP
2420	Tractor, Wheeled	DSCP
2510 P <sup>2</sup>	Vehicular Cab, Body, and Frame, Structural Components	DSCC
2520 P <sup>2</sup>	Vehicular Power Transmission Components	DSCC
2530 P <sup>2</sup>	Vehicular Brake, Steering, Axle, Wheel, and Track Components	DSCC
2540 P <sup>2</sup>	Vehicular Furniture and Accessories	DSCC
2590 P <sup>2</sup>	Miscellaneous Vehicular Components	DSCC
2805 P <sup>2</sup>	Gasoline Reciprocating Engines, Except Aircraft; and Components	DSCC
2815	Diesel Engines and Components	DSCC
2895	Miscellaneous Engines and Components	DSCC
2910 P <sup>2</sup>	Engine Fuel System Components, Nonaircraft	DSCC
2920 P <sup>2</sup>	Engine Electrical System Components, Nonaircraft	DSCC
2930 P <sup>2</sup>	Engine Cooling System Components, Nonaircraft	DSCC
2940 P <sup>2</sup>	Engine Air and Oil Filters, Strainers and Cleaners, Nonaircraft	DSCC
2990 P <sup>2</sup>	Miscellaneous Engine Accessories, Nonaircraft	DSCC
3020	Gears, Pulleys, Sprockets and Transmission Chain	DSCC
3030	Belting, Drive Belts, Fan Belts, and Accessories	DSCP
3040	Miscellaneous Power Transmission Equipment	DSCC
3110	Bearings, Antifriction, Unmounted	DSCR
3120	Bearings, Plain Unmounted	DSCR
3130	Bearings, Mounted	DSCR
3210	Sawmill and Planing Mill Machinery	DSCP
3220	Woodworking Machines	DSCP
3230	Tools and Attachments for Woodworking Machinery	DSCP
3405	Saws and Filing Machines	DSCR
3408	Machining Centers and Way-Type Machines	DSCR
3410	Electrical and Ultrasonic Erosion Machines	DSCR
3411	Boring Machines	DSCR
3412	Broaching Machines	DSCR
3413	Drilling and Tapping Machines	DSCR
3414	Gear Cutting and Finishing Machines	DSCR
3415	Grinding Machines	DSCR
3416	Lathes	DSCR
3417	Milling Machines	DSCR
3418	Planers and Shapers	DSCR

## DFARS Procedures, Guidance, and Information

### PGI 208—Required Sources of Supplies and Services

---

3419	Miscellaneous Machine Tools	DSCR
3422	Rolling Mills and Drawing Machines	DSCR

("P" after the FSC number indicates a partial FSC assignment)

3424	Metal Heat Treating Equipment	DSCR
3426	Metal Finishing Equipment	DSCR
3431	Electric Arc Welding Equipment	DSCR
3432	Electric Resistance Welding Equipment	DSCR
3433	Gas Welding, Heat Cutting & Metalizing Equipment	DSCR
3436	Welding Positioners and Manipulators	DSCR
3438	Miscellaneous Welding Equipment	DSCR
3439	Miscellaneous Welding, Soldering and Brazing Supplies and Accessories	DSCR
3441	Bending and Forming Machines	DSCR
3442	Hydraulic and Pneumatic Presses, Power Driven	DSCR
3443	Mechanical Presses, Power Driven	DSCR
3444	Manual Presses	DSCR
3445	Punching and Shearing Machines	DSCR
3446	Forging Machinery and Hammers	DSCR
3447	Wire and Metal Ribbon Forming Machinery	DSCR
3448	Riveting Machines	DSCR
3449	Misc. Secondary Metal Forming and Cutting Machines	DSCR
3450	Machine Tools, Portable	DSCR
3455	Cutting Tools for Machine Tools	DSCR
3456	Cutting and Forming Tools for Secondary Metal Working Machines	DSCR
3460	Machine Tool Accessories	DSCR
3461	Accessories for Secondary Metal Working Machinery	DSCR
3465	Production Jigs, Fixtures and Templates	DSCR
3470	Machine Shop Sets, Kits, and Outfits	DSCR
3510	Laundry and Dry Cleaning Equipment	DSCP
3520	Shoe Repairing Equipment	DSCP
3530	Industrial Sewing Machines & Mobile Textile Repair Shops	DSCP
3610	Printing, Duplicating, and Bookbinding Equipment	DSCR
3611 P <sup>9</sup>	Industrial Marking Machines	DSCR
3620 P <sup>9</sup>	Rubber and Plastics Working Machinery	DSCR
3635 P <sup>9</sup>	Crystal and Glass Industries Machinery	DSCR
3650 P <sup>9</sup>	Chemical & Pharmaceutical Products Manufacturing Machinery	DSCR
3655	Gas Generating and Dispensing Systems, Fixed or Mobile	DSCR
3660 P <sup>9</sup>	Industrial Size Reduction Machinery	DSCR
3680 P <sup>9</sup>	Foundry Machinery, Related Equipment and Supplies	DSCR
3685 P <sup>9</sup>	Specialized Metal Container Manufacturing Machinery and Related Equipment	DSCR

## DFARS Procedures, Guidance, and Information

### PGI 208—Required Sources of Supplies and Services

---

3693 P <sup>9</sup>	Industrial Assembly Machines	DSCR
3694 P <sup>9</sup>	Clean Work Stations, Controlled Environment & Related Equipment	DSCR
3695	Miscellaneous Special Industry Machinery	DSCR
3710	Soil Preparation Equipment	DSCP
3720	Harvesting Equipment	DSCP
3740	Pest, Disease, and Frost Control Equipment	DSCR
3770	Saddlery, Harness, Whips and Related Animal Furnishings	DSCP
3805	Earth Moving and Excavating Equipment	DSCP
3810	Cranes and Crane-Shovels	DSCP
3815	Crane and Crane-Shovel Attachments	DSCP
3820	Mining, Rock Drilling, Earth Boring, and Related Equipment	DSCP
3825	Road Clearing and Cleaning Equipment	DSCP
3830	Truck and Tractor Attachments	DSCP
3835	Petroleum Production and Distribution Equipment	DSCP
3895	Miscellaneous Construction Equipment	DSCP
3910	Conveyors	DSCP
3920	Materials Handling Equipment, Nonself-Propelled	DSCP
3930	Warehouse Trucks and Tractors, Self-Propelled	DSCP
3940	Blocks, Tackle, Rigging, and Slings	DSCP
3950	Winches, Hoists, Cranes, and Derricks	DSCC
3990	Miscellaneous Materials Handling Equipment	DSCP
4010	Chain and Wire Rope	DSCR
4020	Fiber Rope, Cordage and Twine	DSCP
4030	Fittings for Rope, Cable, and Chain	DSCC
4110	Refrigeration Equipment	DSCP
4120	Air Conditioning Equipment	DSCP
4130	Refrigeration and Air Conditioning Components	DSCP
4140	Fans, Air Circulators, and Blower Equipment	DSCP
4210 P <sup>3</sup>	Fire Fighting Equipment	DSCP
4220	Marine Lifesaving and Diving Equipment	DSCP
4310	Compressors and Vacuum Pumps	DSCC
4320	Power and Hand Pumps	DSCC
4330	Centrifugals, Separators, and Pressure and Vacuum Filters	DSCC
4440	Driers, Dehydrators, and Anhydrators	DSCC
4450	Industrial Fan and Blower Equipment	DSCC
4460	Air Purification Equipment	DSCC
4510	Plumbing Fixtures and Accessories	DSCP
4520	Space Heating Equipment and Domestic Water Heaters	DSCP
4530	Fuel Burning Equipment Units	DSCP
4540	Miscellaneous Plumbing, Heating, and Sanitation Equipment	DSCP
4610	Water Purification Equipment	DSCC
4620	Water Distillation Equipment, Marine and Industrial	DSCC
4630	Sewage Treatment Equipment	DSCP

