



# PROCURE-TO-PAY

## TRAINING SYMPOSIUM 2019

Orlando

# Supplier Performance Risk System (SPRS)

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# SPRS Risk Assessments

- DFARS 213.1 requires use of Supplier Performance Risk System (SPRS) today
- DFARS and PGI 2019-D009 case updates the use of SPRS
  - Encourages the use of SPRS when conducting responsibility determination
  - Includes item, price and supplier risk assessment as evaluation factors in solicitations (*competitive under 1 million*)
  - Provides PGI guidance to the contracting officer on use and applicability of each assessment type

# SPRS Risk Assessment

- Price Risk
  - Determines whether “a proposed price is consistent with historical prices paid for that item”
  - Depicted by high, low or within range
  - If the risk is at an unacceptable level, CO consider
    - Developing of price negotiation techniques and procedures
    - Conducting price reasonableness analysis on high/low risk offers
    - Following the procedures at FAR 13.106 or 15.4 when making award decisions

# SPRS Risks Assessment

- **Item Risk**
  - Probability that an “item based on intended use, will introduce performance risk” resulting in safety issues, mission degradation, or monetary loss
  - Include clauses in the solicitation or resultant contract that migrates risk
  - Apply risk mitigation strategies identified in SPRS application

# SPRS Risks Assessment

- **Supplier Risk Score**
  - Indicates probability that an award made to a vendor identified by cage code, may subject the “procurement to risk of unsuccessful performance”
  - Identify “high risk” suppliers and assess the likelihood of non-fulfillment of terms of contract or Unsuccessful performance
  - Mitigate high supplier risk by
    - Including clauses in solicitation or resultant contract to strengthen oversight or
    - Removing discretionary clauses to recognize successful performance

# DoD Instruction 5000.xb

- DoD Instruction entitled “Defense Wide Sharing of Supplier Performance Information” being formally staffed
  - Establishes policy and assigns responsibilities for managing the Defense-wide collection and sharing of supplies and product PI reported on suppliers and services
  - Prescribes procedures for the use of supplier PI to:
    - Determine performance risk to prospective supplier or product performance
    - Assess risk of ongoing supplier performance.
  - Provides all acquisition personnel access to SPRS data

# Research & Buy an Item

FOUO UNCLASSIFIED FOUO

## SPRS Supplier Performance Risk System

### DETAILED ITEM RISK REPORT

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

**Overview:** Government users can verify if an item is considered to be High Risk by entering a material ID.

**Instructions:**

1. Select **Material Identifications** category
2. Enter material ID value
3. Click **Search**

**Notes:**

1. (M) Indicates Mandatory Field

(M) Material Identifications :  (M) Value :

Search

*Enter NSN*

**Govt Home**  
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**ACCOUNT MENU ITEMS**  
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**GROUP MENU ITEMS**  
[Contractor Summary View](#)  
[Manage Groups](#)  
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[To Do List](#)  
[Group Access History](#)

**REPORTS MENU ITEMS**  
[Sec 2339a List \(fmr Sec 806\)](#)  
[Challenge Report](#)  
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[Edit Existing Solicitation](#)  
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[Supply Code Relationship Report](#)  
[Risk Analysis](#)  
[Supplier Risk Report](#)  
[Item Risk Report](#)  
[Market Research Report](#)  
[Supplier Surveillance](#)  
[System Usage Report](#)

**SERVICE MENU ITEMS**  
[Feedback/Customer Support](#)



# Item Risk Report

Past unique prices

DETAILED ITEM REPORT

Source: Supplier Information, Nov 08 2 03:11 PM, 04/12/2015

Item: DI-MISC-81832  
 Last Paid Price: \$585.35  
 Average Price: \$656.19  
 Last Paid Date: 02/10/2016

Request: This item is subject to mandatory manufacturing source and material change (DMRC).