## DFARS Procedures, Guidance, and Information

### PGI 208—Required Sources of Supplies and Services

---

4710	Pipe and Tube	DSCC
4720	Hose and Tubing, Flexible	DSCC
4730	Fittings and Specialities; Hose, Pipe, and Tube	DSCC
4810	Valves, Powered	DSCC
4820	Valves, Nonpowered	DSCC
4930	Lubrication and Fuel Dispensing Equipment	DSCP
5280	Sets, Kits, and Outfits of Measuring Tools	DSCP
5305	Screws	DSCP
5306	Bolts	DSCP
5307	Studs	DSCP
5310	Nuts and Washers	DSCP
5315	Nails, Keys, and Pins	DSCP
5320	Rivets	DSCP
5325	Fastening Devices	DSCP
5330	Packing and Gasket Materials	DSCP
5335	Metal Screening	DSCP
5340	Miscellaneous Hardware	DSCP
5355	Knobs and Pointers	DSCP
5360	Coil, Flat and Wire Springs	DSCP
5365	Rings, Shims, and Spacers	DSCR
5410	Prefabricated and Portable Buildings	DSCP
5420	Bridges, Fixed and Floating	DSCC
5430	Storage Tanks	DSCP
5440	Scaffolding Equipment and Concrete Forms	DSCP
5445	Prefabricated Tower Structures	DSCP
5450	Miscellaneous Prefabricated Structures	DSCP
5510	Lumber and Related Basic Wood Materials	DSCP
5520	Millwork	DSCP
5530	Plywood and Veneer	DSCP
5660	Fencing, Fences and Gates	DSCP
5680 P	Miscellaneous Construction Materials	DSCC
	This partial assignment applies only to airplane landing mat. (Also, see footnote 1 at end of list relative to purchase of DLA managed items in GSA assigned classes.)	
5820 P <sup>10</sup>	Radio and Television Communication Equipment, except Airborne	T-ASA (DMC)
5905	Resistors	DSCC
5910	Capacitors	DSCC
5915	Filters and Networks	DSCC
5920	Fuses and Lightning Arrestors	DSCC
5925	Circuit Breakers	DSCC
5930	Switches	DSCC
5935	Connectors, Electrical	DSCC
5940	Lugs, Terminals, and Terminals Strips	DSCR

## DFARS Procedures, Guidance, and Information

### PGI 208—Required Sources of Supplies and Services

---

5945	Relays, Contractors, and Solenoids	DSCC
5950	Coils and Transformers	DSCC
5955	Piezoelectric Crystals	DSCC
5960	Electron Tubes and Associated Hardware	DSCC
5961	Semiconductor Devices and Associated Hardware	DSCC
5962	Microelectronic Circuit Devices	DSCC
5965	Headsets, Handsets, Microphones, and Speakers	DSCC
5970	Electrical Insulators and Insulating Materials	DSCR
5975	Electrical Hardware and Supplies	DSCR
5977	Electrical Contact Brushes and Electrodes	DSCR
5985	Antennas, Waveguides, and Related Equipment	DSCC
5990	Synchros and Resolvers	DSCC
5995	Cable, Cord, and Wire Assemblies; Communication Equipment	DSCR
5999	Miscellaneous Electrical and Electronic Components	DSCC
6105	Motors, Electrical	DSCR
6110	Electrical Control Equipment	DSCR
6115 P <sup>8</sup>	Generators and Generator Sets, Electrical	DSCR
6120	Transformers; Distribution and Power Station	DSCR
6145	Wire and Cable, Electrical	DSCC
6150	Miscellaneous Electric Power and Distribution Equipment	DSCR
6210	Indoor and Outdoor Electric Lighting Fixtures	DSCP
6220	Electric Vehicular Lights and Fixtures	DSCP
6230	Electric Portable and Hand Lighting Equipment	DSCP
6240	Electric Lamps	DSCP
6250	Ballasts, Lampholders and Starters	DSCP
6260	Nonelectrical Lighting Fixtures	DSCP
6350	Miscellaneous Alarm and Signal Systems	DSCP
6505 <sup>4</sup>	Drugs, Biologicals, and Official Reagents	DSCP
6508 <sup>4</sup>	Medicated Cosmetics and Toiletries	DSCP
6510 <sup>4</sup>	Surgical Dressing Materials	DSCP
6515 <sup>4</sup>	Medical and Surgical Instruments, Equipment and Supplies	DSCP
6520 <sup>4</sup>	Dental Instruments, Equipment and Supplies	DSCP
6525 <sup>4</sup>	X-Ray Equipment and Supplies; Medical, Dental and Veterinary	DSCP
6530 <sup>4</sup>	Hospital Furniture, Equipment, Utensils, and Supplies	DSCP
6532	Hospital and Surgical Clothing and Textile Special Purpose Items	DSCP
6540 <sup>4</sup>	Opticians' Instruments, Equipment and Supplies	DSCP
6545 <sup>4</sup>	Medical Sets, Kits, and Outfits	DSCP
6630	Chemical Analysis Instruments	DSCP
6635	Physical Properties Testing Equipment	DSCR
6640	Laboratory Equipment and Supplies	DSCP
6655	Geophysical and Astronomical Instruments	DSCR
6670	Scales and Balances	DSCR
6675	Drafting, Surveying, and Mapping Instruments	DSCP

## DFARS Procedures, Guidance, and Information

### PGI 208—Required Sources of Supplies and Services

---

6680	Liquid and Gas Flow, Liquid Level and Mechanical Motion Measuring Instruments	DSCR
6750	Photographic Supplies	DSCP
6810 <sup>7</sup>	Chemicals	DSCR
6820	Dyes	DSCR
6830	Gases; Compressed and Liquified	DSCR
6840	Pest Control Agents and Disinfectants	DSCR
6850 <sup>7</sup>	Miscellaneous Chemical Specialties	DSCR
7210	Household Furnishings	DSCP
7310	Food Cooking, Baking, and Serving Equipment	DSCP
7320	Kitchen Equipment and Appliances	DSCP
7360	Sets, Kits, and Outfits; Food Preparation and Serving	DSCP
7610	Books and Pamphlets	DSCP
7660	Sheet and Book Music	DSCP
7690	Miscellaneous Printed Matter	DSCP
8110	Drums and Cans	DSCP
8120	Commercial and Industrial Gas Cylinders	DSCR
8125	Bottles and Jars	DSCP
8305	Textile Fabrics FSC 8305 does not include laminated cloth used exclusively in the repair of lighter than air envelopes.	DSCP
8310	Yarn and Thread	DSCP
8315	Notions and Apparel Findings FSC 8315 does not include coated cloth tape used exclusively in the repair of lighter than air envelopes.	DSCP
8320	Padding and Stuffing Materials	DSCP
8325	Fur Materials	DSCP
8330	Leather	DSCP
8335	Shoe Findings and Soling Materials	DSCP
8340	Tents and Tarpaulins	DSCP
8345	Flags and Pennants	DSCP
8405	Outerwear, Men's	DSCP
8410	Outerwear, Women's	DSCP
8415	Clothing, Special Purpose FSC 8415 includes all submarine clothing.	DSCP
8420	Underwear and Nightwear, Men's	DSCP
8425	Underwear and Nightwear, Women's	DSCP
8430	Footwear, Men's	DSCP
8435	Footwear, Women's	DSCP
8440	Hosiery, Handwear, and Clothing Accessories, Men's	DSCP
8445	Hosiery, Handwear, and Clothing Accessories, Women's	DSCP
8450	Children's and Infant's Apparel and Accessories	DSCP
8455	Badges and Insignia	DSCP
8460	Luggage	DSCP

## DFARS Procedures, Guidance, and Information

### PGI 208—Required Sources of Supplies and Services

---

8465	Individual Equipment	DSCP
8470	Armor, Personal	DSCP
8475	Specialized Flight Clothing and Accessories	DSCP
8905 <sup>5</sup>	Meat, Poultry, and Fish	DSCP
8910 <sup>5</sup>	Dairy Foods and Eggs	DSCP
8915 <sup>5</sup>	Fruits and Vegetables	DSCP
8920 <sup>5</sup>	Bakery and Cereal Products	DSCP
8925 <sup>5</sup>	Sugar, Confectionery, and Nuts	DSCP
8930 <sup>5</sup>	Jams, Jellies, and Preserves	DSCP
8935 <sup>5</sup>	Soups and Bouillons	DSCP
8940 <sup>5</sup>	Special Dietary Foods and Food Specialty Preparations	DSCP
8945 <sup>5</sup>	Food Oils and Fats	DSCP
8950 <sup>5</sup>	Condiments and Related Products	DSCP
8955 <sup>5</sup>	Coffee, Tea, and Cocoa	DSCP
8960 <sup>5</sup>	Beverages, Nonalcoholic	DSCP
8970 <sup>5</sup>	Composite Food Packages	DSCP
8975 <sup>5</sup>	Tobacco Products	DSCP
9110	Fuels, Solid	DSCP
9130	Liquid Propellants and Fuels, Petroleum Base	DESC
9140	Fuel Oils	DESC
9150	Oils and Greases; Cutting, Lubricating, and Hydraulic	DSCR
9160	Miscellaneous Waxes, Oils, and Fats	DSCP
9320	Rubber Fabricated Materials	DSCP
9330	Plastic Fabricated Materials	DSCP
9340	Glass Fabricated Materials	DSCP
9350	Refractories and Fire Surfacing Materials	DSCP
9390	Miscellaneous Fabricated Nonmetallic Materials	DSCP
9420 P	Fibers; Vegetable, Animal, and Synthetic This partial FSC assignment applies only to raw cotton and raw wool.	DSCP
9430 P	Miscellaneous Crude Animal Products, Inedible This partial assignment applies only to crude hides.	DSCP
9505	Wire, Nonelectrical, Iron and Steel	DSCP
9510	Bars and Rods, Iron and Steel	DSCP
9515	Plate, Sheet, and Strip, Iron and Steel	DSCP
9520	Structural Shapes, Iron and Steel	DSCP
9525	Wire, Nonelectrical, Nonferrous Base Metal	DSCP
9530	Bars and Rods, Nonferrous Base Metal	DSCP
9535	Plate, Sheet, Strip, and Foil, Nonferrous Base Metal	DSCP
9540	Structural Shapes, Nonferrous Base Metal	DSCP
9545	Plate, Sheet, Strip, Foil and Wire, Precious Metal	DSCP

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

---

9620 P	Minerals, Natural and Synthetic This partial assignment applies only to crude petroleum and crude shale oil.	DSCP
9925	Ecclesiastical Equipment, Furnishings and Supplies	DSCP
9930	Memorials, Cemeterial and Mortuary Equipment and Supplies	DSCP
9999	Miscellaneous Items	DSCP

### FOOTNOTES:

<sup>1</sup> These assignments do not apply to items decentralized by the DLA Center Commander, i.e., designated for purchase by each military department, and to those items in DLA assigned federal supply classes, which may be assigned to GSA for supply management. In addition, see DFARS Subpart 208.70, which describes conditions under which a military service may purchase (contract for) military service supply managed items in DLA assigned federal supply classes. See notes 2 and 3 for further exceptions pertaining to certain DLA assignments.

<sup>2</sup> DLA assignments in FSC 2510, 2520, 2530, 2540, 2590, 2805, 2910, 2920, 2930, 2940, and 2990 do not apply to repair parts peculiar to combat and tactical vehicles, which are assigned for coordinated acquisition to the Department of the Army. In addition, the assignment in FSC 2805 does not apply to military standard engines 1.5 HP through 20 HP and parts peculiar therefor, which are assigned for coordinated acquisition to the Department of the Army.

<sup>3</sup> This partial FSC assignment in FSC 4210 does not apply to Fire Fighting Equipment developed by or under the sponsorship of a military department. The contracting responsibility for such equipment is assigned to the department which developed or sponsored its development.

<sup>4</sup> DLA has contracting responsibility for all the items in the classes of FS Group 65. In addition, DLA has contracting responsibility for all equipment and supplies related to the medical, dental, veterinary professions in Non-group 65 classes where the military medical services have the sole or prime interest in such items. The specific item coverage of these Non-group 65 items is published in the DoD section of the Federal Supply Catalog for medical material C3-1 through C3-12, inclusive.

<sup>5</sup> This assignment includes health and comfort items listed in AR 700-23. It also includes resale items for commissary stores (including brand name items).

<sup>6</sup> DLA centers are identified as follows—

DSCC	—	DLA Land and Maritime
DESC	—	DLA Energy

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

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DSCR — DLA Aviation  
DSCP — DLA Troop Support

DLA also serves as the head of the contracting activity for the Defense Media Center (DMC).

<sup>7</sup> DLA Energy is responsible for contracting for only petroleum base items in FSC 6810 and 6850.

<sup>8</sup> This partial FSC assignment in FSC 6115 does not apply to Mobile Electric Power Generating Sources (MEPGS). The contracting direction responsibility for MEPGS is assigned to the DoD Project Manager, Mobile Electric Power, by DoDD 4120.11. DoD components desiring to use other than the DoD Standard Family of Generator Sets, contained in MIL-STD 633, shall process a Request for Deviation in accordance with Joint Operating Procedures, AR 700-101, AFR 400-50, NAVMATINST 4120.100A, MCO 11310.8c and DLAR 4120.7, Subject: Management and Standardization of Mobile Electric Power Generating Sources, prior to initiating an acquisition.

<sup>9</sup> This partial assignment applies only to secondary items not otherwise assigned, as listed in the applicable Federal Supply Catalog Management Data lists of each respective service.

<sup>10</sup> This partial assignment applies to broadcasting, visual information, and graphics presentation communications equipment used by the American Forces Radio and Television Services, centralized visual information support activities, media centers, closed circuit educational and training programs, language training activities, combat camera units, and individual base visual information centers. This assignment does not apply to equipment with airborne applications. Examples of the types of equipment covered by this assignment include radio and television transmitters, video recording and playback equipment, video cameras, editing and switching equipment, electronic imaging equipment, language training equipment, monitors, audio equipment, and other nontactical, off-the-shelf, commercially available, nondevelopmental electronic equipment used to support broadcast and visual information missions.