DI-MISC-81832: Counterfeit Prevention Plan  
 DI-QCIC-801258: Government Industry Data Exchange Program (GIDEP)  
 DI-PSSS-81656B: Alert/Safe-Alert  
 Bill of Materials (BOM) for Logistics and Supply Chain Risk Management

Supplier: #407L

| Order # | Last Date of Purchase | Unit Price | Escalated Price |
|---------|-----------------------|------------|-----------------|
| #407L   | 08/09/2010            | \$585.35   | \$680.18        |
| #407L   | 04/15/2011            | \$601.40   | \$690.11        |
| #TQ91   | 06/30/2011            | \$601.40   | \$689.74        |
| #407L   | 05/08/2012            | \$594.92   | \$659.43        |
| #407L   | 05/18/2012            | \$594.92   | \$659.43        |
| #407L   | 03/14/2013            | \$607.62   | \$648.37        |
| #407L   | 07/30/2014            | \$617.34   | \$651.19        |
| #407L   | 03/30/2015            | \$627.40   | \$655.59        |
| #407L   | 07/08/2015            | \$627.40   | \$656.89        |
| #407L   | 02/10/2016            | \$633.15   | \$661.93        |

Showing 1 to 10 of 17 entries

Data Item Description (DID):  
 DI-MISC-81832 Counterfeit Prevention Plan  
 DI-QCIC-801258 Government Industry Data Exchange Program (GIDEP)  
 Alert/Safe-Alert  
 DI-PSSS-81656B Bill of Materials (BOM) for Logistics and Supply Chain Risk Management

Purchase History  
 Show 10 entries Search:

| CAGE  | Last Date of Purchase | Unit Price | Escalated Price |
|-------|-----------------------|------------|-----------------|
| #407L | 08/09/2010            | \$585.35   | \$680.18        |
| #407L | 04/15/2011            | \$601.40   | \$690.11        |
| #TQ91 | 06/30/2011            | \$601.40   | \$689.74        |
| #407L | 05/08/2012            | \$594.92   | \$659.43        |
| #407L | 05/18/2012            | \$594.92   | \$659.43        |
| #407L | 03/14/2013            | \$607.62   | \$648.37        |
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| #407L | 07/08/2015            | \$627.40   | \$656.89        |
| #407L | 02/10/2016            | \$633.15   | \$661.93        |

Showing 1 to 10 of 17 entries Previous 1 2 Next



# Market Research Report

MARKET RESEARCH REPORT

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

NIIN: 011420742    Last Paid Price: \$642.50    Average Price: \$664.05

Last Paid Price: \$642.50    Last Paid Date: 02/26/18

Reason(s): This item is subject to diminishing manufacturing sources and material shortages (DMSMS).  
DLA has identified items in this Federal Stock Class as being High Risk.

Required Mitigations:

- Anti-Counterfeiting
- Item Unique Identifications and Valuation
- High-Level Contact Quality Requirement
- Qualifying Country Sources as Subcontractors
- Export-Controlled Items
- Sources of Electronic Parts (Aug 2016)
- Contractor Counterfeit Electronic Part Detection and Avoidance System

Standards:

- SAE AS5553
- SAE AS6081
- SAE AS6171
- JESD31

Data Item Description (DID):

- DI-MISC-81832
- DI-QCIC-801258
- DI-PSS5-816568

Source Selection Data Table:

| Code | Category | Performance | MSRP | Price | Price Variance |
|------|----------|-------------|------|-------|----------------|
| 0407 | 004      | 0000000000  | 000  | 000   | 000            |
| 0408 | 004      | 0000000000  | 000  | 000   | 000            |
| 0409 | 004      | 0000000000  | 000  | 000   | 000            |
| 0410 | 004      | 0000000000  | 000  | 000   | 000            |
| 0411 | 004      | 0000000000  | 000  | 000   | 000            |
| 0412 | 004      | 0000000000  | 000  | 000   | 000            |
| 0413 | 004      | 0000000000  | 000  | 000   | 000            |
| 0414 | 004      | 0000000000  | 000  | 000   | 000            |
| 0415 | 004      | 0000000000  | 000  | 000   | 000            |
| 0416 | 004      | 0000000000  | 000  | 000   | 000            |
| 0417 | 004      | 0000000000  | 000  | 000   | 000            |
| 0418 | 004      | 0000000000  | 000  | 000   | 000            |
| 0419 | 004      | 0000000000  | 000  | 000   | 000            |
| 0420 | 004      | 0000000000  | 000  | 000   | 000            |

MARKET RESEARCH REPORT

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

NIIN: 011420742    **HIGH RISK ITEM**    FSC: 5935    Average Price: \$664.05

Last Paid Price: \$642.50    Last Paid CAGE: 02769    Last Paid date: 03/04/2018

Reason(s): This item is subject to diminishing manufacturing sources and material shortages (DMSMS).  
DLA has identified items in this Federal Stock Class as being High Risk.