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

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### PART 5--DEFENSE THREAT REDUCTION AGENCY ASSIGNMENTS

<i>Federal Supply Class Code</i>	<i>Commodity</i>
1105	Nuclear Bombs
1110	Nuclear Projectiles
1115	Nuclear Warheads and Warhead Sections
1120	Nuclear Depth Charges
1125	Nuclear Demolition Charges
1127	Nuclear Rockets
1130	Conversion Kits, Nuclear Ordnance
1135	Fuzing and Firing Devices, Nuclear Ordnance
1140	Nuclear Components
1145	Explosive and Pyrotechnic Components, Nuclear Ordnance
1190	Specialized Test and Handling Equipment, Nuclear Ordnance
1195	Miscellaneous Nuclear Ordnance

In addition to the above, assignments to the Defense Threat Reduction Agency (DTRA) include all items for which DTRA provides logistics management or has integrated management responsibilities in accordance with the DTRA Charter.

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

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### PART 6--GENERAL SERVICES ADMINISTRATION ASSIGNMENTS

<i>Federal Supply Class Code</i>	<i>Commodity</i>
2310 P	Passenger Motor Vehicles
2320 P	Trucks and Truck Tractors
	These two partial assignments apply to all commercial, non-tactical, passenger carrying vehicles and trucks except the following types which are assigned for DoD Coordinated Acquisition to the Department of the Army—
	Bus, convertible to ambulance
	Truck, 4x4, convertible to ambulance
	Truck, 4x4, dump, 9,000 pounds GVW, with cut-down cab
	(See Army Coordinated Acquisition assignments in FSC 2310 and 2320.)
3540	Wrapping and Packaging Machinery
3550	Vending and Coin Operated Machines
3590	Miscellaneous Service and Trade Equipment
3750	Gardening Implements and Tools
5110	Hand Tools, Edged, Nonpowered
5120	Hand Tools, Nonedged, Nonpowered
5130	Hand Tools, Power Driven
5133	Drill Bits, Counterbores, and Countersinks; Hand and Machine
5136	Taps, Dies, and Collects; Hand and Machine
5140	Tool and Hardware Boxes
5180	Sets, Kits, and Outfits of Hand Tools
5210	Measuring Tools, Craftmen's
5345	Disks and Stones, Abrasive
5350	Abrasive Materials
5610	Mineral Construction Materials, Bulk
5620	Building Glass, Tile, Brick, and Block
5630	Pipe and Conduit, Nonmetallic
5640	Wallboard, Building Paper, and Thermal Insulation Materials
5650	Roofing and Siding Materials
5670	Architectural and Related Metal Products
5680 P*	Miscellaneous Construction Materials
7105	Household Furniture
7110	Office Furniture
7125	Cabinets, Lockers, Bins, and Shelving
7195	Miscellaneous Furniture and Fixtures
7220	Floor Coverings
7230	Draperies, Awnings, and Shades
7240	Household and Commercial Utility Containers
7290	Miscellaneous Household and Commercial Furnishings and Appliances

## DFARS Procedures, Guidance, and Information

### PGI 208—Required Sources of Supplies and Services

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7330	Kitchen Hand Tools and Utensils
7340	Cutlery and Flatware
7350	Tableware
7410	Punched Card System Machines
7420	Accounting and Calculating Machines

("P" after FSC number indicates partial FSC assignment.)

7430	Typewriters and Office-type Composing Machines This assignment does not apply to machines controlled by the Congressional Joint Committee on Printing.
7450	Office-type Sound Recording and Reproducing Machines
7460	Visible Record Equipment
7490	Miscellaneous Office Machines This assignment does not apply to equipment controlled by the Congressional Joint Committee on Printing.
7510	Office Supplies This assignment does not apply to office supplies, including special inks, when DoD requirements of such items are acquired through Government Printing Office channels.
7520	Office Devices and Accessories This assignment does not apply to office devices and accessories when DoD requirements of such items are acquired through Government Printing Office channels.
7530	Stationery and Record Forms This assignment does not apply to stationery and record forms when DoD requirements of such items are acquired through Government Printing Office channels including those items covered by term contracts issued by GPO for tabulating cards and marginally punched continuous forms.
7710	Musical Instruments
7720	Musical Instrument Parts and Accessories
7730	Phonographs, Radios, and Television Sets; Home Type
7740	Phonograph Records
7810	Athletic and Sporting Equipment
7820	Games, Toys, and Wheeled Goods
7830	Recreational and Gymnastic Equipment
7910	Floor Polishers and Vacuum Cleaning Equipment
7920	Brooms, Brushes, Mops, and Sponges
7930	Cleaning and Polishing Compounds and Preparations
8010	Paints, Dopes, Varnishes, and Related Products
8020	Paint and Artists Brushes
8030	Preservative and Sealing Compounds
8040	Adhesives
8105	Bags and Sacks

# DFARS Procedures, Guidance, and Information

## PGI 208—Required Sources of Supplies and Services

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8115	Boxes, Cartons and Crates
8135	Packaging and Packing Bulk Materials
8510	Perfumes, Toilet Preparations and Powders
8520	Toilet Soap, Shaving Preparations and Dentifrices
8530	Personal Toiletry Articles
8540	Toiletry Paper Products
8710	Forage and Feed
8720	Fertilizers
8730	Seeds and Nursery Stock
9310	Paper and Paperboard
9905	Signs, Advertising Displays, and Identification Plates
9910	Jewelry
9915	Collector's Items
9920	Smokers' Articles and Matches

### FOOTNOTES:

These GSA assignments do not apply to items as described under FSC 7430, 7490, 7510, 7520, and 7530, and those items in the GSA assigned federal supply classes which have been retained for DLA supply management as listed in the applicable Federal Supply Catalog Management Data lists. In addition, see DFARS Subpart 208.70, which describes conditions under which a military service may contract for military service managed items in GSA assigned federal supply classes.

\*This partial FSC assignment does not include landing mats which are assigned to the Defense Logistics Agency.

# DFARS Procedures, Guidance, and Information

## PGI 217—Special Contracting Methods

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*(Revised December 8, 2017)*

### PGI 217.77—OVER AND ABOVE WORK

#### PGI 217.7701 Procedures.

(1) Contracts for the performance of maintenance, overhaul, modification, and repair of various items (e.g., aircraft, engines, ground support equipment, ships) generally contain over and above work requirements. When they do, the contracting officer shall establish a separate contract line item for the over and above work.

(2) Over and above requirements task the contractor to identify needed repairs and recommend corrective action during contract performance. The contractor submits a work request to identify the over and above work and, as appropriate, the Government authorizes the contractor to proceed.

(3) The clause at DFARS [252.217-7028](#), Over and Above Work, requires the contractor and the contracting officer responsible for administering the contract to negotiate specific procedures for Government administration and contractor performance of over and above work requests.

(4) The contracting officer may issue a blanket work request authorization describing the manner in which individual over and above work requests will be administered and setting forth a dollar limitation for all over and above work under the contract. The blanket work request authorization may be in the form of a letter or contract modification (Standard Form 30).

(5) Over and above work requests are within the scope of the contract. Therefore, procedures in DFARS subpart [217.74](#), Undefined Contract Actions, do not apply.

(6) To the maximum extent practical, over and above work shall be negotiated prior to performance of the work.

# DFARS Procedures, Guidance, and Information

## PGI 225--Foreign Acquisition

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*(Revised December 8, 2017)*

### PGI 225.8--OTHER INTERNATIONAL AGREEMENTS AND COORDINATION

#### PGI 225.802 Procedures.

(b) Information on specific memoranda of understanding and other international agreements is available as follows:

(i) Memoranda of understanding and other international agreements between the United States and the countries listed in DFARS [225.872-1](#) are maintained in the Office of the Director of Defense Procurement and Acquisition Policy (Contract Policy and International Contracting) ((703) 697-9351, DSN 227-9351) and are available at [http://www.acq.osd.mil/dpap/cpic/ic/reciprocal\\_procurement\\_memoranda\\_of\\_understanding.html](http://www.acq.osd.mil/dpap/cpic/ic/reciprocal_procurement_memoranda_of_understanding.html).

(ii) Military Assistance Advisory Groups, Naval Missions, and Joint U.S. Military Aid Groups normally have copies of the agreements applicable to the countries concerned.

(iii) Copies of international agreements covering the United Kingdom of Great Britain and Northern Ireland, Western European countries, North Africa, and the Middle East are filed with the U.S. European Command.

(iv) Agreements with countries in the Pacific and Far East are filed with the U.S. Pacific Command.

#### PGI 225.802-70 Contracts for performance outside the United States and Canada.

When a contracting office anticipates placement of a contract for performance outside the United States and Canada, and the contracting office is not under the jurisdiction of a command for the country involved, the contracting office shall maintain liaison with the cognizant contract administration office (CAO) during preaward negotiations and postaward administration. The cognizant CAO can be found at <http://home.dema.mil/cassites/district.htm>. The CAO will provide pertinent information for contract negotiations, effect appropriate coordination, and obtain required approvals for the performance of the contract.

#### PGI 225.870 Contracting with Canadian contractors.

##### PGI 225.870-1 General.

(d)(i) The Canadian Commercial Corporation uses provisions in contracts with Canadian

# DFARS Procedures, Guidance, and Information

## PGI 225--Foreign Acquisition

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or U.S. concerns that give DoD the same production rights, data, and information that DoD would obtain in contracts with U.S. concerns.

(ii) The Government of Canada will provide the following services under contracts with the Canadian Commercial Corporation without charge to DoD:

(A) Contract administration services, including—

(1) Cost and price analysis;

(2) Industrial security;

(3) Accountability and disposal of Government property;

(4) Production expediting;

(5) Compliance with Canadian labor laws;

(6) Processing of termination claims and disposal of termination inventory;

(7) Customs documentation;

(8) Processing of disputes and appeals; and

(9) Such other related contract administration functions as may be required with respect to the Canadian Commercial Corporation contract with the Canadian supplier.

(B) *Audits.* The Public Works and Government Services Canada (PWGSC) performs audits when needed, for contracts overseen by the Canadian Commercial Corporation in accordance with international agreement.

(C) *Inspection.* The Department of National Defence (Canada) provides inspection personnel, services, and facilities, at no charge to DoD departments and agencies (see DFARS [225.870-7](#)).

(iii) In accordance with DPAP Policy Memorandum dated June 5, 2013, PWGSC will perform audits without charge to DoD, including accounting system and interim voucher reviews, when needed for—

(A) DoD contracts awarded directly to Canadian firms;

(B) Subcontracts with Canadian firms under such direct contracts with Canadian firms; and

# DFARS Procedures, Guidance, and Information

## PGI 225--Foreign Acquisition

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(C) Subcontracts with Canadian firms under DoD contracts with U.S. contractors.

### PGI 225.870-5 Contract administration.

(1) Assign contract administration in accordance with DFARS Part 242. When the Defense Contract Management Agency will perform contract administration in Canada, name in the contract the following payment office for disbursement of DoD funds (DoD Department Code: 17-Navy; 21-Army; 57-Air Force; 97-all other DoD components), whether payment is in Canadian or U.S. dollars:

DFAS Columbus Center  
DFAS-CO/North Entitlement Operations  
PO Box 182266  
Columbus, OH 43218-2266.

(2) The following procedures apply to cost-reimbursement type contracts:

(i) The PWGSC automatically arranges audits on contracts with the Canadian Commercial Corporation. Upon advice from PWGSC, the Canadian Commercial Corporation certifies the invoice and forwards it with Standard Form (SF) 1034, Public Voucher, to the administrative contracting officer for further processing and transmittal to the disbursing office.

(ii) For contracts placed directly with Canadian firms, the administrative contracting officer requests audits from the PWGSC, Ottawa, Ontario, Canada. Route requests for audit of non-Canadian Commercial Corporation contracts and subcontracts with Canadian contractors through the cognizant contract management office of the Defense Contract Management Agency.

(A) Within 25 days of the date of the audit request, PWGSC will provide—

(1) An acknowledgement of receipt form;

(2) An estimate of completion form; and

(3) A single point of contact to report the status of audit requests and the progress of audits.

(B) Audits will be completed within 24 months of the requested date for post-award audits.

(C) PWGSC will provide information to support the determination that the price is fair and reasonable.

# DFARS Procedures, Guidance, and Information

## PGI 225--Foreign Acquisition

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### (D) The PWGSC—

(1) Approves invoices on a provisional basis pending completion of the contract and final audit;

(2) Forwards these invoices, accompanied by SF 1034, Public Voucher, to the administrative contracting officer for further processing and transmittal to the disbursing officer; and

(3) Furnishes periodic advisory audit reports directly to the administrative contracting officer.

(A) Approves invoices on a provisional basis pending completion of the contract and final audit;

(B) Forwards these invoices, accompanied by SF 1034, Public Voucher, to the administrative contracting officer for further processing and transmittal to the disbursing officer; and

(C) Furnishes periodic advisory audit reports directly to the administrative contracting officer.

### **PGI 225.870-7 Acceptance of Canadian supplies.**

(1) For contracts placed in Canada, either with the Canadian Commercial Corporation or directly with Canadian suppliers, the Department of National Defence (Canada) will perform any necessary contract quality assurance and/or acceptance, as applicable.

(2) Signature by the Department of National Defence (Canada) quality assurance representative on the DoD inspection and acceptance form is satisfactory evidence of acceptance for payment purposes.

### **PGI 225.871 North Atlantic Treaty Organization (NATO) cooperative projects.**

#### **PGI 225.871-4 Statutory waivers.**

Forward any request for waiver under a cooperative project to the Deputy Secretary of Defense, through the Director of Defense Procurement and Acquisition Policy, Office of the Under Secretary of Defense (Acquisition, Technology, and Logistics). The waiver request shall include a draft Determination and Findings for signature by the Deputy Secretary of Defense establishing that the waiver is necessary to significantly further NATO standardization, rationalization, and interoperability.

# DFARS Procedures, Guidance, and Information

## PGI 225--Foreign Acquisition

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### PGI 225.871-5 Directed subcontracting.

The cooperative project agreement is the authority for a contractual provision requiring the contractor to place certain subcontracts with particular subcontractors. No separate justification and approval during the acquisition process is required.

### PGI 225.872 Contracting with qualifying country sources.

#### PGI 225.872-4 Individual determinations.

(1) Obtain signature of the determination and findings—

(i) At a level above the contracting officer, for acquisitions valued at or below the simplified acquisition threshold; or

(ii) By the chief of the contracting office, for acquisitions with a value greater than the simplified acquisition threshold.