Suggested Mitigations:

- Anti-Counterfeiting
- Clauses/Standards/Data Item Descriptions

FAR:

- 52.209-2
- 52.246-11
- 52.246-15

DFAR:

- 252.211-7003
- 252.217-7026
- 252.225-7002
- 252.225-7048
- 252.246-7008
- 252.246-7007

Standards:

- SAE AS5553
- SAE AS6081
- SAE AS6171
- JESD31

Data Item Description (DID):

- DI-MISC-81832
- DI-QCIC-801258
- DI-PSS5-816568

*Item Risk info*

# Market Research Report



Purchase History

Show 10 entries

| CAGE Code | Date of Purchase | Unit Price | Average Price | Average Price Comparison |
|-----------|------------------|------------|---------------|--------------------------|
| #407L     | 08/09/2010       | \$585.35   | FIRST DATE    | NO AVERAGE PRICE         |
| #407L     | 04/15/2011       | \$601.40   | \$592.74      | HIGH                     |
| #PPIR     | 06/30/2011       | \$542.50   | \$597.40      | LOW                      |
| #TQ91     | 05/08/2012       | \$584.92   | \$599.19      | WITHIN RANGE             |
| #TQ91     | 05/18/2012       | \$584.92   | \$595.62      | WITHIN RANGE             |
| #407L     | 03/14/2013       | \$607.62   | \$616.48      | WITHIN RANGE             |
| #407L     | 07/30/2014       | \$617.34   | \$622.14      | WITHIN RANGE             |
| #PPIR     | 03/30/2015       | \$627.40   | \$627.34      | WITHIN RANGE             |
| #PPIR     | 07/08/2015       | \$627.40   | \$626.11      | WITHIN RANGE             |
| #407L     | 02/10/2016       | \$633.15   | \$627.18      | WITHIN RANGE             |

Showing 1 to 10 of 17 entries

Previous 1 2 Next

(†) at time of purchase



*Historical Prices rated for risk "Did we pay too much then?"*

*Prices plotted over that day's Expected Range*

# Market Research Report

*Risk scores for previous vendors of this part*



Supplier History

Show  entries

Search:

| CAGE                  | Company Name                | Exclusion | FSC Delivery | FSC Quality | Supplier Risk Score |
|-----------------------|-----------------------------|-----------|--------------|-------------|---------------------|
| <a href="#">#407L</a> | SEA MOUNTAIN RADAR SYSTEMS  | NO        | 43           | 41.02       | 210.1               |
| <a href="#">#PPIR</a> | PERFORMANCE STANDARDS GROUP | NO        | 92           | 100         | 322.6               |
| <a href="#">#TQ91</a> | TACTICAL INFLATABLES LLC    | NO        | 100          | 91.87       | 226.4               |

[View All](#)

(\* No Record)