(2) Prepare the determination and findings substantially as follows:

#### SERVICE OR AGENCY

Exemption of the Buy American and Balance of Payments Program

#### Determination and Findings

Upon the basis of the following findings and determination which I hereby make in accordance with the provisions of FAR 25.103(a), the acquisition of a qualifying country end product may be made as follows:

#### Findings

1. The (*contracting office*) proposes to purchase under contract number \_\_\_\_\_, (*describe item*) mined, produced, or manufactured in (*qualifying country of origin*). The total estimated cost of this acquisition is \_\_\_\_\_.

2. The United States Government and the Government of \_\_\_\_\_ have agreed to remove barriers to procurement at the prime and subcontract level for defense equipment produced in each other's countries insofar as laws and regulations permit.

3. The agreement provides that the Department of Defense will evaluate competitive offers of qualifying country end products mined, produced, or manufactured in (*qualifying country*) without imposing any price differential under the Buy American statute or the Balance of Payments Program and without taking applicable U.S. customs and duties into

# DFARS Procedures, Guidance, and Information

## PGI 225--Foreign Acquisition

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consideration so that such items may better compete for sales of defense equipment to the Department of Defense. In addition, the Agreement stipulates that acquisitions of such items shall fully satisfy Department of Defense requirements for performance, quality, and delivery and shall cost the Department of Defense no more than would comparable U.S. source or other foreign source defense equipment eligible for award.

4. To achieve the foregoing objectives, the solicitation contained the clause (*title and number of the Buy American clause contained in the contract*). Offers were solicited from other sources and the offer received from (*offeror*) is found to be otherwise eligible for award.

### Determination

I hereby determine that it is inconsistent with the public interest to apply the restrictions of the Buy American statute or the Balance of Payments Program to the offer described in this determination and findings.

(Date)

### **PGI 225.872-5 Contract administration.**

(b)(i) When contract administration services are required on contracts to be performed in qualifying countries, direct the request to the cognizant activity listed in the Federal Directory of Contract Administration Services. The cognizant activity also will arrange contract administration services for DoD subcontracts that qualifying country sources place in the United States.

(ii) The contract administration activity receiving a delegation shall determine whether any portions of the delegation are covered by memoranda of understanding annexes and, if so, shall delegate those functions to the appropriate organization in the qualifying country's government.

### **PGI 225.872-6 Request for audit services.**

(1) Send requests for audit services in France, Germany, the Netherlands, or the United Kingdom to the administrative contracting officer at the cognizant activity listed in Section 2B of the Federal Directory of Contract Administration Services. See DFARS [225.870](#), [PGI 225.870-1](#), and [PGI 225.870-5](#) for procedures to request audit services for contracts overseen by the Canadian Commercial Corporation.

(2) Complete requests for audit services in France, Germany, the Netherlands, or the United Kingdom using the forms and information sheet with form completion instructions available at [http://www.dcms.mil/Contact-Us/Division\\_I/](http://www.dcms.mil/Contact-Us/Division_I/) (click on "DCMA Foreign Contractors Pricing Support and Assist Audit Information Sheet").

### **PGI 225.873 Waiver of United Kingdom commercial exploitation levies.**

# DFARS Procedures, Guidance, and Information

## PGI 225--Foreign Acquisition

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### PGI 225.873-2 Procedures.

(1) The Government of the U.K. shall approve waiver of U.K. levies. When an offeror or contractor identifies a levy included in an offered or contract price, the contracting officer shall provide written notification to the Defense Security Cooperation Agency, ATTN: PSD-PMD, 1111 Jefferson Davis Highway, Arlington, VA 22202-4306, telephone (703) 601-3864. The Defense Security Cooperation Agency will request a waiver of the levy from the Government of the U.K. The notification shall include—

- (i) Name of the U.K. firm;
- (ii) Prime contract number;
- (iii) Description of item for which waiver is being sought;
- (iv) Quantity being acquired; and
- (v) Amount of levy.

(2) Waiver may occur after contract award. If levies are waived before contract award, evaluate the offer without the levy. If levies are identified but not waived before contract award, evaluate the offer inclusive of the levies.

# DFARS Procedures, Guidance, and Information

## PGI 245—Government Property

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*(Revised December 8, 2017)*

### PGI 245.2—SOLICITATION AND EVALUATION PROCEDURES

#### PGI 245.201 Solicitation.

#### PGI 245.201-70 Definitions.

As used in this section—

(1) “As is” means that the Government may, at its option, furnish Government property in an “as-is” condition. In such cases, the Government makes no warranty with respect to the serviceability and/or suitability of the Government property for contract performance. Any repairs, replacement, and/or refurbishment shall be at the contractor’s expense.

(2) “Commercial and Government entity (CAGE) code” means: (i) A code assigned by the Defense Logistics Information Service (DLIS) to identify a commercial or Government entity; or (ii) A code assigned by a member of the North Atlantic Treaty Organization that DLIS records and maintains in the CAGE master file. This type of code is known as an “NCAGE code”. As defined in the DoD 4100.39-M, Federal Logistics Information System Glossary, the CAGE Code is a five-character data element assigned to establishments that are manufacturers or have design control of items of supply procured by the Federal Government. In the United States, the first and last positions of a CAGE code will be numeric.

(3) “Contractor-acquired property.” See FAR 45.101.

(4) “Description” means a collection and compilation of data to describe an item. The descriptive noun of the item nomenclature, as defined in DoD 4100.39-M, Glossary.

(5) “Government property.” See FAR 45.101.

(6) “Government-furnished property.” See FAR 45.101.

(7) “Major end item” means a final combination of end products that is ready for its intended use; e.g., launchers, tanks, mobile machine shops, aircraft, and vehicles.

(8) “National stock number (NSN)” means a 13-digit stock number used to identify items of supply. It consists of a four-digit Federal Supply Classification code and a nine-digit national item identification number (NIIN), as defined in DoD 4140.1-R, DoD Supply Chain Materiel Management Regulation.

(9) “NIIN” means the last nine digits of the NSN that differentiates each individual supply item from all other supply items. The first two digits signify the National Codification Bureau that assigned the NIIN, wherein the last seven digits are non-significant and are sequentially assigned by the Federal Logistics Information System. All United States-manufactured items have a National Codification Bureau code of “00” (cataloged before 1975) or “01” (cataloged in 1975, or later).

# DFARS Procedures, Guidance, and Information

## PGI 245—Government Property

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(10) “Nomenclature” means: (i) The combination of a Government-assigned type designation and an approved item name; (ii) Names assigned to kinds and groups of products; or (iii) Formal designations assigned to products by customer or supplier (such as model number, or model type, design differentiation, specific design series, or configuration).

(11) “Part or identifying number (PIN)” means the identifier assigned by the original design activity, or by the controlling nationally recognized standard, that uniquely identifies (relative to that design activity) a specific item, as defined by Military Standard 130, Standard Practice for Identification Marking of U. S. Military Property, latest version.

(12) “Quantity” means a numeric value for such characteristics as dimensions, measure, magnitude, electrical rating, etc. Also, the numerical designator for a unit of issue described in table 53, unit of issue codes, DoD 4100.39-M, Federal Logistics Information System (FLIS) Procedures, volume 10. See <http://www.dla.mil/HQ/InformationOperations/Offers/Services/TrainingandReference/FLISPrcedures.aspx>.

(13) “Reparable.” See DFARS clause [252.211-7007](#).

(14) “Requiring activity” means the DoD organization, or part of an organization, that identifies and defines a requirement for supplies or services, and requests the initiation of, and provides funding for, an acquisition to fulfill the requirement.

(15) “Serial number” means an assigned designation that provides a means of identifying a specific individual item, as defined by Military Standard 130, Standard Practice for Identification Marking of U. S. Military Property, latest version.

(16) “Type Designation” means a combination of letters and numbers arranged in a specific sequence to provide a short, significant method of identification for an item. The various type designators are as follows:

(i) Electronics: MIL-STD-196, Joint Electronics Type Designation System, February 17, 1998.

(ii) Aerospace Vehicles: DoD 4120.15-L, Model Designation of Military Aerospace Vehicles, May 12, 2004.

(iii) Aerospace Engines and Equipment: MIL-HDBK-1812, Type Designation, Assignment and Method of Obtaining, February 14, 1997.

(iv) Ships: SECNAVINST 5030.8, Classification of Naval Ships and Craft, November 21, 2006.

(v) Army Watercraft: AR 56-9, Watercraft, February 7, 2002

(vi) Army Nomenclature: MIL-STD-1464A (AR), Army Nomenclature System, May 15, 1987.

(vii) Navy Nomenclature: MIL-STD-1661(OS), Mark and Mod Nomenclature System, August 1, 1978.

(17) “Unique item identifier (UII).” See DFARS clause [252.211-7003](#).

## DFARS Procedures, Guidance, and Information

### PGI 245—Government Property

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(18) “Unit of measure” means a measurement term, as listed in Table 81, Unit of Measure Designations, Volume 10, DoD 4100.39-M, Federal Logistics Information System, used in conjunction with a unit of issue of related national stock numbers.

#### **PGI 245.201-71 Security classification.**

Classified contracts are not exempt from including GFP attachments solely because the contracts are classified.

# DFARS Procedures, Guidance, and Information

## PGI 247—Transportation

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(Revised December 8, 2017)

### PGI 247.5—OCEAN TRANSPORTATION BY U.S.-FLAG VESSELS

#### PGI 247.573 General.

(a) *Delegated Authority.* The authority to make determinations of excessive ocean liner rates and excessive charter rates is delegated in [Secretary of Defense Memorandum dated February 7, 2012](#).

(b) *Procedures.*

(1) Contracting officers shall follow these procedures when ocean transportation is not the principal purpose of the contract, and the cargo to be transported is owned by DoD or is clearly identifiable for eventual use by DoD.

(i) DD Form 1653, Transportation Data for Solicitations, shall be used—

(A) By the requesting activity in developing the Government estimate for transportation costs; and

(B) By the contracting officer in ensuring that valid shipping instructions and delivery terms are included in solicitations and contracts that may involve transportation of supplies by sea.

(ii)(A) If the contractor notifies the contracting officer that the contractor or a subcontractor considers that no U.S.-flag vessels are available, the contracting officer shall request confirmation of the nonavailability from—

(1) The Commander, Military Sealift Command (MSC), through the Contracts and Business Management Directorate, MSC ([msc.n101.ffw@navy.mil](mailto:msc.n101.ffw@navy.mil)), for voyage and time charters; or

(2) The Commander, Military Surface Deployment and Distribution (SDDC), through the SDDC global e-mailbox ([usarmy.scott.sddc.mbx.ffw-team@mail.mil](mailto:usarmy.scott.sddc.mbx.ffw-team@mail.mil)) for ocean liner and intermodal transportation.

(B) If the contractor notifies the contracting officer that the contractor or a subcontractor considers that the proposed freight charges to the Government, the contractor, or any subcontractor are higher than charges for transportation of like goods to private persons, the contracting officer may approve a request for an exception to the requirement to ship on U.S.-flag vessels for a particular shipment.

(1) Prior to granting an exception, the contracting officer shall request advice, oral or written, from the Commander, MSC, through the Contracts and Business Management Directorate, MSC ([msc.n101.ffw@navy.mil](mailto:msc.n101.ffw@navy.mil)), for voyage and time charters; or the USTRANSCOM Director of Acquisition, through the Sealift Services Division ([transcom.scott.tcaq.mbx.i-foreign-flag-waiver@mail.mil](mailto:transcom.scott.tcaq.mbx.i-foreign-flag-waiver@mail.mil)), for ocean liner and intermodal transportation.

# DFARS Procedures, Guidance, and Information

## PGI 247—Transportation

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(2) In advising the contracting officer whether to grant the exception, evidence from the following sources shall be considered, as appropriate—

- (i) Published tariffs;
- (ii) Industry publications;
- (iii) The U.S. Maritime Administration; and
- (iv) Other available sources.

(C) If the contractor notifies the contracting officer that the contractor or a subcontractor considers that the freight charges proposed by U.S.-flag carriers are excessive or otherwise unreasonable—

(1) The contracting officer shall prepare a report in determination and findings format, and shall—

(i) Take into consideration that the 1904 Act is, in part, a subsidy of the U.S.-flag commercial shipping industry that recognizes that lower prices may be available from foreign-flag carriers. Therefore, a lower price for use of a foreign-flag vessel is not a sufficient basis, on its own, to determine that the freight rate proposed by the U.S.-flag carrier is excessive or otherwise unreasonable. However, such a price differential may indicate a need for further review;

(ii) Consider, accordingly, not only excessive profits to the carrier (to include vessel owner or operator), if ascertainable, but also excessive costs to the Government (i.e., costs beyond the economic penalty normally incurred by excluding foreign competition) resulting from the use of U.S.-flag vessels in extraordinarily inefficient circumstances; and

(iii) Include an analysis of whether the cost is excessive, taking into account factors such as—

(a) The differential between the freight charges proposed by the U.S.-flag carrier and an estimate of what foreign-flag carriers would charge based upon a price analysis;

(b) A comparison of U.S.-flag rates charged on comparable routes;

(c) Efficiency of operation regardless of rate differential (e.g., suitability of the vessel for the required transportation in terms of cargo requirements or vessel capacity, and the commercial reasonableness of vessel positioning required); and

(d) Any other relevant economic and financial considerations;

and

(2) The contracting officer shall forward the report to—

# DFARS Procedures, Guidance, and Information

## PGI 247—Transportation

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(i) The Commander, MSC, through the Contracts and Business Management Directorate, MSC ([msc.n101.fvw@navy.mil](mailto:msc.n101.fvw@navy.mil)), for voyage and time charters; or

(ii) The USTRANSCOM Director of Acquisition, through the Sealift Services Division ([transcom.scott.tcaq.mbx.i-foreign-flag-waiver@mail.mil](mailto:transcom.scott.tcaq.mbx.i-foreign-flag-waiver@mail.mil)), for ocean liner and intermodal transportation.

(3) The Commander, MSC, or the USTRANSCOM Director of Acquisition, will forward the report to the Secretary of the Navy or the Commander, USTRANSCOM, respectively, for a determination as to whether the proposed freight charges are excessive or otherwise unreasonable. Upon receipt of a determination by the Secretary of the Navy or the Commander, USTRANSCOM, respectively, that U.S. flag rates are excessive or unreasonable, the contracting officer shall provide the contractor with written approval to use a non-U.S. flag carrier, in accordance with that determination.

(2) Contracting officers shall follow these procedures when the direct purchase of ocean transportation services is the principal purpose of the contract.