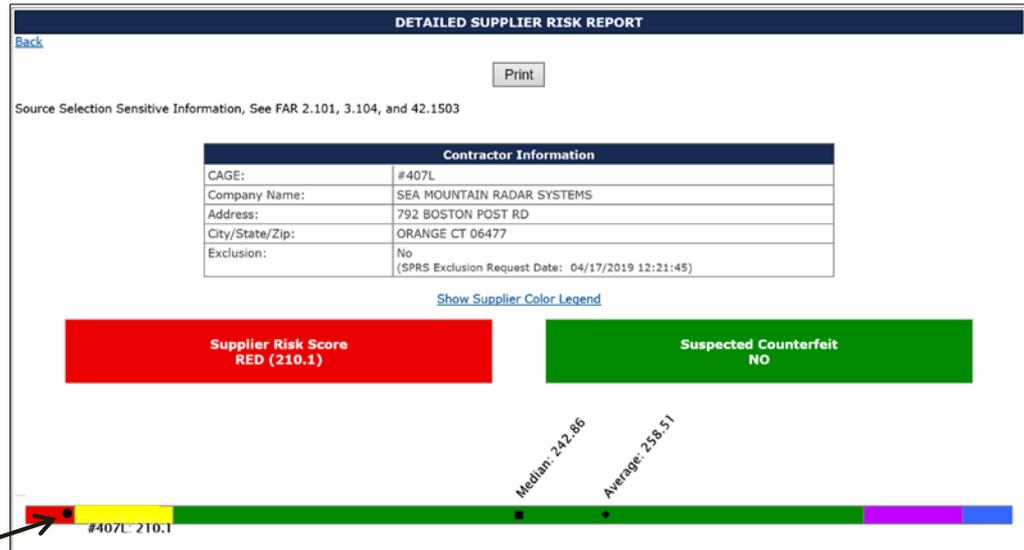
Showing 1 to 3 of 3 entries

Previous  Next

# Supplier Risk Report

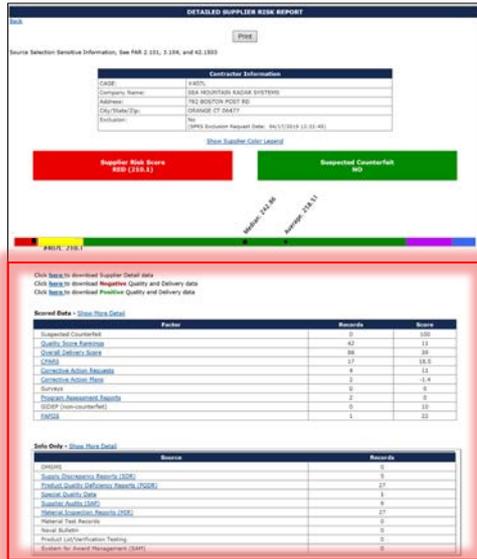


*How this vendor compares to 62k other CAGEs*



# Supplier Risk Report

*Score factors*



Click [here](#) to download Supplier Detail data  
Click [here](#) to download **Negative** Quality and Delivery data  
Click [here](#) to download **Positive** Quality and Delivery data

**Scored Data** - [Show More Detail](#)

| Factor                                     | Records | Score |
|--|---------|-------|
| <a href="#">Suspected Counterfeit</a>      | 0       | 100   |
| <a href="#">Quality Score Rankings</a>     | 42      | 11    |
| <a href="#">Overall Delivery Score</a>     | 86      | 39    |
| <a href="#">CPARS</a>                      | 17      | 18.5  |
| <a href="#">Corrective Action Requests</a> | 4       | 11    |
| <a href="#">Corrective Action Plans</a>    | 2       | -1.4  |
| <a href="#">Surveys</a>                    | 0       | 0     |
| <a href="#">Program Assessment Reports</a> | 2       | 0     |
| <a href="#">GIDEP (non-counterfeit)</a>    | 0       | 10    |
| <a href="#">FAPIS</a>                      | 1       | 22    |

**Info Only** - [Show More Detail](#)

| Source  | Records |
|---|---------|
| DMSMS   | 0       |
| <a href="#">Supply Discrepancy Reports (SDR)</a>          | 5       |
| <a href="#">Product Quality Deficiency Reports (PQDR)</a> | 27      |
| <a href="#">Special Quality Data</a>                      | 1       |
| <a href="#">Supplier Audits (SAP)</a>                     | 6       |
| <a href="#">Material Inspection Reports (MIR)</a>         | 27      |
| Material Test Records                                     | 0       |
| Naval Bulletin  | 0       |
| Product Lot/Verification Testing                          | 0       |
| System for Award Management (SAM)                         | 0       |

*Other data of interest to buyers*

# Procurement Analysis

**Instructions:**

1. Enter a unique **PO/Solicitation Number**
2. Select **Material Identification** category
3. Enter material ID value
4. Enter **CAGE Code** and **Bid** or paste CAGE/bid combination(s)
5. Click on **Add to List**.
6. Add additional CAGE codes and bids, as desired
5. Click **Run Report** to generate report for the qualifiers entered

**Notes:**

1. (M) Indicates Mandatory Field

Search Parameters

(M) PO/Solicitation Number:

(M) Material Identifications :  (M) Value :

Paste values then click **Add to List**:  
ex: CAGE1,234.3

(M) CAGE Code:  (M) Bid:

| CAGE  | Company Name                | BID      | Delete?                               |
|-------|-----------------------------|----------|---------------------------------------|
| #407L | SEA MOUNTAIN RADAR SYSTEMS  | \$598.00 | <input type="button" value="Delete"/> |
| #TQ91 | TACTICAL INFLATABLES LLC    | \$660.34 | <input type="button" value="Delete"/> |
| #PPIR | PERFORMANCE STANDARDS GROUP | \$689.99 | <input type="button" value="Delete"/> |

*Enter part (NSN,  
Mfr CAGE-P/N)*

*Enter CAGEs and  
their bids*

# Procurement Analysis

## SUMMARY RISK ANALYSIS REPORT

[Back](#)

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

**HIGH RISK ITEM**

*Who would you buy from?*

PO/Solicitation Number: JCD-20190402-001

Save Solicitation

NIIN: 011429742  
Last Paid Price: \$642.50

FSC: 5935  
Last Paid CAGE: 02769

Average Price: **\$664.06**  
Last Paid date: 03/04/2018

[Show Quality and Supplier Color Legend](#)

| CAGE                  | Company Name                | Exclusion | FSC Delivery | FSC Quality | Supplier Risk Score | Bid      | Average Price Comparison | Other Information |
|-----------------------|-----------------------------|-----------|--------------|-------------|---------------------|----------|--------------------------|-------------------|
| <a href="#">#407L</a> | SEA MOUNTAIN RADAR SYSTEMS  | NO        | 43           | 41.02       | 210.1               | \$598.00 | LOW                      |                   |
| <a href="#">#TO91</a> | TACTICAL INFLATABLES LLC    | NO        | 100          | 91.87       | 227.4               | \$660.34 | WITHIN RANGE             |                   |
| <a href="#">#PPIR</a> | PERFORMANCE STANDARDS GROUP | NO        | 92           | 100         | 322.6               | \$689.99 | HIGH                     |                   |

[View All](#)

[View All](#)

(\* No Record

# Warranty

- Working Group established within DoD
  - Tasked with updating the 2009 guide
  - Tracking agencies warranty reporting eligibility and compliance.

# Warranty

- One of these FAR Clause
  - 52.246-17 - Warranty of Supplies of a Noncomplex Nature
  - 52.246-18 - Warranty of Supplies of a Complex Nature
  - 52.246-19 - Warranty of Systems and Equipment and Equipment under Performance Specifications or Design Criteria
- Plus one of these DFARS clauses:
  - 252.246-7005 - Notice of Warranty of Tracking of Serialized Items
  - 252.246-7006 - Warranty Tracking of Serialized Items
- Equals a warranty data in WAWF, EDA and/or SPM

# Warranty Working Group Members

- Navy - Steve Eisenbrey [Stephen.eisnbrey@navy.mil](mailto:Stephen.eisnbrey@navy.mil)
- Air Force - Colquitt Lawrence [colquitt.lawrence.civ@mail.mil](mailto:colquitt.lawrence.civ@mail.mil)
- Army - Veronica Alexander [veronica.g.alexander2.civ@mail.mil](mailto:veronica.g.alexander2.civ@mail.mil)
- OSD - Mae Bartley [mae.k.bartley.civ@mail.mil](mailto:mae.k.bartley.civ@mail.mil)
- MDA - Rodney Felder [rodney.felder@mda.mil](mailto:rodney.felder@mda.mil)
- Army - Dr. Linda Herbert [linda.r.herbert.civ@mail.mil](mailto:linda.r.herbert.civ@mail.mil)
- USMC - Vincent Gregory [vincent.gregory@usmc.mil](mailto:vincent.gregory@usmc.mil)
- Navy - Lawrence Butler [lawrence.butler@navy.mil](mailto:lawrence.butler@navy.mil)
- Navy - Andrew Clarke [andrew.r.clarke@navy.mil](mailto:andrew.r.clarke@navy.mil)
- Air Force - Karen Stevens [karen.m.stevens12.civ@mail.mil](mailto:karen.m.stevens12.civ@mail.mil)
- DLA - Michael Koster [Michael.koster@dla.mil](mailto:Michael.koster@dla.mil)
- Air Force - Kristina Isakson [kristina.k.isakson.civ@mail.mil](mailto:kristina.k.isakson.civ@mail.mil)
- Air Force - Angayurkanni (Kanna) Annamalai-Brown [angayurkanni.annamalai-brown.civ@mail.mil](mailto:angayurkanni.annamalai-brown.civ@mail.mil)



# Procure-to-Pay

## Training Symposium

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