(i) Direct purchase of ocean transportation may include—

(A) Time charters;

(B) Voyage charters;

(C) Contracts for charter vessel services;

(D) Dedicated contractor contracts for charter vessel services;

(E) Ocean bills of lading;

(F) Subcontracts under Government contracts or agreements for ocean transportation services; and

(G) Ocean liner contracts (including contracts where ocean liner transportation is part of an intermodal movement).

(ii) Coordinate these acquisitions, as appropriate, with USTRANSCOM, the DoD single manager for commercial transportation and related services, other than Service-unique or theater-assigned transportation assets, in accordance with DoDD 5158.4, United States Transportation Command.

(iii) All solicitations within the scope of this section shall provide—

(A) A preference for U.S.-flag vessels in accordance with the 1904 Act;

(B) An evaluation criterion for offeror participation in the Voluntary Intermodal Sealift Agreement; and

(C) An evaluation criterion considering the extent to which offerors have had overhaul, repair, and maintenance work for all covered vessels in an offeror's fleet

# DFARS Procedures, Guidance, and Information

## PGI 247—Transportation

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performed in shipyards located in the United States or Guam. Work performed in foreign shipyards shall not be considered if—

(1) Such work was performed as emergency repairs in foreign shipyards due to accident, emergency, Act of God, or an infirmity to the vessel, and safety considerations warranted taking the vessel to a foreign shipyard; or

(2) Such work was paid for or reimbursed by the U.S. Government.

(iv) Do not award a contract of the type described in paragraph (b)(2) of this section for a foreign-flag vessel unless—

(A) The Commander, MSC, or the Commander, SDDC, determines that no U.S.-flag vessels are available;

(1) The Commander, MSC, and the Commander, SDDC, are authorized to make any determinations as to the availability of U.S.-flag vessels to ensure the proper use of Government and private U.S. vessels.

(2) The contracting officer shall request such determinations—

(i) For voyage and time charters, through the Contracts and Business Management Directorate, MSC ([msc.n101.fw@navy.mil](mailto:msc.n101.fw@navy.mil)); and

(ii) For ocean liner and intermodal transportation, including contracts for shipment of military household goods and privately-owned vehicles, through the SDDC global e-mailbox ([usarmy.scott.sddc.mbx.fw-team@mail.mil](mailto:usarmy.scott.sddc.mbx.fw-team@mail.mil)).

(3) In the absence of regularly scheduled U.S.-flag service to fulfill stated DoD requirements, the Commander, SDDC, may grant, on a case-by-case basis, an on-going nonavailability determination for foreign-flag service approval with predetermined review date(s);

(B) The contracting officer determines that the U.S.-flag carrier has proposed to the Government freight charges that are higher than charges to private persons for transportation of like goods, and obtains the approval of the Commander, MSC, through the Contracts and Business Management Directorate, MSC ([msc.n101.fw@navy.mil](mailto:msc.n101.fw@navy.mil)), or the USTRANSCOM Director of Acquisition, through the Sealift Services Division, ([transcom.scott.tcaq.mbx.i-foreign-flag-waiver@mail.mil](mailto:transcom.scott.tcaq.mbx.i-foreign-flag-waiver@mail.mil)); or

(C) The Secretary of the Navy, for voyage and time charters, or the Commander, USTRANSCOM, for ocean liner and intermodal transportation, determines that the proposed freight charges for U.S.-flag vessels are excessive or otherwise unreasonable.

(1) After considering the factors in paragraphs (b)(1)(ii)(C)(1)(i) and (ii) of this section, if the contracting officer concludes that the freight charges proposed by U.S.-flag carriers may be excessive or otherwise unreasonable, the contracting officer shall prepare a report in determination and findings format that includes, as appropriate—

(i) An analysis of the carrier's costs in accordance with FAR subpart 15.4, or profit in accordance with DFARS [215.404-4](#). The costs or profit should not

# DFARS Procedures, Guidance, and Information

## PGI 247—Transportation

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be so high as to make it unreasonable to apply the preference for U.S.-flag vessels;

(ii) A description of efforts taken pursuant to FAR 15.405 to negotiate a reasonable price. For the purpose of FAR 15.405(d), this report is the referral to a level above the contracting officer; and

(iii) An analysis of whether the costs are excessive (i.e., costs beyond the economic penalty normally incurred by excluding foreign competition), taking into consideration factors such as those listed at paragraph (b)(1)(ii)(C)(1)(iii) of this section.

(2) The contracting officer shall forward the report to—

(i) The Commander, MSC, through the Contracts and Business Management Directorate, MSC ([msc.n101.fw@navy.mil](mailto:msc.n101.fw@navy.mil)), for voyage and time charters; or

(ii) The USTRANSCOM Director of Acquisition, through the Sealift Services Division ([transcom.scott.tcaq.mbx.i-foreign-flag-waiver@mail.mil](mailto:transcom.scott.tcaq.mbx.i-foreign-flag-waiver@mail.mil)).

(3) The Commander, MSC, or the USTRANSCOM Director of Acquisition, will forward the report to the Secretary of the Navy or the Commander, USTRANSCOM, respectively, for a determination as to whether the proposed freight charges are excessive or otherwise unreasonable. Upon receipt of a determination by the Secretary of the Navy or the Commander, USTRANSCOM, respectively, that U.S. flag rates are excessive or unreasonable, the contracting officer shall provide the contractor with written approval to use a non-U.S. flag carrier, in accordance with that determination.

(3) The following annual reporting requirement procedures relate to the DFARS solicitation provision at [252.247-7026](#), Evaluation Preference for Use of Domestic Shipyards—Applicable to Acquisition of Carriage by Vessel for DoD Cargo in the Coastwise of Noncontiguous Trade.

(i) No later than February 15th of each year, departments and agencies shall—

(A) Prepare a report containing all information received from all offerors in response to the DFARS provision at [252.247-7026](#), Evaluation Preference for Use of Domestic Shipyards—Applicable to Acquisition of Carriage by Vessel for DoD Cargo in the Coastwise of Noncontiguous Trade during the previous calendar year; and

(B) Submit the report to: Directorate of Acquisition, U.S. Transportation Command, ATTN: TCAQ, 508 Scott Drive, Scott AFB, IL 62225-5357.

(ii) The Director of Acquisition, U.S. Transportation Command, will submit a consolidated annual report to the congressional defense committees, by June 1st of each year, in accordance with section 1017 of Pub. L. 109-364.

(4)(i) Contracting officers shall ensure the following procedures have been followed when security background checks are required pursuant to the DFARS clause at [252.247-7027](#), Riding Gang Member Requirements, when exercising the exemption provided by 10 U.S.C. 2401 note. The contracting officer shall coordinate as necessary with the Government official specified in the contract pursuant to paragraph [252.247-7027\(c\)\(2\)\(i\)\(A\)](#).

## DFARS Procedures, Guidance, and Information

### PGI 247—Transportation

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(ii) Contracting officers shall ensure that security background checks are processed by the Military Sealift Command (MSC) using the procedures contained in COMSC Instruction 5521.1 series, Security Screening of Persons with Access to MSC Ships (FOUO). Force Protection for Military Sealift Command (COMSC N3) will act as the executive agent for DoD utilizing the U.S. Government's El Paso Intelligence Center (EPIC) to perform required background checks as required by 10 U.S.C. 2401 note, and COMSC N34 Director of Force Protection or COMSC Antiterrorism Officer at [mschg\\_n34\\_epic@navy.mil](mailto:mschg_n34_epic@navy.mil) will facilitate the processes necessary to conduct background checks.